

DR. KENNETH  
KAUNDA  
DISTRICT MUNICIPALITY



# **2019/20 MID-YEAR BUDGET AND PERFORMANCE ASSESSMENT REPORT**

## MUNICIPAL LEADERSHIP AND MANAGEMENT STRUCTURE

### Political Leadership

The following is the political leadership of the Dr Kenneth Kaunda DM:

| PORTFOLIO                                     | NAME                                 |
|---|--------------------------------------|
| Executive Mayor                               | Alderman Cllr. B.E. Mosiane -Segotso |
| Speaker                                       | Cllr. D.P. Masiu                     |
| Single Whip                                   | Cllr N. Koloti                       |
| MMC Corporate Services                        | Cllr. M. Mojahi                      |
| MMC District Economic Development and Tourism | Cllr. H. Mbele                       |
| MMC Sports, Arts and Culture                  | Cllr. Z Mphafudi                     |
| MMC Financial Services                        | Cllr. M. Zephe                       |
| MMC Infrastructure & Development              | Cllr. S. Valipathwa                  |
| MMC Community Services                        | Alderman Cllr. R. Martins            |

### Administrative Leadership

| POSITION   | NAME         |
|--|--------------|
| Municipal Manager  | SM. Lesupi   |
| Director: Corporate Services                               | S. Abrams    |
| Chief Financial Officer                                    | L. Steenkamp |
| Director: Community Services (Acting)                      | T. Tshukudu  |
| Director: Local Economic Development and Planning (Acting) | M. Rampedi   |

The following managers report administratively to the Municipal Manager

| POSITION   | NAME                 |
|--|----------------------|
| Manager: Office of the Executive Mayor (Acting)        | N. Mosiane           |
| Manager: Office of the Speaker                         | F. Canga             |
| Manager: Single Whip                                   | G. Qhele             |
| Manager: MPAC  | BJ. Roberts-Tebejane |
| Manager: Strategic and Integrated Development Planning | T. Mokatsane         |
| Manager: Performance Management Systems                | O. Baloyi            |
| Manager: Internal Audit                                | R. Seremo            |
| Manager: Municipal Information Security Standards      | L.Kalolo             |
| Manager: Communications                                | Vacant               |

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## PART 1: IN-YEAR REPORT

### **Purpose of this report is to submit the Mid-Year Budget and Performance Assessment 2019/2020**

Purpose of this report is to submit the Mid-Year Budget and Performance Assessment to the municipal Council in line with the provision of the Municipal Finance Management Act, No. 56 of 2003 section 72, read with the Regulation 33 of the MFMA Schedule C: In-Year Reporting of the Municipal Budget and Reporting Regulations (MBRR) of 2009 which stipulates that the accounting officer of the municipality must by the 25th January of each year assess financial performance during the first half of the financial year and submit the assessment report to the Mayor, Provincial and National Treasuries.

#### **1.1. EXECUTIVE MAYOR'S REPORT**

Council approved the Annual budget for the 2019/20 Medium-term Revenue and Expenditure Framework (MTREF) for the Dr Kenneth Kaunda District Municipality on 30 May 2019 per Council resolution **A.171/05/2019** in line with the requirements of the MBRR.

The in-year report Schedule C provides a high-level analysis as at 31 December 2019 in the prescribed formats. Material variances will be referred to briefly in this report. Comprehensive explanations will be included in the monthly financial management report.

The actual operating revenue realised, including capital transfers and contributions, amounts to **R 147, 789 Million** against the total budget for the period ended 31 December 2019.

The operating expenditure is underspent by **R 19, 328 Million**, which is **20%** less than the expenditure budgeted projections. The expenditure is expected to improve in the third and fourth quarter.

The total capital budget amounts to **R 3, 010 Million**, representing **1.5%** of the total approved budget.

Cash and short-term investments as at 31 December 2019 amount to **R 100, 059 Million** and Management is encouraged to monitor the variances and enforce budgetary controls.

The Mayor of the Dr Kenneth Kaunda District Municipality approved the Service Delivery and Budget Plan on the 27<sup>th</sup> of June 2019 which is in line with section. 53(1) (c) (ii) of the Municipal Finance Management Act.

The SDBIP Scorecards represent the organisational performance which is aligned to each department for the 2019/20 financial year.

An assessment was done on both non-financial and financial performance and it is evident that in some of the planned Service Delivery indicators as per the IDP, SDBIP and budget could not be achieved and that led to under expenditure which necessitated budget adjustment.

The second quarter of 2019/20 financial year is a mid-term assessment period for both budget and performance information as per section 72 of the MFMA.

**In conclusion**

The Mid-year budget and performance assessment indicates that:

- (a) An adjustment budget for 2019/20 will be required
- (b) The revised SDBIP, which formed the basis of the mid-year assessment, must include any adjustment as a result of the adjustments budget.

## **1.2. COUNCIL RESOLUTIONS**

The Resolution will be submitted to both Provincial and National Treasuries once the Council has resolved.

## **1.3. EXECUTIVE SUMMARY**

The Dr Kenneth Kaunda District Municipality conducted the Mid-Year Budget and Performance review on the 24th of January 2020 at the Council Chamber with Management as prescribed in Section 72(1) of the Municipal Finance Management Act 56 of 2003, read with the Regulation 33 of the MFMA Schedule C: In-Year Reporting of the Municipal Budget and Reporting Regulations (MBRR) of 2009.

The primary purpose was to review the targets and indicators, to conduct an assessment of the progress made in spending the budget, project implementation for the first half of the financial year and to consider the adjustment to the 2019/2020 budget. The reports demonstrate the actual service delivery achieved compared with the quarterly targets and explanation of variances is taken into account.

Part 2 of this report, which is the section 71 and 72 budget monitoring reports required under the MFMA provide a consolidated analysis of the Municipality's financial position as at the 31<sup>st</sup> December 2019 and had a direct influence on the outcome of the adjustment budget process.

## 1.4. IN YEAR BUDGET STATEMENT TABLES

### 1.4.1. Table C1 Quarterly Budget Statement Summary for 2nd Quarter ended 31 December 2019

| Description  | 2018/19          | Budget Year 2019/20 |                   |                    |                    |                    |                     |                 |                    |
|--|------------------|---------------------|-------------------|--------------------|--------------------|--------------------|---------------------|-----------------|--------------------|
|  | Audited Outcome  | Original Budget     | Adjusted Budget   | Monthly actual     | YearTD actual      | YearTD budget      | YTD variance        | YTD variance %  | Full Year Forecast |
| <b>R thousands</b>   |                  |                     |                   |                    |                    |                    |                     |                 |                    |
| <b><u>Financial Performance</u></b>                                  |                  |                     |                   |                    |                    |                    |                     |                 |                    |
| Property rates   | -                | -                   | -                 | -                  | -                  | -                  | -                   |                 | -                  |
| Service charges  | -                | -                   | -                 | -                  | -                  | -                  | -                   |                 | -                  |
| Investment revenue   | -                | 2 950               | 2 950             | 166                | 1 999              | 1 475              | 524                 | 35%             | 2 950              |
| Transfers and subsidies  | -                | 196 082             | 196 082           | 62 459             | 143 940            | 144 683            | (743)               | -1%             | 196 082            |
| Other own revenue  | -                | 1 057               | 1 057             | 835                | 1 850              | 529                | 1 321               | 250%            | 1 057              |
| <b>Total Revenue (excluding capital transfers and contributions)</b> | -                | <b>200 089</b>      | <b>200 089</b>    | <b>63 460</b>      | <b>147 789</b>     | <b>146 687</b>     | <b>1 102</b>        | <b>1%</b>       | <b>200 089</b>     |
| Employee costs   | -                | 107 120             | 107 120           | 8 094              | 45 461             | 53 560             | (8 099)             | -15%            | 107 120            |
| Remuneration of Councillors  | -                | 11 842              | 11 842            | 848                | 5 066              | 5 921              | (855)               | -14%            | 11 842             |
| Depreciation & asset impairment                                      | -                | 5 440               | 5 440             | -                  | -                  | 2 720              | (2 720)             | -100%           | 5 440              |
| Finance charges  | -                | -                   | -                 | -                  | -                  | -                  | -                   |                 | -                  |
| Materials and bulk purchases   | -                | 3 539               | 3 609             | 202                | 1 352              | 1 805              | (452)               | -25%            | 3 609              |
| Transfers and subsidies  | -                | 5 949               | 5 949             | 610                | 1 892              | 2 975              | (1 082)             | -36%            | 5 949              |
| Other expenditure  | -                | 62 842              | 62 772            | 5 330              | 25 268             | 31 386             | (6 118)             | -19%            | 62 772             |
| <b>Total Expenditure</b>   | -                | <b>196 732</b>      | <b>196 732</b>    | <b>15 085</b>      | <b>79 038</b>      | <b>98 366</b>      | <b>(19 328)</b>     | <b>-20%</b>     | <b>196 732</b>     |
| <b>Surplus/(Deficit)</b>   | -                | <b>3 357</b>        | <b>3 357</b>      | <b>48 375</b>      | <b>68 750</b>      | <b>48 321</b>      | <b>20 429</b>       | <b>42%</b>      | <b>3 357</b>       |
| Transfers and subsidies - capital (monetary alloc                    | -                | -                   | -                 | -                  | -                  | -                  | -                   |                 | -                  |
| Contributions & Contributed assets                                   | -                | -                   | -                 | -                  | -                  | -                  | -                   |                 | -                  |
| <b>Surplus/(Deficit) after capital transfers &amp; contributions</b> | -                | <b>3 357</b>        | <b>3 357</b>      | <b>48 375</b>      | <b>68 750</b>      | <b>48 321</b>      | <b>20 429</b>       | <b>42%</b>      | <b>3 357</b>       |
| Share of surplus/ (deficit) of associate                             | -                | -                   | -                 | -                  | -                  | -                  | -                   |                 | -                  |
| <b>Surplus/ (Deficit) for the year</b>                               | -                | <b>3 357</b>        | <b>3 357</b>      | <b>48 375</b>      | <b>68 750</b>      | <b>48 321</b>      | <b>20 429</b>       | <b>42%</b>      | <b>3 357</b>       |
| <b><u>Capital expenditure &amp; funds sources</u></b>                |                  |                     |                   |                    |                    |                    |                     |                 |                    |
| <b>Capital expenditure</b>   | -                | <b>3 010</b>        | <b>3 010</b>      | <b>572</b>         | <b>961</b>         | <b>1 505</b>       | <b>(544)</b>        | <b>-36%</b>     | <b>3 010</b>       |
| Capital transfers recognised   | -                | -                   | -                 | -                  | -                  | -                  | -                   |                 | -                  |
| Borrowing  | -                | -                   | -                 | -                  | -                  | -                  | -                   |                 | -                  |
| Internally generated funds   | -                | <b>3 010</b>        | <b>3 010</b>      | <b>572</b>         | <b>961</b>         | <b>1 505</b>       | <b>(544)</b>        | <b>-36%</b>     | <b>3 010</b>       |
| <b>Total sources of capital funds</b>                                | -                | <b>3 010</b>        | <b>3 010</b>      | <b>572</b>         | <b>961</b>         | <b>1 505</b>       | <b>(544)</b>        | <b>-36%</b>     | <b>3 010</b>       |
| <b><u>Financial position</u></b>                                     |                  |                     |                   |                    |                    |                    |                     |                 |                    |
| Total current assets   | -                | 15 569              | 37 155            |                    | 134 899            |                    |                     |                 | 37 155             |
| Total non current assets   | -                | 54 959              | 54 340            |                    | 26 482             |                    |                     |                 | 54 340             |
| Total current liabilities  | -                | 27 556              | 59 679            |                    | 55 369             |                    |                     |                 | 59 679             |
| Total non current liabilities  | -                | 14 053              | 10 687            |                    | 9 515              |                    |                     |                 | 10 687             |
| Community wealth/Equity  | -                | <b>28 918</b>       | <b>21 130</b>     |                    | <b>96 498</b>      |                    |                     |                 | <b>21 130</b>      |
| <b><u>Cash flows</u></b>   |                  |                     |                   |                    |                    |                    |                     |                 |                    |
| Net cash from (used) operating                                       | -                | 8 817               | 8 817             | 48 375             | 68 750             | 51 844             | (16 907)            | -33%            | 8 817              |
| Net cash from (used) investing                                       | -                | (3 010)             | (3 010)           | (572)              | (961)              | (1 505)            | (544)               | 36%             | (3 010)            |
| Net cash from (used) financing                                       | -                | -                   | -                 | -                  | -                  | -                  | -                   |                 | -                  |
| <b>Cash/cash equivalents at the month/year end</b>                   | -                | <b>20 319</b>       | <b>19 552</b>     | <b>-</b>           | <b>100 059</b>     | <b>50 339</b>      | <b>(49 720)</b>     | <b>-99%</b>     | <b>5 807</b>       |
| <b>Debtors &amp; creditors analysis</b>                              | <b>0-30 Days</b> | <b>31-60 Days</b>   | <b>61-90 Days</b> | <b>91-120 Days</b> | <b>121-150 Dys</b> | <b>151-180 Dys</b> | <b>181 Dys-1 Yr</b> | <b>Over 1Yr</b> | <b>Total</b>       |
| <b><u>Debtors Age Analysis</u></b>                                   |                  |                     |                   |                    |                    |                    |                     |                 |                    |
| Total By Income Source   | -                | -                   | -                 | -                  | -                  | -                  | -                   | -               | -                  |
| <b><u>Creditors Age Analysis</u></b>                                 |                  |                     |                   |                    |                    |                    |                     |                 |                    |
| Total Creditors  | -                | -                   | -                 | -                  | -                  | 2 984              | -                   | 2 709           | 5 692              |

The statement summary (Table C1) provides a concise overview of the District budget from all major financial perspectives (financial performance, capital expenditure, financial position, cash flow,

debtors and creditors analysis) in accordance with the budget format prescribed by National Treasury.

#### 1.4.2. Table C2 Quarterly Budget Statement - Financial Performance (functional classification) for 2nd Quarter ended 31 December 2019

| Description                                       | Ref      | 2018/19         | Budget Year 2019/20 |                 |                |                |                |                 |                |                    |
|---|----------|-----------------|---------------------|-----------------|----------------|----------------|----------------|-----------------|----------------|--------------------|
|   |          | Audited Outcome | Original Budget     | Adjusted Budget | Monthly actual | YearTD actual  | YearTD budget  | YTD variance    | YTD variance % | Full Year Forecast |
| <b>R thousands</b>                                | <b>1</b> |                 |                     |                 |                |                |                |                 |                |                    |
| <b>Revenue - Functional</b>                       |          |                 |                     |                 |                |                |                |                 |                |                    |
| <i><b>Governance and administration</b></i>       |          | –               | 199 739             | 199 739         | 63 437         | 147 512        | 146 512        | 1 000           | 1%             | 199 739            |
| Executive and council                             |          | –               | –                   | –               | 4              | 9              | –              | 9               | #DIV/0!        | –                  |
| Finance and administration                        |          | –               | 199 739             | 199 739         | 63 433         | 147 502        | 146 512        | 991             | 1%             | 199 739            |
| Internal audit                                    |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| <i><b>Community and public safety</b></i>         |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| Community and social services                     |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| Sport and recreation                              |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| Public safety                                     |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| Housing   |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| Health  |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| <i><b>Economic and environmental services</b></i> |          | –               | 350                 | 350             | 22             | 277            | 175            | 102             | 58%            | 350                |
| Planning and development                          |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| Road transport                                    |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| Environmental protection                          |          | –               | 350                 | 350             | 22             | 277            | 175            | 102             | 58%            | 350                |
| <i><b>Trading services</b></i>                    |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| Energy sources                                    |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| Water management                                  |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| Waste water management                            |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| Waste management                                  |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| <i><b>Other</b></i>                               | <b>4</b> | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| <b>Total Revenue - Functional</b>                 | <b>2</b> | –               | <b>200 089</b>      | <b>200 089</b>  | <b>63 460</b>  | <b>147 789</b> | <b>146 687</b> | <b>1 102</b>    | <b>1%</b>      | <b>200 089</b>     |
| <b>Expenditure - Functional</b>                   |          |                 |                     |                 |                |                |                |                 |                |                    |
| <i><b>Governance and administration</b></i>       |          | –               | 132 986             | 132 986         | 11 088         | 54 544         | 66 493         | (11 949)        | -18%           | 132 986            |
| Executive and council                             |          | –               | 55 421              | 55 408          | 4 243          | 21 092         | 27 704         | (6 612)         | -24%           | 55 408             |
| Finance and administration                        |          | –               | 72 249              | 72 262          | 6 427          | 30 710         | 36 131         | (5 421)         | -15%           | 72 262             |
| Internal audit                                    |          | –               | 5 315               | 5 315           | 418            | 2 742          | 2 658          | 84              | 3%             | 5 315              |
| <i><b>Community and public safety</b></i>         |          | –               | 16 700              | 16 700          | 1 091          | 5 809          | 8 350          | (2 541)         | -30%           | 16 700             |
| Community and social services                     |          | –               | 10 159              | 10 159          | 441            | 3 165          | 5 079          | (1 915)         | -38%           | 10 159             |
| Sport and recreation                              |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| Public safety                                     |          | –               | 6 541               | 6 541           | 650            | 2 644          | 3 270          | (626)           | -19%           | 6 541              |
| Housing   |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| Health  |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| <i><b>Economic and environmental services</b></i> |          | –               | 47 047              | 47 047          | 2 905          | 18 686         | 23 523         | (4 838)         | -21%           | 47 047             |
| Planning and development                          |          | –               | 13 800              | 13 800          | 531            | 5 421          | 6 900          | (1 479)         | -21%           | 13 800             |
| Road transport                                    |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| Environmental protection                          |          | –               | 33 247              | 33 247          | 2 375          | 13 264         | 16 623         | (3 359)         | -20%           | 33 247             |
| <i><b>Trading services</b></i>                    |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| Energy sources                                    |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| Water management                                  |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| Waste water management                            |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| Waste management                                  |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| <i><b>Other</b></i>                               |          | –               | –                   | –               | –              | –              | –              | –               | –              | –                  |
| <b>Total Expenditure - Functional</b>             | <b>3</b> | –               | <b>196 732</b>      | <b>196 732</b>  | <b>15 085</b>  | <b>79 038</b>  | <b>98 366</b>  | <b>(19 328)</b> | <b>-20%</b>    | <b>196 732</b>     |
| <b>Surplus/ (Deficit) for the year</b>            |          | –               | <b>3 357</b>        | <b>3 357</b>    | <b>48 375</b>  | <b>68 750</b>  | <b>48 321</b>  | <b>20 429</b>   | <b>42%</b>     | <b>3 357</b>       |



### 1.4.3. Table C3 Quarterly Budget Statement - Financial Performance (revenue and expenditure by municipal vote) for 2nd Quarter ended 31 December 2019

| Vote Description                    | Ref | 2018/19         | Budget Year 2019/20 |                 |                |               |               |              |                |                    |
|-------------------------------------|-----|-----------------|---------------------|-----------------|----------------|---------------|---------------|--------------|----------------|--------------------|
|                                     |     | Audited Outcome | Original Budget     | Adjusted Budget | Monthly actual | YearTD actual | YearTD budget | YTD variance | YTD variance % | Full Year Forecast |
| R thousands                         |     |                 |                     |                 |                |               |               |              |                |                    |
| Revenue by Vote                     | 1   |                 |                     |                 |                |               |               |              |                |                    |
| Vote 01 - Executive & Council       |     | -               | -                   | -               | 4              | 9             | -             | 9            | #DIV/0!        | -                  |
| Vote 02 - Municipal Manager         |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 03 - Corporate Services        |     | -               | 3 228               | 3 228           | 38             | 116           | 116           | -            |                | 3 228              |
| Vote 04 - Financial Services        |     | -               | 191 559             | 191 559         | 62 594         | 144 348       | 142 719       | 1 629        | 1.1%           | 191 559            |
| Vote 05 - Technical Services        |     | -               | 4 952               | 4 952           | 802            | 3 039         | 3 677         | (638)        | -17.4%         | 4 952              |
| Vote 06 - Planning And Development  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 07 - Municipal Health Services |     | -               | 350                 | 350             | 22             | 277           | 175           | 102          | 58.1%          | 350                |
| Vote 08 - Public Safety             |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 09 -                           |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 10 -                           |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 11 -                           |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 12 -                           |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 13 -                           |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 14 -                           |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 15 - Other                     |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Total Revenue by Vote               | 2   | -               | 200 089             | 200 089         | 63 460         | 147 789       | 146 687       | 1 102        | 0.8%           | 200 089            |
| Expenditure by Vote                 | 1   |                 |                     |                 |                |               |               |              |                |                    |
| Vote 01 - Executive & Council       |     | -               | 22 628              | 22 615          | 1 774          | 7 320         | 11 308        | (3 988)      | -35.3%         | 22 615             |
| Vote 02 - Municipal Manager         |     | -               | 38 108              | 38 108          | 2 887          | 16 514        | 19 054        | (2 540)      | -13.3%         | 38 108             |
| Vote 03 - Corporate Services        |     | -               | 26 816              | 26 818          | 2 015          | 10 436        | 13 409        | (2 972)      | -22.2%         | 26 818             |
| Vote 04 - Financial Services        |     | -               | 33 734              | 33 743          | 3 265          | 15 884        | 16 871        | (987)        | -5.9%          | 33 743             |
| Vote 05 - Technical Services        |     | -               | 11 700              | 11 702          | 1 146          | 4 390         | 5 851         | (1 461)      | -25.0%         | 11 702             |
| Vote 06 - Planning And Development  |     | -               | 13 800              | 13 800          | 531            | 5 421         | 6 900         | (1 479)      | -21.4%         | 13 800             |
| Vote 07 - Municipal Health Services |     | -               | 33 247              | 33 247          | 2 375          | 13 264        | 16 623        | (3 359)      | -20.2%         | 33 247             |
| Vote 08 - Public Safety             |     | -               | 16 700              | 16 700          | 1 091          | 5 809         | 8 350         | (2 541)      | -30.4%         | 16 700             |
| Vote 09 -                           |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 10 -                           |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 11 -                           |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 12 -                           |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 13 -                           |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 14 -                           |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 15 - Other                     |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Total Expenditure by Vote           | 2   | -               | 196 732             | 196 732         | 15 085         | 79 038        | 98 366        | (19 328)     | -19.6%         | 196 732            |
| Surplus/ (Deficit) for the year     | 2   | -               | 3 357               | 3 357           | 48 375         | 68 750        | 48 321        | 20 429       | 42.3%          | 3 357              |

#### 1.4.4. Table C4 Quarterly Budget Statement - Financial Performance (revenue and expenditure) for 2nd Quarter ended 31 December 2019

Table C4 comprises of Revenue by Source and Expenditure by Type. Material Variances are also explained below each table.

##### a) Revenue by source

| Description   | Ref | 2018/19         | Budget Year 2019/20 |                 |                |               |               |              |                |                    |
|---|-----|-----------------|---------------------|-----------------|----------------|---------------|---------------|--------------|----------------|--------------------|
|   |     | Audited Outcome | Original Budget     | Adjusted Budget | Monthly actual | YearTD actual | YearTD budget | YTD variance | YTD variance % | Full Year Forecast |
| R thousands   |     |                 |                     |                 |                |               |               |              |                |                    |
| Revenue By Source   |     |                 |                     |                 |                |               |               |              |                |                    |
| Property rates  |     |                 |                     |                 |                |               |               | -            |                |                    |
| Service charges - electricity revenue                         |     |                 |                     |                 |                |               |               | -            |                |                    |
| Service charges - water revenue                               |     |                 |                     |                 |                |               |               | -            |                |                    |
| Service charges - sanitation revenue                          |     |                 |                     |                 |                |               |               | -            |                |                    |
| Service charges - refuse revenue                              |     |                 |                     |                 |                |               |               | -            |                |                    |
| Rental of facilities and equipment                            |     |                 |                     |                 |                |               |               | -            |                |                    |
| Interest earned - external investments                        |     |                 | 2 950               | 2 950           | 166            | 1 999         | 1 475         | 524          | 35%            | 2 950              |
| Interest earned - outstanding debtors                         |     |                 | -                   | -               | -              | -             | -             | -            |                | -                  |
| Dividends received  |     |                 | -                   | -               | -              | -             | -             | -            |                | -                  |
| Fines, penalties and forfeits                                 |     |                 | -                   | -               | -              | -             | -             | -            |                | -                  |
| Licences and permits  |     |                 | 350                 | 350             | 22             | 277           | 175           | 102          | 58%            | 350                |
| Agency services   |     |                 | -                   | -               | -              | -             | -             | -            |                | -                  |
| Transfers and subsidies                                       |     |                 | 196 082             | 196 082         | 62 459         | 143 940       | 144 683       | (743)        | -1%            | 196 082            |
| Other revenue   |     |                 | 707                 | 707             | 813            | 1 573         | 354           | 1 220        | 345%           | 707                |
| Gains on disposal of PPE                                      |     |                 | -                   | -               | -              | -             | -             | -            |                | -                  |
| Total Revenue (excluding capital transfers and contributions) |     | -               | 200 089             | 200 089         | 63 460         | 147 789       | 146 687       | 1 102        | 1%             | 200 089            |

The breakdown is illustrated in the table below.

| DESCRIPTION   | APPROVED BUDGET | CURRENT MONTH (DECEMBER) | YEAR TO DATE    | BALANCE        | % REC. |
|---|-----------------|--------------------------|-----------------|----------------|--------|
| Interest earned-external investment                                   | R2 950 000.00   | R165 750.97              | R1 998 510.87   | R951 489.13    | 67.74  |
| Grants and subsidies received operating                               | R190 248 000.00 | R62 421 000.00           | R142 000 000.00 | R48 248 000.00 | 74.64  |
| Grants and subsidies received operating (LGSETA)                      | R3 228 400.00   | R37 612.10               | R116 228.30     | R3 112 171.70  | 3.60   |
| Grants and subsidies received capital (Rural Roads Assets Management) | R2 606 000.00   | -                        | R1 824 000.00   | R782 000.00    | 69.99  |
| Other Revenue (Health Certificates)                                   | R350 000.00     | R22 176.23               | R276 705.19     | R73 294.81     | 79.05  |
| Other Revenue (Tender Deposits)                                       | R10 000.00      | R7 100.00                | R70 800.00      | R-60 800.00    | 708.00 |
| Other Revenue (Commission Insurance)                                  | R0.00           | R0                       | R691 351.96     | R-691 351.96   | -      |
| Other Revenue Bursary Refund  | R0              | R4 451.60                | R9 489.48       | R-9 489.48     | -      |

|  |                        |                       |                        |                       |              |
|--|------------------------|-----------------------|------------------------|-----------------------|--------------|
| Other Revenue<br>(Spatial<br>Development<br>Framework) | R697 000.00            | R801 550.00           | R801 550.00            | R-104 550.00          | 115.00       |
| <b>TOTAL</b>   | <b>R200 089 400.00</b> | <b>R63 459 640.90</b> | <b>R147 788 635.80</b> | <b>R52 300 764.20</b> | <b>73.86</b> |

The year-to-date revenue received amounts to **R147, 789 Million** which is **73.86%** of the total budgeted revenue. The municipality's estimated revenue of more than 90% from Conditional and non- conditional grants, which indicates that the Municipality is Grant dependant.

The Total Operating Revenue for the month of December is **R63 459 640.90** which comprises of interest earned on short-term investment and call accounts, revenue received from Health Certificates, Commission Insurance, Bursary Refund and LGSETA Grant.

#### **Material Variances: Revenue by Source**

| <b>Description</b>                     | <b>%<br/>Variance</b> | <b>Reasons for material deviations</b>  |
|--|-----------------------|---|
| Interest earned - external investments | 35%                   | The non-conditional grants are received from NT by the municipality on quarterly basis is invested on a short term fixed deposit with financial institutions to earn interest. The major contracts such as Call Centre and Wi-Fi came to an end hence more money were invested.               |
| Transfers and subsidies                | -1%                   | The municipality had not received the second trench of EPWP amounting to R743 000.00 as scheduled by National treasury. The relevant Department has communicated with the National Treasury and the transfer will be received.  |
| Other revenue                          | 345%                  | There was under budget on sales of tender deposits and the municipality received commission from third parties. The Municipality received income for assisting the City of Matlosana and JB Marks Local Municipality with the Development and Updating of the Spatial Development Frameworks. |
| Licence and permits                    | 58%                   | The Municipality through municipal health services managed to raise R 276 705.19 as at 31 December 2019, compared to the budgeted amount of R350 000.00   |

## b) Operating Expenditure by type

| Description                     | Ref | 2018/19         | Budget Year 2019/20 |                 |                |               |               |              |                |                    |
|---------------------------------|-----|-----------------|---------------------|-----------------|----------------|---------------|---------------|--------------|----------------|--------------------|
|                                 |     | Audited Outcome | Original Budget     | Adjusted Budget | Monthly actual | YearTD actual | YearTD budget | YTD variance | YTD variance % | Full Year Forecast |
| R thousands                     |     |                 |                     |                 |                |               |               |              |                |                    |
| Expenditure By Type             |     |                 |                     |                 |                |               |               |              |                |                    |
| Employee related costs          |     |                 | 107 120             | 107 120         | 8 094          | 45 461        | 53 560        | (8 099)      | -15%           | 107 120            |
| Remuneration of councillors     |     |                 | 11 842              | 11 842          | 848            | 5 066         | 5 921         | (855)        | -14%           | 11 842             |
| Debt impairment                 |     |                 | –                   | –               | –              | –             | –             | –            | –              | –                  |
| Depreciation & asset impairment |     |                 | 5 440               | 5 440           | –              | –             | 2 720         | (2 720)      | -100%          | 5 440              |
| Finance charges                 |     |                 | –                   | –               | –              | –             | –             | –            | –              | –                  |
| Bulk purchases                  |     |                 | –                   | –               | –              | –             | –             | –            | –              | –                  |
| Other materials                 |     |                 | 3 539               | 3 609           | 202            | 1 352         | 1 805         | (452)        | -25%           | 3 609              |
| Contracted services             |     |                 | 28 150              | 29 050          | 2 914          | 13 486        | 14 525        | (1 039)      | -7%            | 29 050             |
| Transfers and subsidies         |     |                 | 5 949               | 5 949           | 610            | 1 892         | 2 975         | (1 082)      | -36%           | 5 949              |
| Other expenditure               |     |                 | 34 672              | 33 702          | 2 416          | 11 782        | 16 851        | (5 069)      | -30%           | 33 702             |
| Loss on disposal of PPE         |     |                 | 20                  | 20              | –              | –             | 10            | (10)         | -100%          | 20                 |
| Total Expenditure               |     | –               | 196 732             | 196 732         | 15 085         | 79 038        | 98 366        | (19 328)     | -20%           | 196 732            |

To date, **R79, 038 Million** has been spent compared to the operational year-to-date budget projections of **R98, 366 million**. The year to date variance amounts to **R19, 328 Million**.

The variance on expenditure result from Depreciation & asset impairment which reflect zero movement as at 31 December 2019, where the capitalisation of assets will be processed within second and third quarter and the expenditure relating employee related cost, Transfers and subsidies and contracted services will be expensed during the second and third quarter.

The summary statement of financial performance in, **Table C4** is prepared on a similar basis to the prescribed budget format, detailing expenditure by input type.

### Material Variances: Operating Expenditure by type

| Description                     | % Variance | Reasons for material deviations  |
|---------------------------------|------------|--|
| Employee related costs          | -15%       | Most of the Senior Management and municipal staff vacant positions were advertised and it is expected that the post will be filled during the second and third quarter.  |
| Remuneration of councillors     | -14%       | Councillors' allowance and benefits expenditure will accumulate during the second and third quarter, taking the upper limits into account.   |
| Depreciation & asset impairment | -100%      | The Capitalisation of the assets has not yet been processed as at 31 December 2019 hence there is no movement on the Depreciation and assets impairment.   |
| Other materials                 | -25%       | Other Materials includes Pest Control and Fuel. There was Quotation for the Supply and delivery of Pest control chemicals that was advertised and closed on the 12 November 2019 and will be finalised During the third quarter. And the Municipality budgeted R1.5 Million on the fuel for municipal vehicles which has spent at 42.92 % as at 31 December 2019 |

| Description             | % Variance | Reasons for material deviations  |
|-------------------------|------------|--|
| Contracted services     | -7%        | <p>The <b>consultants and professional services</b> expenditure is at 19.32 % as at 31 December 2019, the municipality had budgeted R3 million for Consultants to prepare the AFS and is spent at 30.13% as the AFS were prepared in-house.</p> <p>The Tender on RRAMS Grant was finalised during November 2019 and the % variance decreased as compared to previous month.</p> <p>The municipality budgeted R1 Million for Public Private Partnership (PPP) which is has not been spent to date and expenditure will be incurred during the Third and fourth quarter.</p> <p>The <b>Outsourced Services</b> expenditure is at 51.74 % which CBPs and EPWP, the expenditure was incurred during second quarter as most of the EPWPs employees started during the month of November 2019.</p> |
| Transfers and subsidies | -36%       | <p>More than 40% of the budget under Transfers and subsidies is allocated to Merit Bursaries for non-employees, there was an advert to invite all qualifying and deserving Students within DR KKD and the expenditure is expected to be incurred within the fourth quarter as the due processes has to be followed.</p> <p>The LED support grants budgeted R1 Million will be expensed to the qualifying beneficiaries during the third quarter as per the <b>SDBIP</b>, with the target to support 30 SMMEs/ Cooperatives through grant funding.</p>  |
| Other expenditure       | -30%       | <p>External Audit fees will be paid in the 3<sup>rd</sup> Quarter as outstanding invoices are not yet received.</p> <p>.</p> <p>The municipality finalised a contract to lease the Photocopying machines within the second quarter, and expenditure will be expensed monthly.</p>  |
| Loss on disposal of PPE | -100%      | There were no disposal of assets as at 31 December 2019.   |

### 1.4.5. Table C5 Quarterly Budget Statement - Capital Expenditure (municipal vote, functional classification and funding) for 2nd Quarter ended 31 December 2019

| Vote Description   | Ref | 2018/19         | Budget Year 2019/20 |                 |                |               |               |              |                |                    |
|--|-----|-----------------|---------------------|-----------------|----------------|---------------|---------------|--------------|----------------|--------------------|
|  |     | Audited Outcome | Original Budget     | Adjusted Budget | Monthly actual | YearTD actual | YearTD budget | YTD variance | YTD variance % | Full Year Forecast |
| <b>R thousands</b>   | 1   |                 |                     |                 |                |               |               |              |                |                    |
| <b>Multi-Year expenditure appropriation</b>                  | 2   |                 |                     |                 |                |               |               |              |                |                    |
| Vote 01 - Executive & Council                                |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 02 - Municipal Manager                                  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 03 - Corporate Services                                 |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 04 - Financial Services                                 |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 05 - Technical Services                                 |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 06 - Planning And Development                           |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 07 - Municipal Health Services                          |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 08 - Public Safety                                      |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 09 -  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 10 -  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 11 -  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 12 -  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 13 -  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 14 -  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 15 - Other  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| <b>Total Capital Multi-year expenditure</b>                  | 4,7 | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| <b>Single Year expenditure appropriation</b>                 | 2   |                 |                     |                 |                |               |               |              |                |                    |
| Vote 01 - Executive & Council                                |     | -               | 25                  | 25              | -              | -             | 13            | (13)         | -100%          | 25                 |
| Vote 02 - Municipal Manager                                  |     | -               | 165                 | 165             | 3              | 38            | 83            | (44)         | -53%           | 165                |
| Vote 03 - Corporate Services                                 |     | -               | 200                 | 200             | -              | 78            | 100           | (22)         | -22%           | 200                |
| Vote 04 - Financial Services                                 |     | -               | 1 855               | 1 855           | 563            | 608           | 928           | (320)        | -34%           | 1 855              |
| Vote 05 - Technical Services                                 |     | -               | 10                  | 10              | -              | -             | 5             | (5)          | -100%          | 10                 |
| Vote 06 - Planning And Development                           |     | -               | 20                  | 20              | -              | -             | 10            | (10)         | -100%          | 20                 |
| Vote 07 - Municipal Health Services                          |     | -               | 195                 | 195             | -              | 16            | 98            | (82)         | -84%           | 195                |
| Vote 08 - Public Safety                                      |     | -               | 540                 | 540             | 6              | 221           | 270           | (49)         | -18%           | 540                |
| Vote 09 -  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 10 -  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 11 -  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 12 -  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 13 -  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 14 -  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Vote 15 - Other  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| <b>Total Capital single-year expenditure</b>                 | 4   | -               | 3 010               | 3 010           | 572            | 961           | 1 505         | (544)        | -36%           | 3 010              |
| <b>Total Capital Expenditure</b>                             |     | -               | 3 010               | 3 010           | 572            | 961           | 1 505         | (544)        | -36%           | 3 010              |
| <b>Capital Expenditure - Functional Classification</b>       |     |                 |                     |                 |                |               |               |              |                |                    |
| <b>Governance and administration</b>                         |     | -               | 2 255               | 2 255           | 566            | 724           | 1 128         | (403)        | -36%           | 2 255              |
| Executive and council  |     | -               | 160                 | 160             | 3              | 38            | 80            | (42)         | -52%           | 160                |
| Finance and administration                                   |     | -               | 2 065               | 2 065           | 563            | 686           | 1 033         | (347)        | -34%           | 2 065              |
| Internal audit   |     | -               | 30                  | 30              | -              | -             | 15            | (15)         | -100%          | 30                 |
| <b>Community and public safety</b>                           |     | -               | 540                 | 540             | 6              | 221           | 270           | (49)         | -18%           | 540                |
| Community and social services                                |     | -               | 170                 | 170             | 6              | 6             | 85            | (79)         | -93%           | 170                |
| Sport and recreation   |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Public safety  |     | -               | 370                 | 370             | -              | 215           | 185           | 30           | 16%            | 370                |
| Housing  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Health   |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| <b>Economic and environmental services</b>                   |     | -               | 215                 | 215             | -              | 16            | 108           | (92)         | -85%           | 215                |
| Planning and development                                     |     | -               | 20                  | 20              | -              | -             | 10            | (10)         | -100%          | 20                 |
| Road transport   |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Environmental protection                                     |     | -               | 195                 | 195             | -              | 16            | 98            | (82)         | -84%           | 195                |
| <b>Trading services</b>                                      |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Energy sources   |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Water management   |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Waste water management                                       |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Waste management   |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| <b>Other</b>   |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| <b>Total Capital Expenditure - Functional Classification</b> | 3   | -               | 3 010               | 3 010           | 572            | 961           | 1 505         | (544)        | -36%           | 3 010              |
| <b>Funded by:</b>  |     |                 |                     |                 |                |               |               |              |                |                    |
| National Government  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Provincial Government  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| District Municipality  |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| Other transfers and grants                                   |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| <b>Transfers recognised - capital</b>                        |     | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| <b>Borrowing</b>   | 6   | -               | -                   | -               | -              | -             | -             | -            |                | -                  |
| <b>Internally generated funds</b>                            |     | -               | 3 010               | 3 010           | 572            | 961           | 1 505         | (544)        | -36%           | 3 010              |
| <b>Total Capital Funding</b>                                 |     | -               | 3 010               | 3 010           | 572            | 961           | 1 505         | (544)        | -36%           | 3 010              |

Year-to-date Capital expenditure amounts to **R 961 thousand** as compared to the operational year-to-date budget projections of **R 1, 505 Million**. Capital expenditure will improve as the financial year progress, as IT Equipment are to be procured during the second and third quarter.

The municipality advertised the tender for the procurement of Computer hardware and software as and when required which will be closed on the 3 December 2019 and it is expected that the total budgeted expenditure will be expensed during the third quarter.

| Description   | % Variance | Reasons for material deviations   |
|---|------------|---|
| Total Capital Expenditure - Functional Classification | -36%       | More than 50% of the Capital budget is budgeted for IT Equipment's which will be procured during the second and third quarter. The municipality advertised the tender for the procurement of Computer hardware and software as and when required which will be closed on the 3 December 2019 and it is expected that the total budgeted expenditure will be expensed during the third quarter.<br>. |

**1.4.6. Table C6 Quarterly Budget Statement - - Financial Position for 2nd Quarter ended 31 December 2019**

| Description                              | Ref      | 2018/19         | Budget Year 2019/20 |                 |                |                    |
|--|----------|-----------------|---------------------|-----------------|----------------|--------------------|
|  |          | Audited Outcome | Original Budget     | Adjusted Budget | YearTD actual  | Full Year Forecast |
| <b>R thousands</b>                       | <b>1</b> |                 |                     |                 |                |                    |
| <b><u>ASSETS</u></b>                     |          |                 |                     |                 |                |                    |
| <b>Current assets</b>                    |          |                 |                     |                 |                |                    |
| Cash                                     |          |                 | 569                 | 3 745           | 11 615         | 3 745              |
| Call investment deposits                 |          |                 | 15 000              | 10 000          | 88 444         | 10 000             |
| Consumer debtors                         |          |                 |                     | (314)           | (20)           | (314)              |
| Other debtors                            |          |                 |                     | 23 724          | 34 860         | 23 724             |
| Current portion of long-term receivables |          |                 |                     |                 |                |                    |
| Inventory                                |          |                 | —                   | —               | —              | —                  |
| <b>Total current assets</b>              |          | —               | <b>15 569</b>       | <b>37 155</b>   | <b>134 899</b> | <b>37 155</b>      |
| <b>Non current assets</b>                |          |                 |                     |                 |                |                    |
| Long-term receivables                    |          |                 |                     |                 |                |                    |
| Investments                              |          |                 |                     | 63              | 0              | 63                 |
| Investment property                      |          |                 |                     |                 |                |                    |
| Investments in Associate                 |          |                 | 0                   |                 |                |                    |
| Property, plant and equipment            |          |                 | 51 720              | 50 938          | 25 794         | 50 938             |
| Biological                               |          |                 |                     |                 |                |                    |
| Intangible                               |          |                 | 3 239               | 3 339           | 688            | 3 339              |
| Other non-current assets                 |          | —               | —                   | —               | —              | —                  |
| <b>Total non current assets</b>          |          | —               | <b>54 959</b>       | <b>54 340</b>   | <b>26 482</b>  | <b>54 340</b>      |
| <b>TOTAL ASSETS</b>                      |          | —               | <b>70 528</b>       | <b>91 495</b>   | <b>161 381</b> | <b>91 495</b>      |
| <b><u>LIABILITIES</u></b>                |          |                 |                     |                 |                |                    |
| <b>Current liabilities</b>               |          |                 |                     |                 |                |                    |
| Bank overdraft                           |          | —               | —                   | —               | —              | —                  |
| Borrowing                                |          |                 | 966                 | 966             | 494            | 966                |
| Consumer deposits                        |          | —               | —                   | —               | —              | —                  |
| Trade and other payables                 |          |                 | 14 333              | 46 456          | 39 160         | 46 456             |
| Provisions                               |          |                 | 12 257              | 12 257          | 15 715         | 12 257             |
| <b>Total current liabilities</b>         |          | —               | <b>27 556</b>       | <b>59 679</b>   | <b>55 369</b>  | <b>59 679</b>      |
| <b>Non current liabilities</b>           |          |                 |                     |                 |                |                    |
| Borrowing                                |          |                 | 3                   | 3               | 154            | 3                  |
| Provisions                               |          |                 | 14 050              | 10 683          | 9 361          | 10 683             |
| <b>Total non current liabilities</b>     |          | —               | <b>14 053</b>       | <b>10 687</b>   | <b>9 515</b>   | <b>10 687</b>      |
| <b>TOTAL LIABILITIES</b>                 |          | —               | <b>41 609</b>       | <b>70 365</b>   | <b>64 884</b>  | <b>70 365</b>      |
| <b>NET ASSETS</b>                        | <b>2</b> | —               | <b>28 918</b>       | <b>21 130</b>   | <b>96 498</b>  | <b>21 130</b>      |
| <b><u>COMMUNITY WEALTH/EQUITY</u></b>    |          |                 |                     |                 |                |                    |
| Accumulated Surplus/(Deficit)            |          |                 | 27 330              | 19 541          | 94 909         | 19 541             |
| Reserves                                 |          |                 | 1 588               | 1 588           | 1 588          | 1 588              |
| <b>TOTAL COMMUNITY WEALTH/EQUITY</b>     | <b>2</b> | —               | <b>28 918</b>       | <b>21 130</b>   | <b>96 498</b>  | <b>21 130</b>      |



# **1.4.7. Table C7 Quarterly Budget Statement -- Cash Flow for 2nd Quarter ended 31 December 2019**

| Description                                       | Ref      | 2018/19         | Budget Year 2019/20 |                 |                |               |               |              |                |                    |
|---|----------|-----------------|---------------------|-----------------|----------------|---------------|---------------|--------------|----------------|--------------------|
|   |          | Audited Outcome | Original Budget     | Adjusted Budget | Monthly actual | YearTD actual | YearTD budget | YTD variance | YTD variance % | Full Year Forecast |
| <b>R thousands</b>                                | <b>1</b> |                 |                     |                 |                |               |               |              |                |                    |
| <b>CASH FLOW FROM OPERATING ACTIVITIES</b>        |          |                 |                     |                 |                |               |               |              |                |                    |
| <b>Receipts</b>                                   |          |                 |                     |                 |                |               |               |              |                |                    |
| Property rates                                    |          |                 | -                   | -               | -              | -             | -             | -            |                | -                  |
| Service charges                                   |          |                 | -                   | -               | -              | -             | -             | -            |                | -                  |
| Other revenue                                     |          |                 | 1 057               | 1 057           | 835            | 1 850         | 1 321         | 528          | 40%            | 1 057              |
| Government - operating                            |          |                 | 196 082             | 196 082         | 62 459         | 143 940       | 144 683       | (743)        | -1%            | 196 082            |
| Government - capital                              |          |                 | -                   | -               | -              | -             | -             | -            |                | -                  |
| Interest  |          |                 | 2 950               | 2 950           | 166            | 1 999         | 1 475         | 524          | 35%            | 2 950              |
| Dividends   |          |                 | -                   | -               | -              | -             | -             | -            |                | -                  |
| <b>Payments</b>                                   |          |                 |                     |                 |                |               |               |              |                |                    |
| Suppliers and employees                           |          |                 | (185 323)           | (185 323)       | (14 475)       | (77 146)      | (92 661)      | (15 515)     | 17%            | (185 323)          |
| Finance charges                                   |          |                 | -                   | -               | -              | -             | -             | -            |                | -                  |
| Transfers and Grants                              |          |                 | (5 949)             | (5 949)         | (610)          | (1 892)       | (2 975)       | (1 082)      | 36%            | (5 949)            |
| <b>NET CASH FROM/(USED) OPERATING ACTIVITIES</b>  |          |                 | -                   | 8 817           | 48 375         | 68 750        | 51 844        | (16 907)     | -33%           | 8 817              |
| <b>CASH FLOWS FROM INVESTING ACTIVITIES</b>       |          |                 |                     |                 |                |               |               |              |                |                    |
| <b>Receipts</b>                                   |          |                 |                     |                 |                |               |               |              |                |                    |
| Proceeds on disposal of PPE                       |          |                 |                     |                 |                |               |               | -            |                |                    |
| Decrease (Increase) in non-current debtors        |          |                 |                     |                 |                |               |               | -            |                |                    |
| Decrease (increase) other non-current receivables |          |                 |                     |                 |                |               |               | -            |                |                    |
| Decrease (increase) in non-current investments    |          |                 |                     |                 |                |               |               | -            |                |                    |
| <b>Payments</b>                                   |          |                 |                     |                 |                |               |               |              |                |                    |
| Capital assets                                    |          |                 | (3 010)             | (3 010)         | (572)          | (961)         | (1 505)       | (544)        | 36%            | (3 010)            |
| <b>NET CASH FROM/(USED) INVESTING ACTIVITIES</b>  |          |                 | -                   | (3 010)         | (572)          | (961)         | (1 505)       | (544)        | 36%            | (3 010)            |
| <b>CASH FLOWS FROM FINANCING ACTIVITIES</b>       |          |                 |                     |                 |                |               |               |              |                |                    |
| <b>Receipts</b>                                   |          |                 |                     |                 |                |               |               |              |                |                    |
| Short term loans                                  |          |                 |                     |                 |                |               |               | -            |                |                    |
| Borrowing long term/refinancing                   |          |                 |                     |                 |                |               |               | -            |                |                    |
| Increase (decrease) in consumer deposits          |          |                 |                     |                 |                |               |               | -            |                |                    |
| <b>Payments</b>                                   |          |                 |                     |                 |                |               |               |              |                |                    |
| Repayment of borrowing                            |          |                 |                     |                 |                |               |               | -            |                |                    |
| <b>NET CASH FROM/(USED) FINANCING ACTIVITIES</b>  |          |                 | -                   | -               | -              | -             | -             | -            |                | -                  |
| <b>NET INCREASE/ (DECREASE) IN CASH HELD</b>      |          |                 | -                   | 5 807           | 47 803         | 67 789        | 50 339        |              |                | 5 807              |
| Cash/cash equivalents at beginning:               |          |                 | 14 512              | 13 745          |                | 32 269        |               |              |                |                    |
| Cash/cash equivalents at month/year end:          |          |                 | -                   | 20 319          | 19 552         | 100 059       | 50 339        |              |                | 5 807              |

The municipality started the financial year 2019/2020 with total cash and cash equivalents of **R33, 4 million**. The year-to date cash and cash equivalents as at 31 December 2019 amounted to **R100 million** which comprises of:

Current investment **R88 443 650.00**

Bank balance **R11 608 375.45**

Petty Cash **R6 600.00**

The net increase is as a result of the grants received in advance and not at the end of the financial year.

## PART 2: SUPPORTING DOCUMENTATIONS

### 1.1. Debtors' Analysis

**Supporting Table SC3 Quarterly Budget Statement - aged debtors for 2nd Quarter ended 31 December 2019**

| Description   | NT Code | Budget Year 2019/20 |            |            |             |             |             |              |          |       |   | Total over 90 days | Actual Bad Debts Written Off against Debtors | Impairment - Bad Debts i.t. Council Policy |
|---|---------|---------------------|------------|------------|-------------|-------------|-------------|--------------|----------|-------|---|--------------------|--|--|
|   |         | 0-30 Days           | 31-60 Days | 61-90 Days | 91-120 Days | 121-150 Dys | 151-180 Dys | 181 Dys-1 Yr | Over 1Yr | Total |   |                    |  |  |
| R thousands   |         |                     |            |            |             |             |             |              |          |       |   |                    |  |  |
| Debtors Age Analysis By Income Source                                   |         |                     |            |            |             |             |             |              |          |       |   |                    |  |  |
| Trade and Other Receivables from Exchange Transactions - Water          | 1200    |                     |            |            |             |             |             |              |          | -     | - |                    |  |  |
| Trade and Other Receivables from Exchange Transactions - Electricity    | 1300    |                     |            |            |             |             |             |              |          | -     | - |                    |  |  |
| Receivables from Non-exchange Transactions - Property Rates             | 1400    |                     |            |            |             |             |             |              |          | -     | - |                    |  |  |
| Receivables from Exchange Transactions - Waste Water Management         | 1500    |                     |            |            |             |             |             |              |          | -     | - |                    |  |  |
| Receivables from Exchange Transactions - Waste Management               | 1600    |                     |            |            |             |             |             |              |          | -     | - |                    |  |  |
| Receivables from Exchange Transactions - Property Rental Debtors        | 1700    |                     |            |            |             |             |             |              |          | -     | - |                    |  |  |
| Interest on Arrear Debtor Accounts                                      | 1810    |                     |            |            |             |             |             |              |          | -     | - |                    |  |  |
| Recoverable unauthorised, irregular, fruitless and wasteful expenditure | 1820    |                     |            |            |             |             |             |              |          | -     | - |                    |  |  |
| Other   | 1900    |                     |            |            |             |             |             |              |          | -     | - |                    |  |  |
| Total By Income Source  | 2000    | -                   | -          | -          | -           | -           | -           | -            | -        | -     | - | -                  | -  | -  |
| 2018/19 - totals only   |         |                     |            |            |             |             |             |              |          | -     | - |                    |  |  |
| Debtors Age Analysis By Customer Group                                  |         |                     |            |            |             |             |             |              |          |       |   |                    |  |  |
| Organs of State   | 2200    |                     |            |            |             |             |             |              |          | -     | - |                    |  |  |
| Commercial  | 2300    |                     |            |            |             |             |             |              |          | -     | - |                    |  |  |
| Households  | 2400    |                     |            |            |             |             |             |              |          | -     | - |                    |  |  |
| Other   | 2500    |                     |            |            |             |             |             |              |          | -     | - |                    |  |  |
| Total By Customer Group   | 2600    | -                   | -          | -          | -           | -           | -           | -            | -        | -     | - | -                  | -  | -  |

The table above illustrates all debtors owed to the Municipality and current the District does not have outstanding debtors as at 31 December 2019 hence the table is empty.

## 1.2. Creditors' Analysis

### Supporting Table SC4 Quarterly Budget Statement - aged creditors for 2nd Quarter ended 31 December 2019

| Description                             | NT<br>Code | Budget Year 2019/20 |                 |                 |                  |                   |                   |                      |                |       | Prior year                        |
|---|------------|---------------------|-----------------|-----------------|------------------|-------------------|-------------------|----------------------|----------------|-------|-----------------------------------|
|   |            | 0 -<br>30 Days      | 31 -<br>60 Days | 61 -<br>90 Days | 91 -<br>120 Days | 121 -<br>150 Days | 151 -<br>180 Days | 181 Days -<br>1 Year | Over 1<br>Year | Total | totals for chart<br>(same period) |
| R thousands                             |            |                     |                 |                 |                  |                   |                   |                      |                |       |                                   |
| Creditors Age Analysis By Customer Type |            |                     |                 |                 |                  |                   |                   |                      |                |       |                                   |
| Bulk Electricity                        | 0100       | -                   | -               | -               | -                | -                 | -                 | -                    | -              | -     | -                                 |
| Bulk Water                              | 0200       | -                   | -               | -               | -                | -                 | -                 | -                    | -              | -     | -                                 |
| PAYE deductions                         | 0300       | -                   | -               | -               | -                | -                 | -                 | -                    | -              | -     | -                                 |
| VAT (output less input)                 | 0400       | -                   | -               | -               | -                | -                 | -                 | -                    | -              | -     | -                                 |
| Pensions / Retirement deductions        | 0500       | -                   | -               | -               | -                | -                 | -                 | -                    | -              | -     | -                                 |
| Loan repayments                         | 0600       | -                   | -               | -               | -                | -                 | -                 | -                    | -              | -     | -                                 |
| Trade Creditors                         | 0700       | -                   | -               | -               | -                | -                 | 2 484             | -                    | -              | 2 484 | -                                 |
| Auditor General                         | 0800       | -                   | -               | -               | -                | -                 | -                 | -                    | -              | -     | -                                 |
| Other                                   | 0900       | -                   | -               | -               | -                | -                 | 499               | -                    | 2 709          | 3 208 | -                                 |
| Total By Customer Type                  | 1000       | -                   | -               | -               | -                | -                 | 2 984             | -                    | 2 709          | 5 692 | -                                 |

The Existing Creditors amounting to **R5.69 Million** comprises of:

- Trade payables amounting to R2, 484 Million
- Other creditors amounting to R3, 208 Million consist of (Retention not claimed of R308 059.00 and Provision made for compensation commissioner amounting to R2 899 794.00)

### 1.3. Investment portfolio analysis

**Supporting Table SC5 Quarterly Budget Statement - investment portfolio for 2nd Quarter ended 31 December 2019**

| Investments by maturity<br>Name of institution & investment ID | Ref | Period of<br>Investment | Type of<br>Investment | Capital<br>Guarantee<br>(Yes/ No) | Variable or<br>Fixed<br>interest rate | Interest<br>Rate * | Commissio<br>n Paid<br>(Rands) | Commissio<br>n Recipient | Expiry date<br>of<br>investment | Opening<br>balance | Interest to be<br>realised | Partial /<br>Premature<br>Withdrawal<br>(4) | Investment<br>Top Up | Closing<br>Balance |
|--|-----|-------------------------|-----------------------|-----------------------------------|---------------------------------------|--------------------|--------------------------------|--------------------------|---------------------------------|--------------------|----------------------------|---|----------------------|--------------------|
| R thousands  |     | Yrs/Months              |                       |                                   |                                       |                    |                                |                          |                                 |                    |                            |   |                      |                    |
| <b>Municipality</b>  |     |                         |                       |                                   |                                       |                    |                                |                          |                                 |                    |                            |   |                      |                    |
| Nedbank-037881061820/50  |     | 36 days                 | F/DEPOSIT             | Yes                               | Fixed                                 | 7.68%              | 0                              | N/A                      | 2017/07/10                      | 5 000              | 38                         | -   | (5 038)              | -                  |
| Nedbank-037881061820/48  |     | 62 days                 | F/DEPOSIT             | Yes                               | Fixed                                 | 7.78%              | 0                              | N/A                      | 2019/07/01                      | 8 000              | 106                        | -   | (8 106)              | -                  |
| Nedbank-037881061820/49  |     | 34 days                 | F/DEPOSIT             | Yes                               | Fixed                                 | 7.67%              | 0                              | N/A                      | 2019/07/08                      | 5 000              | 36                         | -   | (5 036)              | -                  |
| Standard Bank-038659190-285                                    |     | 9 days                  | F/DEPOSIT             | Yes                               | Fixed                                 | 7.33%              | 0                              | N/A                      | 2019/07/24                      | 5 000              | 9                          | -   | (5 009)              | -                  |
| Nedbank-037881061820/51  |     | 38 days                 | F/DEPOSIT             | Yes                               | Fixed                                 | 7.60%              | 0                              | N/A                      | 2019/08/22                      | 15 000             | 119                        | -   | (15 119)             | -                  |
| Nedbank-037881061820/52  |     | 53 days                 | F/DEPOSIT             | Yes                               | Fixed                                 | 7.65%              | 0                              | N/A                      | 2019/09/06                      | 3 000              | 33                         | -   | (3 033)              | -                  |
| Standard Bank-038659190-286                                    |     | 67 days                 | F/DEPOSIT             | Yes                               | Fixed                                 | 7.68%              | 0                              | N/A                      | 2019/09/20                      | 15 000             | 211                        | -   | (15 211)             | -                  |
| Nedbank-037881061820/57  |     | 82 Days                 | F/DEPOSIT             | Yes                               | Fixed                                 | 7.68%              | 0                              | N/A                      | 2019/10/07                      | 3 000              | 52                         | -   | (3 052)              | -                  |
| Standard Bank-038659190-287                                    |     | 100 days                | F/DEPOSIT             | Yes                               | Fixed                                 | 7.78%              | 0                              | N/A                      | 2019/10/23                      | 15 000             | 320                        | -   | (15 320)             | -                  |
| Standard Bank-038659190-288                                    |     | 115 days                | F/DEPOSIT             | Yes                               | Fixed                                 | 7.90%              | 0                              | N/A                      | 2019/11/07                      | 5 000              | 124                        | -   | (5 124)              | -                  |
| Standard Bank-038659190-289                                    |     | 129 days                | F/DEPOSIT             | Yes                               | Fixed                                 | 7.92%              | 0                              | N/A                      | 2019/11/21                      | 15 000             | 420                        | -   | (15 420)             | -                  |
| Nedbank-037881061820/58  |     | 349 Days                | F/DEPOSIT             | Yes                               | Fixed                                 | 8.18%              | 0                              | N/A                      | 2020/06/30                      | 18 444             | -                          | -   | -                    | 18 444             |
| Nedbank-037881061820/60  |     | 85 days                 | F/DEPOSIT             | Yes                               | Fixed                                 | 7.41%              | 0                              | N/A                      | 2020/03/13                      | 5 000              | -                          | -   | -                    | 5 000              |
| Nedbank-037881061820/61  |     | 95 days                 | F/DEPOSIT             | Yes                               | Fixed                                 | 7.64%              | 0                              | N/A                      | 2020/03/23                      | 15 000             | -                          | -   | -                    | 15 000             |
| Nedbank-037881061820/62  |     | 186 days                | F/DEPOSIT             | Yes                               | Fixed                                 | 7.94%              | 0                              | N/A                      | 2020/06/22                      | 15 000             | -                          | -   | -                    | 15 000             |
| Standard Bank-038659190-290                                    |     | 35 days                 | F/DEPOSIT             | Yes                               | Fixed                                 | 7.21%              | 0                              | N/A                      | 2020/01/23                      | 15 000             | -                          | -   | -                    | 15 000             |
| Standard Bank-038659190-291                                    |     | 49 days                 | F/DEPOSIT             | Yes                               | Fixed                                 | 7.27%              | 0                              | N/A                      | 2020/02/06                      | 5 000              | -                          | -   | -                    | 5 000              |
| Standard Bank-038659190-292                                    |     | 64 days                 | F/DEPOSIT             | Yes                               | Fixed                                 | 7.33%              | 0                              | N/A                      | 2020/02/21                      | 15 000             | -                          | -   | -                    | 15 000             |
|  |     |                         |                       |                                   |                                       |                    |                                |                          |                                 |                    |                            |   |                      | -                  |
| <b>Municipality sub-total</b>                                  |     |                         |                       |                                   |                                       |                    |                                |                          |                                 | 182 444            |                            | -   | (95 468)             | 88 444             |
| <b>Entities</b>  |     |                         |                       |                                   |                                       |                    |                                |                          |                                 |                    |                            |   |                      | -                  |
|  |     |                         |                       |                                   |                                       |                    |                                |                          |                                 |                    |                            |   |                      | -                  |
|  |     |                         |                       |                                   |                                       |                    |                                |                          |                                 |                    |                            |   |                      | -                  |
|  |     |                         |                       |                                   |                                       |                    |                                |                          |                                 |                    |                            |   |                      | -                  |
|  |     |                         |                       |                                   |                                       |                    |                                |                          |                                 |                    |                            |   |                      | -                  |
| <b>Entities sub-total</b>                                      |     |                         |                       |                                   |                                       |                    |                                |                          |                                 | -                  |                            | -   | -                    | -                  |
| <b>TOTAL INVESTMENTS AND INTEREST</b>                          | 2   |                         |                       |                                   |                                       |                    |                                |                          |                                 | 182 444            |                            | -   | (95 468)             | 88 444             |

The Municipal Surplus cash is invested with the approved banking institutions in accordance with the Approved Investment policy.

The current investment amounts to **R88 444 Million** as at 31 December 2019, invested with Nedbank and Standard Bank.

## 1.4. Allocation and grant receipts and expenditure

**Supporting Table SC6 Quarterly Budget Statement - transfers and grant receipts for 2nd Quarter ended 31 December 2019**

| Description                                       | Ref | 2018/19         | Budget Year 2019/20 |                 |                |               |               |              |                |                    |
|---|-----|-----------------|---------------------|-----------------|----------------|---------------|---------------|--------------|----------------|--------------------|
|   |     | Audited Outcome | Original Budget     | Adjusted Budget | Monthly actual | YearTD actual | YearTD budget | YTD variance | YTD variance % | Full Year Forecast |
| <b>R thousands</b>                                |     |                 |                     |                 |                |               |               |              |                |                    |
| <b>RECEIPTS:</b>                                  | 1,2 |                 |                     |                 |                |               |               |              |                |                    |
| <b>Operating Transfers and Grants</b>             |     |                 |                     |                 |                |               |               |              |                |                    |
| <b>National Government:</b>                       |     | –               | 192 854             | 192 854         | 62 421         | 143 824       | 144 567       | (743)        | -0.5%          | 192 854            |
| Equitable Share                                   |     |                 | 24 622              | 24 622          | 8 129          | 18 291        | 18 291        | –            | 0.0%           | 24 622             |
| Expanded Public Works Programme Integrated Grant  |     |                 | 1 649               | 1 649           | –              | 413           | 1 156         | (743)        | -64.3%         | 1 649              |
| Local Government Financial Management Grant       |     |                 | 1 000               | 1 000           | –              | 1 000         | 1 000         | 0            | 0.0%           | 1 000              |
| Municipal Systems Improvement Grant               |     |                 | –                   | –               | –              | –             | –             | –            |                | –                  |
| RSC Levy Replacement                              |     |                 | 162 977             | 162 977         | 54 292         | 122 296       | 122 296       | –            | 0.0%           | 162 977            |
| Rural Road Asset Management Systems Grant         |     |                 | 2 606               | 2 606           | –              | 1 824         | 1 824         | –            | 0.0%           | 2 606              |
| Other transfers and grants [insert description]   |     |                 |                     |                 |                |               |               | –            |                |                    |
| <b>Provincial Government:</b>                     |     | –               | –                   | –               | –              | –             | –             | –            |                | –                  |
| Other transfers and grants [insert description]   |     |                 |                     |                 |                |               |               | –            |                |                    |
| <b>District Municipality:</b>                     |     | –               | –                   | –               | –              | –             | –             | –            |                | –                  |
| [insert description]                              |     |                 |                     |                 |                |               |               | –            |                |                    |
| <b>Other grant providers:</b>                     |     | –               | 3 228               | 3 228           | 38             | 116           | 116           | –            | 0.0%           | 3 228              |
| Education Training and Development Practices SETA |     |                 | 3 228               | 3 228           | 38             | 116           | 116           | –            | 0.0%           | 3 228              |
| Local Government Water and Related Service SETA   |     |                 | –                   | –               | –              | –             | –             | –            |                | –                  |
| Parent Municipality                               |     |                 | –                   | –               | –              | –             | –             | –            |                | –                  |
| Production  |     |                 | –                   | –               | –              | –             | –             | –            |                | –                  |
| <b>Total Operating Transfers and Grants</b>       | 5   | –               | 196 082             | 196 082         | 62 459         | 143 940       | 144 683       | (743)        | -0.5%          | 196 082            |
| <b>Capital Transfers and Grants</b>               |     |                 |                     |                 |                |               |               |              |                |                    |
| <b>National Government:</b>                       |     | –               | –                   | –               | –              | –             | –             | –            |                | –                  |
| Rural Road Asset Management Systems Grant         |     |                 |                     |                 |                |               |               | –            |                | –                  |
| <b>Provincial Government:</b>                     |     | –               | –                   | –               | –              | –             | –             | –            |                | –                  |
| [insert description]                              |     |                 |                     |                 |                |               |               | –            |                |                    |
| <b>District Municipality:</b>                     |     | –               | –                   | –               | –              | –             | –             | –            |                | –                  |
| [insert description]                              |     |                 |                     |                 |                |               |               | –            |                |                    |
| <b>Other grant providers:</b>                     |     | –               | –                   | –               | –              | –             | –             | –            |                | –                  |
| [insert description]                              |     |                 |                     |                 |                |               |               | –            |                |                    |
| <b>Total Capital Transfers and Grants</b>         | 5   | –               | –                   | –               | –              | –             | –             | –            |                | –                  |
| <b>TOTAL RECEIPTS OF TRANSFERS &amp; GRANTS</b>   | 5   | –               | 196 082             | 196 082         | 62 459         | 143 940       | 144 683       | (743)        | -0.5%          | 196 082            |

Table SC6 above depicts the budget statement of transfers and grant receipts. As at 31 December 2019 the total grants received amounts to **R 143, 940 Million**, which is not line with the National treasury payments schedule published on the national treasury website, the EPWP second trench amounting to **R743 000.00** was not received by the municipality in the month of November 2019 and the amount of **R116 228.30** was received from Local Government Seta.

#### 1.4.1. Grants Received as at 31 December 2019

The municipality has received conditional and non-conditional grants transfers from the National Treasury. The table below gives details of amounts received and related dates of transfers.

| <b>Grants</b>                          | <b>Amount</b>          | <b>Date of transfer</b> |
|--|------------------------|-------------------------|
| Equitable share                        | R140 699 000.00        | July and December 2019  |
| Financial Management Grant (FMG)       | R1 000 000.00          | August 2019             |
| Expanded Public Works Programme (EPWP) | R413 000.00            | August 2019             |
| Rural roads Asset Management           | R 1 824 000.00         | August 2019             |
| <b>TOTAL</b>                           | <b>R143 936 000.00</b> |                         |

#### 1.4.2. Expenditure on Grants as at 31 December 2019

The Municipality has utilised the conditional grants and the table below gives expenditure to date on each grant.

| <b>Grants</b>                    | <b>Total grant allocation from National Treasury</b> | <b>Current Month Expenditure</b> | <b>Expenditure as at 30 December 2019</b> | <b>Balance</b>       | <b>%</b>     |
|----------------------------------|--|----------------------------------|---|----------------------|--------------|
| EPWP                             | R1 649 000.00  | R162 968.65                      | R797 088.49                               | R851 911.16          | 48.33        |
| Financial Management Grant (FMG) | R1 000 000.00  | R37 363.00                       | R304 886.42                               | R695 113.58          | 30.49        |
| Rural roads Asset Management     | R2 606 000.00  | R578 478.36                      | R1 158 839.36                             | R1 447 160.64        | 44.47        |
| <b>TOTAL</b>                     | <b>R5 255 000.00</b>                                 | <b>R778 810.01</b>               | <b>R2 260 814.62</b>                      | <b>R2 994 185.38</b> | <b>43.02</b> |

#### 1.4.3. Expected Grants to be received (Second Trench)

The municipality receives the transfers of conditional and non-conditional grants in three trenches; the first trench was received in the 1<sup>st</sup> Quarter as indicated above.

The Municipality expects to receive **R64 058 000.00** from National Treasury which is in line with the National treasury payments schedule published on the national treasury website.

The second trench will be received as follows:

| Grants                       | Amount                | Date of transfer                |
|------------------------------|-----------------------|---------------------------------|
| EPWP                         | R743 000.00           | November 2019 <b>(Delayed)</b>  |
| Equitable share              | R62 533 000.00        | December 2019 <b>(Received)</b> |
| Rural roads Asset Management | R782 000.00           | February 2020                   |
| <b>TOTAL</b>                 | <b>R64 058 000.00</b> |                                 |

As per the National Treasury payment Schedule, the Municipality expected to received EPWP second trench amounting to **R743 000.00** as stated on the above table, however it was not received in the month of November 2019.

## 1.5. Councillors and employee benefits

### Supporting Table SC8 Quarterly Budget Statement - Councillors and employee benefits for 2nd Quarter ended 31 December 2019

| Summary of Employee and Councillor remuneration          | Ref | 2018/19         | Budget Year 2019/20 |                 |                |               |               |              |                |                    |
|--|-----|-----------------|---------------------|-----------------|----------------|---------------|---------------|--------------|----------------|--------------------|
|  |     | Audited Outcome | Original Budget     | Adjusted Budget | Monthly actual | YearTD actual | YearTD budget | YTD variance | YTD variance % | Full Year Forecast |
| R thousands  |     |                 |                     |                 |                |               |               |              |                |                    |
|  | 1   | A               | B                   | C               |                |               |               |              |                | D                  |
| <b>Councillors (Political Office Bearers plus Other)</b> |     |                 |                     |                 |                |               |               |              |                |                    |
| Basic Salaries and Wages                                 |     |                 | 8 466               | 8 466           | 564            | 3 343         | 4 233         | (890)        | -21%           | 8 466              |
| Pension and UIF Contributions                            |     |                 | 371                 | 371             | 55             | 330           | 186           | 144          | 78%            | 371                |
| Medical Aid Contributions                                |     |                 | 308                 | 308             | 18             | 110           | 154           | (44)         | -28%           | 308                |
| Motor Vehicle Allowance                                  |     |                 | 1 523               | 1 523           | 103            | 616           | 761           | (145)        | -19%           | 1 523              |
| Cellphone Allowance                                      |     |                 | 688                 | 688             | 63             | 377           | 344           | 33           | 10%            | 688                |
| Housing Allowances                                       |     |                 |                     |                 |                |               |               | -            |                |                    |
| Other benefits and allowances                            |     |                 | 486                 | 486             | 46             | 289           | 243           | 46           | 19%            | 486                |
| <b>Sub Total - Councillors</b>                           |     | -               | 11 842              | 11 842          | 848            | 5 066         | 5 921         | (855)        | -14%           | 11 842             |
| % increase   | 4   |                 | #DIV/0!             | #DIV/0!         |                |               |               |              |                | #DIV/0!            |
| <b>Senior Managers of the Municipality</b>               | 3   |                 |                     |                 |                |               |               |              |                |                    |
| Basic Salaries and Wages                                 |     |                 | 9 135               | 9 135           | 186            | 1 124         | 4 568         | (3 443)      | -75%           | 9 135              |
| Pension and UIF Contributions                            |     |                 | -                   | -               | -              | -             | -             | -            |                | -                  |
| Medical Aid Contributions                                |     |                 | -                   | -               | -              | -             | -             | -            |                | -                  |
| Overtime   |     |                 | -                   | -               | -              | -             | -             | -            |                | -                  |
| Performance Bonus  |     |                 | -                   | -               | -              | -             | -             | -            |                | -                  |
| Motor Vehicle Allowance                                  |     |                 | -                   | -               | 25             | 151           | -             | 151          | #DIV/0!        | -                  |
| Cellphone Allowance                                      |     |                 | 102                 | 102             | 3              | 19            | 51            | (32)         | -63%           | 102                |
| Housing Allowances                                       |     |                 | -                   | -               | -              | -             | -             | -            |                | -                  |
| Other benefits and allowances                            |     |                 | -                   | -               | 1              | 1             | -             | 1            | #DIV/0!        | -                  |
| Payments in lieu of leave                                |     |                 | -                   | -               | -              | -             | -             | -            |                | -                  |
| Long service awards                                      |     |                 | -                   | -               | -              | -             | -             | -            |                | -                  |
| Post-retirement benefit obligations                      | 2   |                 | -                   | -               | -              | -             | -             | -            |                | -                  |
| <b>Sub Total - Senior Managers of Municipality</b>       |     | -               | 9 238               | 9 238           | 215            | 1 295         | 4 619         | (3 324)      | -72%           | 9 238              |
| % increase   | 4   |                 | #DIV/0!             | #DIV/0!         |                |               |               |              |                | #DIV/0!            |
| <b>Other Municipal Staff</b>                             |     |                 |                     |                 |                |               |               |              |                |                    |
| Basic Salaries and Wages                                 |     |                 | 62 299              | 62 299          | 5 009          | 28 179        | 31 149        | (2 970)      | -10%           | 62 299             |
| Pension and UIF Contributions                            |     |                 | 11 249              | 11 249          | 758            | 4 257         | 5 625         | (1 368)      | -24%           | 11 249             |
| Medical Aid Contributions                                |     |                 | 3 922               | 3 922           | 346            | 1 783         | 1 961         | (178)        | -9%            | 3 922              |
| Overtime   |     |                 | 192                 | 192             | 17             | 78            | 96            | (18)         | -19%           | 192                |
| Performance Bonus  |     |                 | 4 206               | 4 206           | 512            | 2 007         | 2 103         | (96)         | -5%            | 4 206              |
| Motor Vehicle Allowance                                  |     |                 | 9 127               | 9 127           | 704            | 4 254         | 4 564         | (309)        | -7%            | 9 127              |
| Cellphone Allowance                                      |     |                 | 686                 | 686             | 86             | 471           | 343           | 128          | 37%            | 686                |
| Housing Allowances                                       |     |                 | 844                 | 844             | 38             | 230           | 422           | (193)        | -46%           | 844                |
| Other benefits and allowances                            |     |                 | 2 003               | 2 003           | 191            | 904           | 1 001         | (98)         | -10%           | 2 003              |
| Payments in lieu of leave                                |     |                 | 2 981               | 2 981           | 190            | 1 843         | 1 490         | 353          | 24%            | 2 981              |
| Long service awards                                      |     |                 |                     |                 |                |               |               | -            |                |                    |
| Post-retirement benefit obligations                      | 2   |                 | 373                 | 373             | 28             | 159           | 187           | (28)         | -15%           | 373                |
| <b>Sub Total - Other Municipal Staff</b>                 |     | -               | 97 882              | 97 882          | 7 879          | 44 166        | 48 941        | (4 776)      | -10%           | 97 882             |
| % increase   | 4   |                 | #DIV/0!             | #DIV/0!         |                |               |               |              |                | #DIV/0!            |
| <b>Total Parent Municipality</b>                         |     | -               | 118 962             | 118 962         | 8 942          | 50 526        | 59 481        | (8 955)      | -15%           | 118 962            |
| Unpaid salary, allowances & benefits in arrears:         |     |                 |                     |                 |                |               |               |              |                |                    |

|                                     |   |   |         |         |       |        |        |         |      |         |
|-------------------------------------|---|---|---------|---------|-------|--------|--------|---------|------|---------|
| Total Municipal Entities            |   | - | -       | -       | -     | -      | -      | -       | -    | -       |
| TOTAL SALARY, ALLOWANCES & BENEFITS |   | - | 118 962 | 118 962 | 8 942 | 50 526 | 59 481 | (8 955) | -15% | 118 962 |
| % increase                          | 4 |   | #DIV/0! | #DIV/0! |       |        |        |         |      | #DIV/0! |
| TOTAL MANAGERS AND STAFF            |   | - | 107 120 | 107 120 | 8 094 | 45 461 | 53 560 | (8 100) | -15% | 107 120 |



## 1.6. Contracted Services

The Contracted Services has three categories namely, Outsource Services, Consultants and Professional Services and Contractors

### 1.6.1. Outsourced Services

| 10002260000000000000 | OUTSOURCE SERVICES                       | Budget       | Curr Mth Receipts | YTD Movement | Balance      | % Rec |
|----------------------|--|--------------|-------------------|--------------|--------------|-------|
| 10002260300000000000 | OS: BURIAL SERVICES                      | 250 000.00   | 22 950.00         | 106 950.00   | 143 050.00   | 42.78 |
| 10002260600000000000 | OS: CATERING SERVICES                    | 455 000.00   | 41 293.70         | 161 998.70   | 293 001.30   | 35.60 |
| 10002260610000000000 | OS: CALL CENTRE                          | 4 000 000.00 | -                 | 3 508 412.00 | 491 588.00   | 87.71 |
| 10002260620000000000 | OS: CLEANING SERVICES                    | 20 000.00    | -                 | -            | 20 000.00    | -     |
| 10002260630000000000 | OS: CLEARING & GRASS CUTTING SERVICES    | 100 000.00   | 29 000.00         | 29 000.00    | 71 000.00    | 29.00 |
| 10002260900000000000 | OS: DRIVERS LICENSE CARDS                | 60 000.00    | -                 | -            | 60 000.00    | -     |
| 10002262100000000000 | OS: HYGIENE SERVICES                     | 78 000.00    | -                 | 50 118.00    | 27 882.00    | 64.25 |
| 10002263620000000000 | OS: MEDICAL SERVICES [HEALTH SERV & SUP] | 30 000.00    | -                 | 15 168.31    | 14 831.69    | 50.56 |
| 10002264500000000000 | OS: PERSONNEL & LABOUR                   | 4 100 000.00 | 554 786.33        | 890 106.33   | 3 209 893.67 | 21.70 |
| 10002265400000000000 | OS: SECURITY SERVICES                    | 50 000.00    | -                 | -            | 50 000.00    | -     |
| 10002265720000000000 | OS: TRANSPORT SERVICES                   | 60 000.00    | -                 | -            | 60 000.00    | -     |
| 10002269900000000000 | SUB TOTAL : OUTSOURCE SERVICES           | 9 203 000.00 | 648 030.03        | 4 761 753.34 | 4 441 246.66 | 51.74 |

### 1.6.2. Consultants and Professional Services

| 10002270000000000000 | CONSULTANTS AND PROFESSIONAL SERVICES    | Budget        | Curr Mth Receipts | YTD Movement | Balance      | % Rec |
|----------------------|--|---------------|-------------------|--------------|--------------|-------|
| 10002270300000000000 | C&PS: B&A ACCOUNTANTS & AUDITORS         | 350 000.00    | 3 099.36          | 3 099.36     | 346 900.64   | 0.88  |
| 10002270310000000000 | C&PS: B&A AIR POLLUTION                  | 90 000.00     | 16 425.00         | 24 135.00    | 65 865.00    | 26.81 |
| 10002270320000000000 | C&PS: B&A AUDIT COMMITTEE                | 1 000 000.00  | 92 375.65         | 638 979.58   | 361 020.42   | 63.89 |
| 10002270340000000000 | C&PS: B&A BUSINESS & FIN MANAGEMENT      | 3 500 000.00  | 971 601.95        | 1 911 901.28 | 1 588 098.72 | 54.62 |
| 10002270370000000000 | C&PS: B&A HUMAN RESOURCES                | 15 000.00     | -                 | -            | 15 000.00    | -     |
| 10002270380000000000 | C&PS: B&A MEDICAL EXAMINATIONS           | 50 000.00     | -                 | -            | 50 000.00    | -     |
| 10002270390000000000 | C&PS: B&A OCCUPATIONAL HEALTH & SAFE     | 120 000.00    | -                 | 5 075.00     | 114 925.00   | 4.22  |
| 10002270400000000000 | C&PS: B&A ORGANISATIONAL                 | 20 000.00     | -                 | -            | 20 000.00    | -     |
| 10002270420000000000 | C&PS: B&A RESEARCH & ADVISORY            | 1 160 000.00  | -                 | 31 400.20    | 1 128 599.80 | 2.70  |
| 10002270480000000000 | C&PS: B&A ACTUARIES                      | 20 000.00     | -                 | 16 700.00    | 3 300.00     | 83.50 |
| 10002272420000000000 | C&PS: I&P ECOLOGICAL                     | 70 000.00     | -                 | -            | 70 000.00    | -     |
| 10002272460000000000 | C&PS: I&P ENGINEERING CIVIL              | 10 000.00     | -                 | -            | 10 000.00    | -     |
| 10002272540000000000 | C&PS: I&P GEOINFORMATIC SERVICES         | 2 000 000.00  | -                 | 683 740.00   | 1 316 260.00 | 34.18 |
| 10002272560000000000 | C&PS: I&P LAND & QUANTITY SURVEYORS      | 3 673 000.00  | 578 478.36        | 1 033 696.33 | 2 639 303.67 | 28.14 |
| 10002272580000000000 | C&PS: I&P TOWN PLANNER                   | -             | 4 271.90          | 4 271.90     | -4 271.90    | -     |
| 10002273330000000000 | C&PS: LAB SERV WATER                     | 450 000.00    | -                 | 93 144.59    | 356 855.41   | 20.69 |
| 10002273340000000000 | C&PS: LEGAL COST ADVICE & LITIGATION     | 1 800 000.00  | 39 745.25         | 1 400 596.76 | 399 403.24   | 77.81 |
| 10002279900000000000 | SUB TOTAL : CONSULTANT AND PROF SERVICES | 14 328 000.00 | 1 705 997.47      | 5 846 740.00 | 8 481 260.00 | 40.80 |

### 1.6.3. Contractors

| 10002280000000000000 | CONTRACTORS                     | Budget       | Curr Mth Receipts | YTD Movement | Balance      | % Rec |
|----------------------|---------------------------------|--------------|-------------------|--------------|--------------|-------|
| 10002280030000000000 | CONTR: ARTISTS & PERFORMERS     | 770 000.00   | 221 581.52        | 325 574.52   | 444 425.48   | 42.28 |
| 10002280050000000000 | CONTR: AUDIO-VISUAL SERVICES    | 100 000.00   | 11 610.00         | 11 610.00    | 88 390.00    | 11.61 |
| 10002280610000000000 | CONTR: CATERING SERVICES        | 235 000.00   | 23 200.00         | 78 950.00    | 156 050.00   | 33.59 |
| 10002281210000000000 | CONTR: EMPLOYEE WELLNESS        | 200 000.00   | -                 | 34 660.00    | 165 340.00   | 17.33 |
| 10002281510000000000 | CONTR: FIRE PROTECTION          | 6 000.00     | -                 | -            | 6 000.00     | -     |
| 10002283610000000000 | CONTR: MAINTENANCE OF EQUIPMENT | 2 208 000.00 | 52 049.00         | 1 212 779.81 | 995 220.19   | 54.92 |
| 10002285400000000000 | CONTR: SAFEGUARD & SECURITY     | 2 000 000.00 | 251 651.70        | 1 213 538.94 | 786 461.06   | 60.67 |
| 10002289900000000000 | SUB TOTAL : CONTRACTORS         | 5 519 000.00 | 560 092.22        | 2 877 113.27 | 2 641 886.73 | 52.13 |

### 1.7. PROPOSED BUDGET ADJUSTMENT FOR 2019/20 FINANCIAL YEAR

#### Additional Budget Required

#### **MUNICIPAL MANAGER'S OFFICE**

- Security Services : R750 000.00
- Legal Fees : R800 000.00

#### **CORPORATE SERVICE**

- Photocopiers : R350 000.00
- Fencing of Municipal Building : 538 000.00

#### **BUDGET AND TREASURY OFFICE**

- Financial Systems : R1 200 000.00
- R3 638 000.00**

#### Potential Savings and Additional Revenue

- AFS : R1 000 000.00
  - Call Centre : R490 000.00
  - Municipal Rental (Lease) : R 538 000.00
  - Revenue-Interest Earned from Investments : R1 700 000.00
- R3 728 000.00**

## **1.8. PERFORMANCE HIGHLIGHTS: 1<sup>st</sup> and 2<sup>nd</sup> QUARTERLY PERFORMANCE REPORT**

The 1st and 2nd Quarterly Performance Reports are a reflection on the non-financial performance reporting.

It is an assessment of the organisational performance of the targets and Key performance Indicators (KPIs) against the Service Delivery Budget and Implementation Plan (SDBIP).

### **KEY PERFORMANCE AREAS**

- **KPA 1:** Basic Service Delivery and Infrastructure Development
- **KPA 2:** Municipal Transformation and Organizational Development
- **KPA 3:** District Economic Development
- **KPA 4:** Municipal Financial Viability and Management
- **KPA 5:** Good Governance & Public Participation
- **KPA 6:** Spatial Rationale

# **KPA 1: BASIC SERVICE DELIVERY AND INFRASTRUCTURE DEVELOPMENT**

# QUARTERLY PROJECTIONS OF SERVICE DELIVERY TARGETS AND PERFORMANCE INDICATORS FOR EACH VOTE

## KPA 1: BASIC SERVICE DELIVERY AND INFRASTRUCTURE DEVELOPMENT

### MUNICIPAL HEALTH SERVICES, TECHNICAL INFRASTRUCTURE SERVICES AND DISASTER RISK MANAGEMENT

| THEMATIC AREAS   | BASIC SERVICES DELIVERY                               |                             |  |                            |                            |                           |          |  |          |                    |   |  |   |                    |                               |
|--|---|-----------------------------|--|----------------------------|----------------------------|---------------------------|----------|--|----------|--------------------|---|--|---|--------------------|-------------------------------|
| KPA  | BASIC SERVICE DELIVERY AND INFRASTRUCTURE DEVELOPMENT |                             |  |                            |                            |                           |          |  |          |                    |   |  |   |                    |                               |
| OUTCOME 9  | OUTPUT 2  |                             | IMPROVING ACCESS TO BASIC SERVICES                 |                            |                            |                           |          |  |          |                    |   |  |   |                    |                               |
|  | OUTPUT 4  |                             | ACTIONS SUPPORTIVE OF THE HUMAN SETTLEMENT OUTCOME |                            |                            |                           |          |  |          |                    |   |  |   |                    |                               |
| FUNCTIONAL AREA  | STRATEGIC OBJECTIVE                                   | MUNICIPAL POWERS & FUNCTION | BASELINE 2018/2019                                 |                            |                            | KEY PERFORMANCE INDICATOR | KPI TYPE | ANNUAL TARGET  | BUDGET   | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE   | REASONS FOR DEVIATION   | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE         |
|  |   |                             | Current status (Progress to date)                  | Demand (MFMA Circular 63)  | Backlog (MFMA Circular 63) |                           |          |  |          |                    |   |  |   |                    |                               |
| Municipal Health and Environmental Management Services | To provide environmental health services              | Municipal Health Service    | 53 environmental campaigns                         | 40 environmental campaigns | Nil                        | KPI 1                     | Activity | 32 environmental awareness campaigns conducted within Dr. Kenneth Kaunda District by June 2020 | R740 000 |                    | 16 environmental awareness campaigns conducted by December 2019: 6 at Matlosana, 4 Maquassi Hills and 6 JB Marks Local Municipalities | ACHIEVED<br><br>22 environmental awareness campaigns conducted within Dr. Kenneth Kaunda District by December 2019 | Conducted 4 raids as per the media release. Workshop on tuckshops conducted Venterdorp (JB Marks) to workshop tuckshops on the role of the inspectorate | None               | Campaign report with pictures |
|  |   |                             |  |                            |                            | R 240 000                 |          |  | R 34 366 |                    |   |  |   |                    |                               |
|  |   |                             |  |                            |                            | 37052300120FLP43ZZWD      |          |  |          |                    |   |  |   |                    |                               |
|  |   |                             |  |                            |                            | 37052300140FLP43ZZWD      |          |  |          |                    |   |  |   |                    |                               |

| THEMATIC AREAS   | BASIC SERVICES DELIVERY                               |                             |  |  |                            |  |          |  |   |                    |   |   |                       |                    |   |
|--|---|-----------------------------|--|--|----------------------------|--|----------|--|---|--------------------|---|---|-----------------------|--------------------|---|
| KPA  | BASIC SERVICE DELIVERY AND INFRASTRUCTURE DEVELOPMENT |                             |  |  |                            |  |          |  |   |                    |   |   |                       |                    |   |
| OUTCOME 9  | OUTPUT 2  |                             | IMPROVING ACCESS TO BASIC SERVICES                               |  |                            |  |          |  |   |                    |   |   |                       |                    |   |
|  | OUTPUT 4  |                             | ACTIONS SUPPORTIVE OF THE HUMAN SETTLEMENT OUTCOME               |  |                            |  |          |  |   |                    |   |   |                       |                    |   |
| FUNCTIONAL AREA  | STRATEGIC OBJECTIVE                                   | MUNICIPAL POWERS & FUNCTION | BASELINE 2018/2019   |  |                            | KEY PERFORMANCE INDICATOR  | KPI TYPE | ANNUAL TARGET  | BUDGET  | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE   |
|  |   |                             | Current status (Progress to date)                                | Demand (MFMA Circular 63)  | Backlog (MFMA Circular 63) |  |          |  |   |                    |   |   |                       |                    |   |
|  |   |                             |  |  |                            |  |          |  | R 300 000<br><br>37052301870FLP43ZZWD                   | R17 000            |   |   |                       |                    |   |
| Municipal Health and Environmental Management Services | To provide environmental health services              | Municipal Health Service    | 12 compliance reports on drinking water samples taken and tested | 12 compliance reports on drinking water samples taken and tested | Nil                        | KPI 2<br>Number of compliance reports on drinking water samples taken and tested from JB Marks, Matlosana, and Maquassi Hills Local Municipalities submitted<br><br>MH&EMS | Output   | 12 compliance reports on drinking water samples taken and tested from JB Marks, Matlosana and Maquassi Hills Local Municipalities submitted by June 2020 | R450 000<br><br>37052273330FLP94ZZWD<br><br>Shared Vote | R93 145            | 6 compliance reports on drinking water samples taken and tested from JB Marks, Matlosana and Maquassi Hills Local Municipalities submitted by December 2019 | ACHIEVED<br>6 compliance reports on drinking water samples taken and tested from JB Marks, Matlosana and Maquassi Hills Local Municipalities submitted by December 2019 | None                  | None               | Compliance reports, Sampling points list, Sample analysis results |





| THEMATIC AREAS   | BASIC SERVICES DELIVERY                               |                             |  |   |                            |  |          |   |  |                    |   |   |  |                    |  |
|--|---|-----------------------------|--|---|----------------------------|--|----------|---|--|--------------------|---|---|--|--------------------|--|
| KPA  | BASIC SERVICE DELIVERY AND INFRASTRUCTURE DEVELOPMENT |                             |  |   |                            |  |          |   |  |                    |   |   |  |                    |  |
| OUTCOME 9  | OUTPUT 2  |                             | IMPROVING ACCESS TO BASIC SERVICES   |   |                            |  |          |   |  |                    |   |   |  |                    |  |
|  | OUTPUT 4  |                             | ACTIONS SUPPORTIVE OF THE HUMAN SETTLEMENT OUTCOME   |   |                            |  |          |   |  |                    |   |   |  |                    |  |
| FUNCTIONAL AREA  | STRATEGIC OBJECTIVE                                   | MUNICIPAL POWERS & FUNCTION | BASELINE 2018/2019   |   |                            | KEY PERFORMANCE INDICATOR  | KPI TYPE | ANNUAL TARGET   | BUDGET   | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION  | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE                        |
|  |   |                             | Current status (Progress to date)  | Demand (MFMA Circular 63)                       | Backlog (MFMA Circular 63) |  |          |   |  |                    |   |   |  |                    |  |
| Municipal Health and Environmental Management Services | To provide environmental health services              | Municipal Health Service    | 48 water samples taken tested at the reservoirs in Tlokwe, Ventersdorp, Matlosana and Maquassi Hills Local Municipality by June 2018 | 48 water samples taken tested at the reservoirs | Nil                        | KPI 3<br>Number of water samples taken and tested at the reservoirs in JB Marks, Matlosana and Maquassi Hills Local Municipality<br><br>MH&EMS | Output   | 48 water samples taken tested at the reservoirs in JB Marks, Matlosana and Maquassi Hills Local Municipality by June 2020 | R 450 000<br><br>3705227333<br>0FLP94ZZWD<br><br>Shared Vote | R93 145            | 24 water samples taken and tested at the reservoirs in JB Marks, Matlosana and Maquassi Hills Local Municipality by December 2019 | ACHIEVED<br><br>28 water samples taken and tested at the reservoirs in JB Marks, Matlosana and Maquassi Hills Local Municipality by December 2019 | 4 extra reservoir were sampled to ensure quality of water provided | None               | Sampling point list, sample analysis results |

| THEMATIC AREAS   | BASIC SERVICES DELIVERY                                 |                                   |  |  |                            |  |          |  |   |                    |   |   |                       |                    |   |
|--|---|-----------------------------------|--|--|----------------------------|--|----------|--|---|--------------------|---|---|-----------------------|--------------------|---|
| KPA  | BASIC SERVICE DELIVERY AND INFRASTRUCTURE DEVELOPMENT   |                                   |  |  |                            |  |          |  |   |                    |   |   |                       |                    |   |
| OUTCOME 9  | OUTPUT 2  |                                   | IMPROVING ACCESS TO BASIC SERVICES                 |  |                            |  |          |  |   |                    |   |   |                       |                    |   |
|  | OUTPUT 4  |                                   | ACTIONS SUPPORTIVE OF THE HUMAN SETTLEMENT OUTCOME |  |                            |  |          |  |   |                    |   |   |                       |                    |   |
| FUNCTIONAL AREA  | STRATEGIC OBJECTIVE                                     | MUNICIPAL POWERS & FUNCTION       | BASELINE 2018/2019                                 |  |                            | KEY PERFORMANCE INDICATOR  | KPI TYPE | ANNUAL TARGET  | BUDGET                                  | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE   |
|  |   |                                   | Current status (Progress to date)                  | Demand (MFMA Circular 63)              | Backlog (MFMA Circular 63) |  |          |  |   |                    |   |   |                       |                    |   |
| Municipal Health and Environmental Management Services | To provide environmental health services                | Environmental Management Services | 2 activities on Air Quality Management             | 2 activities on Air Quality Management | Nil                        | KPI 4<br>Number of activities conducted on Air Quality Management within Dr. Kenneth Kaunda District<br><br>MH&EMS | Activity | 4 activities conducted on Air Quality Management within Dr. Kenneth Kaunda District by June 2020 | R90 000<br><br>37052270310FLP02ZZWD     | R24 135            | 2 activity conducted on Air Quality Management within Dr Kenneth Kaunda District by December 2019       | ACHIEVED<br><br>2 activities conducted on Air Quality Management within Dr Kenneth Kaunda District by December 2019 | None                  | None               | Air Quality Activity Report with pictures                       |
| Technical Services                                     | To promote physical infrastructure development services | Municipal Planning                | 1215.63 km of Paved Roads Assessed                 | 1058.19 km of unpaved Roads Assessed   | Nil                        | KPI 5<br>Total kilometres of unpaved Roads Assessed<br><br>TIS   | Output   | 1058.19km of unpaved Roads Assessed by end Dec 2019  | R 2 606 000<br><br>35052272560RRP34ZZWD | R1 033 696         | 503.41 of unpaved roads assessed in MHLM & JB Marks and 554.78km assessed in Matlosana by December 2019 | ACHIEVED<br><br>1058.19km of unpaved Roads Assessed by end Dec 2019   | None                  | None               | 2 Quarterly Reports on the 1058.19 km of assessed unpaved roads |



| THEMATIC AREAS     | BASIC SERVICES DELIVERY                                 |                             |  |                           |                            |  |          |  |   |                    |                 |                    |                       |                    |   |
|--------------------|---|-----------------------------|--|---------------------------|----------------------------|--|----------|--|---|--------------------|-----------------|--------------------|-----------------------|--------------------|---|
| KPA                | BASIC SERVICE DELIVERY AND INFRASTRUCTURE DEVELOPMENT   |                             |  |                           |                            |  |          |  |   |                    |                 |                    |                       |                    |   |
| OUTCOME 9          | OUTPUT 2  |                             | IMPROVING ACCESS TO BASIC SERVICES                 |                           |                            |  |          |  |   |                    |                 |                    |                       |                    |   |
|                    | OUTPUT 4  |                             | ACTIONS SUPPORTIVE OF THE HUMAN SETTLEMENT OUTCOME |                           |                            |  |          |  |   |                    |                 |                    |                       |                    |   |
| FUNCTIONAL AREA    | STRATEGIC OBJECTIVE                                     | MUNICIPAL POWERS & FUNCTION | BASELINE 2018/2019                                 |                           |                            | KEY PERFORMANCE INDICATOR                                | KPI TYPE | ANNUAL TARGET  | BUDGET                                      | ACTUAL EXPENDITURE | MID YEAR TARGET | ACTUAL PERFORMANCE | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE                   |
|                    |   |                             | Current status (Progress to date)                  | Demand (MFMA Circular 63) | Backlog (MFMA Circular 63) |  |          |  |   |                    |                 |                    |                       |                    |   |
| Technical Services | To promote physical infrastructure development services | Municipal Planning          | New  | 105 Traffic Counts        | Nil                        | KPI 6<br>Number of total Traffic Counts Completed<br>TIS | Output   | 105 Traffic Counts Completed within Dr Kenneth Kaunda District Municipality by June 2020 | R 2 606 000<br><br>3505227256<br>0RRP34ZZWD |                    | None            | None               | None                  |                    | 2 quarterly reports on 105 traffic data |

| THEMATIC AREAS     | BASIC SERVICES DELIVERY                               |                             |  |                                      |                            |   |          |  |  |                    |   |  |   |   |  |
|--------------------|---|-----------------------------|--|--------------------------------------|----------------------------|---|----------|--|--|--------------------|---|--|---|---|--|
| KPA                | BASIC SERVICE DELIVERY AND INFRASTRUCTURE DEVELOPMENT |                             |  |                                      |                            |   |          |  |  |                    |   |  |   |   |  |
| OUTCOME 9          | OUTPUT 2  |                             | IMPROVING ACCESS TO BASIC SERVICES   |                                      |                            |   |          |  |  |                    |   |  |   |   |  |
|                    | OUTPUT 4  |                             | ACTIONS SUPPORTIVE OF THE HUMAN SETTLEMENT OUTCOME                                 |                                      |                            |   |          |  |  |                    |   |  |   |   |  |
| FUNCTIONAL AREA    | STRATEGIC OBJECTIVE                                   | MUNICIPAL POWERS & FUNCTION | BASELINE 2018/2019   |                                      |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET  | BUDGET                                 | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE   | REASONS FOR DEVIATION   | CORRECTIVE MEASURE  | PORTFOLIO OF EVIDENCE                  |
|                    |   |                             | Current status (Progress to date)  | Demand (MFMA Circular 63)            | Backlog (MFMA Circular 63) |   |          |  |  |                    |   |  |   |   |  |
| Technical Services | To promote physical infrastructure                    | Municipal Planning          | 1 x Draft District Spatial Development Framework covering Maquassi Hills Area only | Matlosana & JB Marks SDF's developed | Nil                        | KPI 7 Number of Draft District Spatial Development Framework developed<br><br>TIS | Output   | 1 x Reviewed District Spatial Development Framework developed by June 2020 | R1,067,000<br><br>35052272560FLP96ZZWD | R 0                | Final Matlosana & JB Marks SDF's developed by December 2019 | NOT ACHIEVED<br><br>Draft SDFs for Matlosana and JB Marks SDFs are completed | The late transfer of funds from Matlosana and JB Marks, caused the delay. Public consultation for the completed SDFs and Adoption by council is still outstanding | Funds have been received from Matlosana and JB Marks. Fastrack the public consultation and the adoption of the SDFs by councils of JB Marks and Matlosana | 1 draft Matlosana SDF & 1 JB Marks SDF |

| BASIC SERVICE DELIVERY & INFRASTRUCTURE DEVELOPMENT                               |   |   |   |
|---|---|---|---|
| Number of Targets Achieved  | Number of Targets Not Achieved  | Number of Targets Not Applicable for 1 <sup>st</sup> & 2 <sup>nd</sup> Quarter      | Total Number of Targets planned for the year  |
|  |  |  |  |
| 5 OUT 7   | 1 OUT 7   | 1   | 7   |

## **KPA 2: MUNICIPAL TRANSFORMATION AND INSTITUTIONAL DEVELOPMENT**

## KPA 2: MUNICIPAL TRANSFORMATION AND INSTITUTIONAL DEVELOPMENT

| NATIONAL LG PRIORITIES | LABOUR MATTERS, FINANCIAL AND ADMINISTRATIVE CAPACITY, SERVICE DELIVERY, FINANCIAL VIABILITY, GOOD GOVERNANCE, INSTITUTIONAL TRANSFORMATION AND DEVELOPMENT, ECONOMIC DEVELOPMENT |  |  |   |                            |   |          |   |        |                    |   |   |                            |  |   |
|------------------------|---|--|--|---|----------------------------|---|----------|---|--------|--------------------|---|---|----------------------------|--|---|
| KPA                    | MUNICIPAL TRANSFORMATIONS AND ORGANISATIONAL DEVELOPMENT  |  |  |   |                            |   |          |   |        |                    |   |   |                            |  |   |
| OUTCOME 9              | OUTPUT 1  | IMPLEMENT A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT |  |   |                            |   |          |   |        |                    |   |   |                            |  |   |
|                        | OUTPUT 6  | ADMINISTRATIVE AND FINANCIAL CAPABILITY  |  |   |                            |   |          |   |        |                    |   |   |                            |  |   |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTION  | BASELINE 2018/2019   |   |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET   | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION      | CORRECTIVE MEASURE                                       | PORTFOLIO OF EVIDENCE   |
|                        |   |  | Current status (Progress to date)                                | Demand (MFMA Circular 63)   | Backlog (MFMA Circular 63) |   |          |   |        |                    |   |   |                            |  |   |
| Human Resources        | To ensure municipal excellence  | Municipal Planning   | 83% posts advertised filled as per the approved funded structure | 100% of posts advertised, filled as per the approved funded structure | 17%                        | <b>KPI 8</b><br><br>Percentage of posts advertised filled as per the approved funded structure<br><br><b>CS</b> | Output   | 100% of posts advertised filled as per the approved funded structure by June 2020 | OPEX   | OPEX               | 100% of posts advertised filled as per the approved funded structure by December 2019 | <b>NOT ACHIEVED</b><br><br><b>82% Posts Filled</b><br>Advertised and Filled Posts<br>• Agency Board Members (4)<br>• Fire Fighters (x20)<br>• Receptionists (x2)<br>• General Workers (x6)<br><br>Advertised post:<br>• Aide de Camp (x1)<br>• Manager Communications<br>• Risk Officer | Slow Recruitment Processes | That all advertised posts be filled by end of March 2020 | Reports on posts advertised filled as per the approved funded structure |

| NATIONAL LG PRIORITIES | LABOUR MATTERS, FINANCIAL AND ADMINISTRATIVE CAPACITY, SERVICE DELIVERY, FINANCIAL VIABILITY, GOOD GOVERNANCE, INSTITUTIONAL TRANSFORMATION AND DEVELOPMENT, ECONOMIC DEVELOPMENT |  |                                   |                           |                            |                           |          |               |        |                    |                 |  |                       |                    |                       |
|------------------------|---|--|-----------------------------------|---------------------------|----------------------------|---------------------------|----------|---------------|--------|--------------------|-----------------|--|-----------------------|--------------------|-----------------------|
| KPA                    | MUNICIPAL TRANSFORMATIONS AND ORGANISATIONAL DEVELOPMENT  |  |                                   |                           |                            |                           |          |               |        |                    |                 |  |                       |                    |                       |
| OUTCOME 9              | OUTPUT 1  | IMPLEMENT A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT |                                   |                           |                            |                           |          |               |        |                    |                 |  |                       |                    |                       |
|                        | OUTPUT 6  | ADMINISTRATIVE AND FINANCIAL CAPABILITY  |                                   |                           |                            |                           |          |               |        |                    |                 |  |                       |                    |                       |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTION  | BASELINE 2018/2019                |                           |                            | KEY PERFORMANCE INDICATOR | KPI TYPE | ANNUAL TARGET | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET | ACTUAL PERFORMANCE   | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE |
|                        |   |  | Current status (Progress to date) | Demand (MFMA Circular 63) | Backlog (MFMA Circular 63) |                           |          |               |        |                    |                 |  |                       |                    |                       |
|                        |   |  |                                   |                           |                            |                           |          |               |        |                    |                 | <ul style="list-style-type: none"> <li>• Chief Audit Executive</li> <li>• Manager EM</li> <li>• Admin Clerk</li> <li>• Senior Manager Community Services</li> <li>• Senior Manager LED &amp; Planning</li> </ul> |                       |                    |                       |

| NATIONAL LG PRIORITIES | LABOUR MATTERS, FINANCIAL AND ADMINISTRATIVE CAPACITY, SERVICE DELIVERY, FINANCIAL VIABILITY, GOOD GOVERNANCE, INSTITUTIONAL TRANSFORMATION AND DEVELOPMENT, ECONOMIC DEVELOPMENT |  |   |   |  |   |          |  |        |                    |   |   |  |  |  |
|------------------------|---|--|---|---|--|---|----------|--|--------|--------------------|---|---|--|--|--|
| KPA                    | MUNICIPAL TRANSFORMATIONS AND ORGANISATIONAL DEVELOPMENT  |  |   |   |  |   |          |  |        |                    |   |   |  |  |  |
| OUTCOME 9              | OUTPUT 1  | IMPLEMENT A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT |   |   |  |   |          |  |        |                    |   |   |  |  |  |
|                        | OUTPUT 6  | ADMINISTRATIVE AND FINANCIAL CAPABILITY  |   |   |  |   |          |  |        |                    |   |   |  |  |  |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTION  | BASELINE 2018/2019  |   |  | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET  | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION  | CORRECTIVE MEASURE   | PORTFOLIO OF EVIDENCE  |
|                        |   |  | Current status (Progress to date)   | Demand (MFMA Circular 63)   | Backlog (MFMA Circular 63)                   |   |          |  |        |                    |   |   |  |  |  |
| Human Resources        | To ensure municipal excellence  | Municipal Planning   | 18 people from employment equity target groups employed in the three highest levels of management in compliance with a municipality's approved employment equity plan | 29 people from employment equity target groups employed in the three highest levels of management in compliance with a municipality's approved employment equity plan | 11 vacant three highest levels of management | <b>KPI 9</b><br>Number of people from employment equity target groups employed in the three highest levels of management in compliance with a municipality's approved employment equity plan<br><b>CS</b> | Output   | 06 people from employment equity target groups employed in the three highest levels of management in compliance with a municipality's approved employment equity plan by June 2020 | OPEX   | OPEX               | 03 people from employment equity target groups employed in the three highest levels of management in compliance with a municipality's approved employment equity plan by Dec 2019 | <b>NOT ACHIEVED</b><br><br>2 Females Board Members appointed<br><br>5 Positions advertised but not yet filled | Slow recruitment process<br><br>In 1 position not suitable candidate | That all advertised positions be filled by end of March 2020 | Report on employment equity target groups employed in the three highest levels of management in compliance with a municipality's approved employment equity plan |
| Human Resources        | To ensure municipal excellence  | Municipal Planning   | Workplace skills plan submitted to LGSETA in April 2019   | Timeous submission report on the workplace skills plan submitted to LGSETA  | Nil  | <b>KPI 10</b><br>Number of workplace skills plan submitted to LGSETA<br><b>CS</b>   | Output   | (1) Report on the workplace skills plan submitted to LGSETA by 30 April 2020   | OPEX   | OPEX               | None  | None  | None   | None   | Report on the Workplace Skills Plan  |





| NATIONAL LG PRIORITIES | LABOUR MATTERS, FINANCIAL AND ADMINISTRATIVE CAPACITY, SERVICE DELIVERY, FINANCIAL VIABILITY, GOOD GOVERNANCE, INSTITUTIONAL TRANSFORMATION AND DEVELOPMENT, ECONOMIC DEVELOPMENT |  |                                    |   |                            |   |          |  |        |                    |   |  |                       |                    |  |
|------------------------|---|--|------------------------------------|---|----------------------------|---|----------|--|--------|--------------------|---|--|-----------------------|--------------------|--|
| KPA                    | MUNICIPAL TRANSFORMATIONS AND ORGANISATIONAL DEVELOPMENT  |  |                                    |   |                            |   |          |  |        |                    |   |  |                       |                    |  |
| OUTCOME 9              | OUTPUT 1  | IMPLEMENT A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT |                                    |   |                            |   |          |  |        |                    |   |  |                       |                    |  |
|                        | OUTPUT 6  | ADMINISTRATIVE AND FINANCIAL CAPABILITY  |                                    |   |                            |   |          |  |        |                    |   |  |                       |                    |  |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTION  | BASELINE 2018/2019                 |   |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET  | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE   | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE  |
|                        |   |  | Current status (Progress to date)  | Demand (MFMA Circular 63)   | Backlog (MFMA Circular 63) |   |          |  |        |                    |   |  |                       |                    |  |
| Human Resources        | To ensure municipal excellence  | Municipal Planning   | 3 training committee meetings held | 4 training committee meeting to be held by June 2020  | Nil                        | KPI 11<br><br>Number of training committee meetings held<br><br>CS  | Output   | 4 training committee meeting to be held by June 2020   | OPEX   | OPEX               | 2 training committee meeting held by December 2019  | ACHIEVED<br><br>2 training committee meeting held by December 2019 | None                  | None               | Minutes, attendance registers and training committee reports |
| Human Resources        | To ensure municipal excellence  | Municipal Planning   | New project                        | 2 Workshops on developing labour relations or dispute resolution with management of the Municipality by June 2020 | Nil                        | KPI 12<br><br>Number of workshops on labour relations or dispute resolution with management of the Municipality<br><br>CS | output   | 2 Workshops on labour relations or dispute resolution with management of the Municipality by June 2020 | OPEX   | OPEX               | 1 Workshop on labour relations or dispute resolution with management of the Municipality by December 2019 | ACHIEVED<br><br>The workshop was held on 17 December 2019          | None                  | None               | Minutes and attendance registers                             |



| NATIONAL LG PRIORITIES | LABOUR MATTERS, FINANCIAL AND ADMINISTRATIVE CAPACITY, SERVICE DELIVERY, FINANCIAL VIABILITY, GOOD GOVERNANCE, INSTITUTIONAL TRANSFORMATION AND DEVELOPMENT, ECONOMIC DEVELOPMENT |  |                                    |  |                            |  |          |  |        |                    |  |  |   |                                    |                           |
|------------------------|---|--|------------------------------------|--|----------------------------|--|----------|--|--------|--------------------|--|--|---|------------------------------------|---------------------------|
| KPA                    | MUNICIPAL TRANSFORMATIONS AND ORGANISATIONAL DEVELOPMENT  |  |                                    |  |                            |  |          |  |        |                    |  |  |   |                                    |                           |
| OUTCOME 9              | OUTPUT 1  | IMPLEMENT A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT |                                    |  |                            |  |          |  |        |                    |  |  |   |                                    |                           |
|                        | OUTPUT 6  | ADMINISTRATIVE AND FINANCIAL CAPABILITY  |                                    |  |                            |  |          |  |        |                    |  |  |   |                                    |                           |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTION  | BASELINE 2018/2019                 |  |                            | KEY PERFORMANCE INDICATOR  | KPI TYPE | ANNUAL TARGET  | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET  | ACTUAL PERFORMANCE   | REASONS FOR DEVIATION                         | CORRECTIVE MEASURE                 | PORTFOLIO OF EVIDENCE     |
|                        |   |  | Current status (Progress to date)  | Demand (MFMA Circular 63)                        | Backlog (MFMA Circular 63) |  |          |  |        |                    |  |  |   |                                    |                           |
| Human resources        | To ensure municipal excellence  | Municipal Planning   | New Project                        | 4 OHS comprehensive inspections                  | Nil                        | KPI 13<br><br>Number of comprehensive inspections on OHS conducted<br><br>CS         | Activity | 4 comprehensive inspections on OHS conducted by June 2020      | OPEX   | OPEX               | 2 Comprehensive inspection on OHS conducted by December 2019       | ACHIEVED<br><br>2 Comprehensive inspection on OHS conducted by December 2019       | None  | None                               | Inspection reports        |
| Human Resources        | To ensure municipal excellence  | Municipal Planning   | New project                        | 1 Review the employment Equity Plan by June 2020 | Nil                        | KPI 14<br><br>Number of Employment Equity Plan reviewed<br><br>CS                    | Output   | 1 Employment Equity Plan reviewed by October 2019              | OPEX   | OPEX               | Employment Equity Plan reviewed by October 2019                    | NOT ACHIEVED   | EE Plan submission time frame 15 January 2020 | To be submitted by 15 January 2020 | 1 Employment Equity Plan  |
| Legal Services         | To ensure municipal excellence  | Municipal Planning   | 2018/19 Contract registers updated | 2019/20 Contract registers updated               | Nil                        | KPI 15<br><br>Number of updated Contract registers submitted to Council<br><br>CSBTO | Output   | 4 updated Contract registers submitted to Council by June 2020 | OPEX   | OPEX               | 2 updated Contract registers submitted to Council by December 2019 | ACHIEVED<br><br>2 updated Contract registers submitted to Council by December 2019 | None  | None                               | Contract register updated |

| NATIONAL LG PRIORITIES                     | LABOUR MATTERS, FINANCIAL AND ADMINISTRATIVE CAPACITY, SERVICE DELIVERY, FINANCIAL VIABILITY, GOOD GOVERNANCE, INSTITUTIONAL TRANSFORMATION AND DEVELOPMENT, ECONOMIC DEVELOPMENT |  |                                    |   |                            |   |          |  |          |                    |  |  |   |   |                               |
|--|---|--|------------------------------------|---|----------------------------|---|----------|--|----------|--------------------|--|--|---|---|-------------------------------|
| KPA  | MUNICIPAL TRANSFORMATIONS AND ORGANISATIONAL DEVELOPMENT  |  |                                    |   |                            |   |          |  |          |                    |  |  |   |   |                               |
| OUTCOME 9                                  | OUTPUT 1  | IMPLEMENT A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT |                                    |   |                            |   |          |  |          |                    |  |  |   |   |                               |
|  | OUTPUT 6  | ADMINISTRATIVE AND FINANCIAL CAPABILITY  |                                    |   |                            |   |          |  |          |                    |  |  |   |   |                               |
| FUNCTIONAL AREA                            | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTION  | BASELINE 2018/2019                 |   |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET  | BUDGET   | ACTUAL EXPENDITURE | MID YEAR TARGET  | ACTUAL PERFORMANCE   | REASONS FOR DEVIATION                     | CORRECTIVE MEASURE  | PORTFOLIO OF EVIDENCE         |
|  |   |  | Current status (Progress to date)  | Demand (MFMA Circular 63)   | Backlog (MFMA Circular 63) |   |          |  |          |                    |  |  |   |   |                               |
| Information, Communications and Technology | To ensure IT governance environment is established at Dr KKDM and Dr KKDM economic agency   | Municipal Planning   | IT policies developed and approved | ICT charter to be submitted to Council by June 2020                               | Nil                        | <b>KPI 16</b><br><br>Number of ICT charter to be submitted and workshopped to Council<br><br><b>ICT</b> | Output   | 1 ICT charter to be submitted and workshopped to Council by Sep 2019 | OPEX     | OPEX               | 1 ICT charter to be submitted and workshopped to Council by September 2019 | <b>NOT ACHIEVED</b><br><br>Draft in place but not workshopped to Council | No Council workshop called for the period | Communicate with Corporate services to arrange a workshop. Workshop will be scheduled in the next quarter | ICT charter document          |
| Information, Communications and Technology | To ensure internal municipal excellence   | Municipal Planning   | New project                        | Information, Communication and Technology policy framework reviewed by March 2020 | Nil                        | <b>KPI 17</b><br><br>Number of ICT policy Framework reviewed<br><br><b>ICT</b>                          | Output   | 1 ICT policy Framework reviewed by March 2020                        | R300 000 | R 0                | None   | None   | None                                      | None  | ICT Policy framework reviewed |

| NATIONAL LG PRIORITIES                     | LABOUR MATTERS, FINANCIAL AND ADMINISTRATIVE CAPACITY, SERVICE DELIVERY, FINANCIAL VIABILITY, GOOD GOVERNANCE, INSTITUTIONAL TRANSFORMATION AND DEVELOPMENT, ECONOMIC DEVELOPMENT |  |  |  |                            |  |          |  |  |                    |   |  |                       |                    |                       |
|--|---|--|--|--|----------------------------|--|----------|--|--|--------------------|---|--|-----------------------|--------------------|-----------------------|
| KPA  | MUNICIPAL TRANSFORMATIONS AND ORGANISATIONAL DEVELOPMENT  |  |  |  |                            |  |          |  |  |                    |   |  |                       |                    |                       |
| OUTCOME 9                                  | OUTPUT 1  | IMPLEMENT A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT |  |  |                            |  |          |  |  |                    |   |  |                       |                    |                       |
|  | OUTPUT 6  | ADMINISTRATIVE AND FINANCIAL CAPABILITY  |  |  |                            |  |          |  |  |                    |   |  |                       |                    |                       |
| FUNCTIONAL AREA                            | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTION  | BASELINE 2018/2019                             |  |                            | KEY PERFORMANCE INDICATOR  | KPI TYPE | ANNUAL TARGET  | BUDGET                                     | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE   | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE |
|  |   |  | Current status (Progress to date)              | Demand (MFMA Circular 63)                      | Backlog (MFMA Circular 63) |  |          |  |  |                    |   |  |                       |                    |                       |
| Information, Communications and Technology | To ensure internal municipal excellence   | Municipal Planning   | 9 reports from operating call center submitted | 4 reports from operating call center submitted | Nil                        | KPI18<br>Number of reports from operating call center submitted<br>ICT | Output   | 4 reports from operating call center submitted by October 2019 | R4 000 000<br>340522606<br>10FLP27Z<br>ZWD | R3 508 412         | 4 reports from operating call center submitted by December 2019 | ACHIEVED<br>4 reports from operating call center submitted by October 2019 | None                  | None               | Call Center Reports   |

| MUNICIPAL TRANSFORMATION AND INSTITUTIONAL DEVELOPMENT                              |   |   |   |
|---|---|---|---|
| Number of Targets Achieved  | Number of Targets Not Achieved  | Number of Targets Not Applicable for 1 <sup>st</sup> & 2 <sup>nd</sup> Quarter        | Total Number of Targets for the year  |
|  |  |  |  |
| 5 OUT 9   | 4 OUT 9   | 2   | 11  |

# **KPA 3: LOCAL ECONOMIC DEVELOPMENT**

### KPA 3: LOCAL ECONOMIC DEVELOPMENT

| NATIONAL LG PRIORITIES                    | LABOUR MATTERS, FINANCIAL AND ADMINISTRATIVE CAPACITY, SERVICE DELIVERY, FINANCIAL VIABILITY, GOOD GOVERNANCE, INSTITUTIONAL TRANSFORMATION AND DEVELOPMENT, ECONOMIC DEVELOPMENT |  |  |   |                            |   |          |   |                      |                    |   |  |   |                    |  |
|---|---|--|--|---|----------------------------|---|----------|---|----------------------|--------------------|---|--|---|--------------------|--|
| KPA                                       | MUNICIPAL TRANSFORMATIONS AND ORGANISATIONAL DEVELOPMENT  |  |  |   |                            |   |          |   |                      |                    |   |  |   |                    |  |
| OUTCOME 9                                 | OUTPUT 1  | IMPLEMENT A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT         |  |   |                            |   |          |   |                      |                    |   |  |   |                    |  |
|   | OUTPUT 6  | ADMINISTRATIVE AND FINANCIAL CAPABILITY  |  |   |                            |   |          |   |                      |                    |   |  |   |                    |  |
| FUNCTIONAL AREA                           | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTION  | BASELINE 2018/2019   |   |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET   | BUDGET               | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE   | REASONS FOR DEVIATION                   | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE  |
|   |   |  | Current status (Progress to date)  | Demand (MFMA Circular 63)               | Backlog (MFMA Circular 63) |   |          |   |                      |                    |   |  |   |                    |  |
| District Economic Development and Tourism | To promote socio-economic development   | Regional Tourism Municipal Planning Municipal Health Services<br><br>Disaster Management | 130 Jobs created through LED Initiatives, EPWP, CBP and Capital projects | 258Jobs created through LED Initiatives | Nil                        | KPI 19<br>Number of Jobs created through LED Initiatives, EPWP and CBPs within the Dr Kenneth Kaunda District<br><b>TIS</b><br><b>MH&amp;EMSSPK</b><br><b>DED</b> | Output   | 258 Jobs created through LED, EPWP & CBPs within the Dr Kenneth Kaunda District by March 2020 | R 6 219 000          |                    | 165 Jobs created through LED Initiatives, EPWP and CBPs within the Dr Kenneth Kaunda District, by December 2019 | <b>ACHIEVED</b><br><br>258 Jobs created through LED Initiatives, EPWP and CBPs within the Dr Kenneth Kaunda District | Recruitment process was brought forward | N/A                | 1. Report on Jobs created through EPWP and CBPs<br><br>2. Signed employment contracts and appointment letters. |
|   |   |  |  |   |                            |   |          |   | R 1 820 000 (MH&EMS) |                    |   |  |   |                    |  |
|   |   |  |  |   |                            |   |          |   | R 1 700 000          | R495 910           |   |  |   |                    |  |
|   |   |  |  |   |                            |   |          |   | 37052264500FLP44ZZWD |                    |   |  |   |                    |  |
|   |   |  |  |   |                            |   |          |   | R 70 000             | R 0                |   |  |   |                    |  |
|   |   |  |  |   |                            |   |          |   | 37052272420FLP44ZZWD |                    |   |  |   |                    |  |
|   |   |  |  |   |                            |   |          |   | R 50 000             | R11 610            |   |  |   |                    |  |
|   |   |  |  |   |                            |   |          |   | 37052280050FLP44ZZWD |                    |   |  |   |                    |  |
|   |   |  |  |   |                            |   |          |   | R 1 649 000 (TIS)    |                    |   |  |   |                    |  |
| R 1 649 000                               | R797 088  |  |  |   |                            |   |          |   |                      |                    |   |  |   |                    |  |

| NATIONAL LG PRIORITIES                | LABOUR MATTERS, FINANCIAL AND ADMINISTRATIVE CAPACITY, SERVICE DELIVERY, FINANCIAL VIABILITY, GOOD GOVERNANCE, INSTITUTIONAL TRANSFORMATION AND DEVELOPMENT, ECONOMIC DEVELOPMENT |  |   |  |                            |  |          |  |                                      |                    |  |  |                       |                    |                          |
|---------------------------------------|---|--|---|--|----------------------------|--|----------|--|--------------------------------------|--------------------|--|--|-----------------------|--------------------|--------------------------|
| KPA                                   | MUNICIPAL TRANSFORMATIONS AND ORGANISATIONAL DEVELOPMENT  |  |   |  |                            |  |          |  |                                      |                    |  |  |                       |                    |                          |
| OUTCOME 9                             | OUTPUT 1  | IMPLEMENT A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT |   |  |                            |  |          |  |                                      |                    |  |  |                       |                    |                          |
|                                       | OUTPUT 6  | ADMINISTRATIVE AND FINANCIAL CAPABILITY  |   |  |                            |  |          |  |                                      |                    |  |  |                       |                    |                          |
| FUNCTIONAL AREA                       | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTION  | BASELINE 2018/2019  |  |                            | KEY PERFORMANCE INDICATOR  | KPI TYPE | ANNUAL TARGET  | BUDGET                               | ACTUAL EXPENDITURE | MID YEAR TARGET                                      | ACTUAL PERFORMANCE   | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE    |
|                                       |   |  | Current status (Progress to date)                             | Demand (MFMA Circular 63)                                      | Backlog (MFMA Circular 63) |  |          |  |                                      |                    |  |  |                       |                    |                          |
|                                       |   |  |   |  |                            |  |          |  | 35052591210FLP47ZZWD                 |                    |  |  |                       |                    |                          |
|                                       |   |  |   |  |                            |  |          |  | R 2 000 000 (SPK)                    |                    |  |  |                       |                    |                          |
|                                       |   |  |   |  |                            |  |          |  | R 2 000 000                          | R430 394           |  |  |                       |                    |                          |
|                                       |   |  |   |  |                            |  |          |  | R 700 000 (DED)                      |                    |  |  |                       |                    |                          |
|                                       |   |  |   |  |                            |  |          |  | R 700 000                            | R 1257             |  |  |                       |                    |                          |
| District Economic Development Tourism | To promote socio-economic development.<br><br>To grow an inclusive and sustainable tourism economy, as well as promote inward and outward trade investment and participation.     | Regional Tourism   | 4 tourism / trade marketing exhibitions hosted / participated | To host/participate in 5 tourism / trade marketing exhibitions | Nil                        | KPI 20<br>Number of tourism / trade marketing exhibitions hosted/participated<br>DED | Activity | 5 tourism / trade marketing exhibitions hosted/participated by June 2020 | R400 000<br><br>36052280030FLP71ZZWD | R11 865            | 1 tourism / trade exhibition hosted by December 2019 | ACHIEVED<br><br>1 tourism / trade exhibition hosted by September 2019. | None                  | None               | Report on the exhibition |





| NATIONAL LG PRIORITIES   | LABOUR MATTERS, FINANCIAL AND ADMINISTRATIVE CAPACITY, SERVICE DELIVERY, FINANCIAL VIABILITY, GOOD GOVERNANCE, INSTITUTIONAL TRANSFORMATION AND DEVELOPMENT, ECONOMIC DEVELOPMENT         |  |  |  |                            |   |          |  |  |                    |  |   |  |  |   |
|--|---|--|--|--|----------------------------|---|----------|--|--|--------------------|--|---|--|--|---|
| KPA  | MUNICIPAL TRANSFORMATIONS AND ORGANISATIONAL DEVELOPMENT  |  |  |  |                            |   |          |  |  |                    |  |   |  |  |   |
| OUTCOME 9  | OUTPUT 1  | IMPLEMENT A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT |  |  |                            |   |          |  |  |                    |  |   |  |  |   |
|  | OUTPUT 6  | ADMINISTRATIVE AND FINANCIAL CAPABILITY  |  |  |                            |   |          |  |  |                    |  |   |  |  |   |
| FUNCTIONAL AREA  | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTION  | BASELINE 2018/2019                               |  |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET  | BUDGET   | ACTUAL EXPENDITURE | MID YEAR TARGET  | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION  | CORRECTIVE MEASURE   | PORTFOLIO OF EVIDENCE                                 |
|  |   |  | Current status (Progress to date)                | Demand (MFMA Circular 63)                        | Backlog (MFMA Circular 63) |   |          |  |  |                    |  |   |  |  |   |
| District Economic Development: sports, arts, culture and heritage. | To transform the delivery of sports & recreation by ensuring equitable access and development at all levels, as well as develop, preserve, protect, and promote arts, culture & heritage. | Regional Tourism   | 5 sports, arts and culture initiatives supported | 7 sports, arts and culture initiatives supported | NIL                        | KPI 21<br>Number of sports, arts and culture initiatives within Dr. Kenneth Kaunda District supported<br><b>DED</b> | Activity | 7 sports, arts and culture initiatives within Dr. Kenneth Kaunda District supported by June 2020 | R500 000<br><br>36052280 030FLP82 ZZWD<br><br>Shared Vote<br><br>R 200 000 | R253 242           | 3 Sports, arts, culture and heritage initiatives within Dr. Kenneth Kaunda District supported by December 2019 # Indigenous games. | <b>Not Achieved</b><br><br>Hosted Indigenous Games in September and Supported Soul Cruize Music Event in December 2019. | December Arts, Culture, Sports & Heritage Events were too congested creating unnecessary competition instead of collaboration. | Adjust SDBIP to collaborate with Sporting Federation to host a credible sporting event by 30 March 2020. | Report on sports and recreation initiatives supported |

| NATIONAL LG PRIORITIES             | LABOUR MATTERS, FINANCIAL AND ADMINISTRATIVE CAPACITY, SERVICE DELIVERY, FINANCIAL VIABILITY, GOOD GOVERNANCE, INSTITUTIONAL TRANSFORMATION AND DEVELOPMENT, ECONOMIC DEVELOPMENT |  |   |  |                            |  |          |  |  |                    |  |   |  |   |   |
|------------------------------------|---|--|---|--|----------------------------|--|----------|--|--|--------------------|--|---|--|---|---|
| KPA                                | MUNICIPAL TRANSFORMATIONS AND ORGANISATIONAL DEVELOPMENT  |  |   |  |                            |  |          |  |  |                    |  |   |  |   |   |
| OUTCOME 9                          | OUTPUT 1  | IMPLEMENT A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT |   |  |                            |  |          |  |  |                    |  |   |  |   |   |
|                                    | OUTPUT 6  | ADMINISTRATIVE AND FINANCIAL CAPABILITY  |   |  |                            |  |          |  |  |                    |  |   |  |   |   |
| FUNCTIONAL AREA                    | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTION  | BASELINE 2018/2019  |  |                            | KEY PERFORMANCE INDICATOR  | KPI TYPE | ANNUAL TARGET  | BUDGET   | ACTUAL EXPENDITURE | MID YEAR TARGET  | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION  | CORRECTIVE MEASURE  | PORTFOLIO OF EVIDENCE   |
|                                    |   |  | Current status (Progress to date)   | Demand (MFMA Circular 63)                    | Backlog (MFMA Circular 63) |  |          |  |  |                    |  |   |  |   |   |
| District economic development: ACT | To promote socio-economic development<br><br>To develop, support and aid SMMEs and Cooperatives with Start-up and Business Expansion Grants.                                      | Regional economic development  | 25 SMMEs / Cooperatives Businesses supported through Community Conditional Grants | 30 SMMEs / Cooperatives Businesses supported | Nil                        | KPI 22<br>Number of SMMEs/Cooperatives Businesses supported within Dr. Kenneth Kaunda District<br><b>DED</b> | Output   | Support 30 SMMEs / Cooperatives Business initiatives through Community Conditional Grants within Dr. Kenneth Kaunda District by March 2020 | R1 000 000<br><br>3605269084<br>0FLP77ZZ<br>WD | R 0                | Advertise ment and Selection of Beneficiaries by September 2019. Supply Chain Management processes completed by December 2019. | <b>Not Achieved</b><br><br>Advertiseme nt, Selection of Beneficiaries as well as site verification done by September 2019 | Due diligence process and site visits conducted to validate the process which led to the delay in SCM process. | Advertise ment of Service provider and SCM process to be completed by March 2020. | Report on SMMEs / Cooperatives Business development initiatives supported |



| NATIONAL LG PRIORITIES             | LABOUR MATTERS, FINANCIAL AND ADMINISTRATIVE CAPACITY, SERVICE DELIVERY, FINANCIAL VIABILITY, GOOD GOVERNANCE, INSTITUTIONAL TRANSFORMATION AND DEVELOPMENT, ECONOMIC DEVELOPMENT  |  |  |   |                            |  |          |  |                                      |                    |   |   |   |   |   |
|------------------------------------|--|--|--|---|----------------------------|--|----------|--|--------------------------------------|--------------------|---|---|---|---|---|
| KPA                                | MUNICIPAL TRANSFORMATIONS AND ORGANISATIONAL DEVELOPMENT   |  |  |   |                            |  |          |  |                                      |                    |   |   |   |   |   |
| OUTCOME 9                          | OUTPUT 1   | IMPLEMENT A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT |  |   |                            |  |          |  |                                      |                    |   |   |   |   |   |
|                                    | OUTPUT 6   | ADMINISTRATIVE AND FINANCIAL CAPABILITY  |  |   |                            |  |          |  |                                      |                    |   |   |   |   |   |
| FUNCTIONAL AREA                    | STRATEGIC OBJECTIVE  | MUNICIPAL POWERS & FUNCTION  | BASELINE 2018/2019                             |   |                            | KEY PERFORMANCE INDICATOR  | KPI TYPE | ANNUAL TARGET  | BUDGET                               | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION   | CORRECTIVE MEASURE  | PORTFOLIO OF EVIDENCE   |
|                                    |  |  | Current status (Progress to date)              | Demand (MFMA Circular 63)                   | Backlog (MFMA Circular 63) |  |          |  |                                      |                    |   |   |   |   |   |
| District economic development: ACT | <p>To promote socio-economic development</p> <p>To design innovative initiatives focusing on macro-economic growth through increased employment creation and developmental initiatives that has a potential for catalytic effect and sustainability.</p> | Regional economic development  | 5 economic development initiatives implemented | 5 Economic development initiatives programs | Nil                        | <b>KPI 23</b><br>Number of Economic Development Initiatives supported / implemented within Dr. Kenneth Kaunda District<br><b>DED</b> | Activity | 4 district economic development initiatives supported / implemented within Dr. Kenneth Kaunda District implemented June 2020 | R800 000<br><br>36052300120FLP28ZZR3 | R45 700            | 2 district economic initiative within Dr. Kenneth Kaunda District supported / implemented by December 2019 # LED Strategy Review; Manufacturing Project | <b>Not Achieved</b><br><br>Implemented a manufacturing training workshop for 25 SMMES on Cellphone Repairs.<br><br>Advertised for the Review of LED Strategy. | Delay in getting local municipalities to commit to either reviewing and/or develop their respective LED Strategies. | Finalize SCM process and the appointment of the Service Provider including Review process by 30 March 2020. | Report on Economic Development initiatives programs supported / implemented |

| NATIONAL LG PRIORITIES                | LABOUR MATTERS, FINANCIAL AND ADMINISTRATIVE CAPACITY, SERVICE DELIVERY, FINANCIAL VIABILITY, GOOD GOVERNANCE, INSTITUTIONAL TRANSFORMATION AND DEVELOPMENT, ECONOMIC DEVELOPMENT |  |                                   |                           |                            |   |          |  |        |                    |   |   |  |                                     |                       |
|---------------------------------------|---|--|-----------------------------------|---------------------------|----------------------------|---|----------|--|--------|--------------------|---|---|--|-------------------------------------|-----------------------|
| KPA                                   | MUNICIPAL TRANSFORMATIONS AND ORGANISATIONAL DEVELOPMENT  |  |                                   |                           |                            |   |          |  |        |                    |   |   |  |                                     |                       |
| OUTCOME 9                             | OUTPUT 1  | IMPLEMENT A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT |                                   |                           |                            |   |          |  |        |                    |   |   |  |                                     |                       |
|                                       | OUTPUT 6  | ADMINISTRATIVE AND FINANCIAL CAPABILITY  |                                   |                           |                            |   |          |  |        |                    |   |   |  |                                     |                       |
| FUNCTIONAL AREA                       | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTION  | BASELINE 2018/2019                |                           |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET  | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE                                      | REASONS FOR DEVIATION  | CORRECTIVE MEASURE                  | PORTFOLIO OF EVIDENCE |
|                                       |   |  | Current status (Progress to date) | Demand (MFMA Circular 63) | Backlog (MFMA Circular 63) |   |          |  |        |                    |   |   |  |                                     |                       |
| District Economic Development Tourism | To promote socio-economic development.  | Regional Tourism   | Nil                               | 4 District Forums         | Nil                        | KPI 24<br>Number of LED Forums held within Dr Kenneth Kaunda District Municipality<br>DED | Process  | 4 LED District Forums held within Dr Kenneth Kaunda District Municipality by June 2020 | Opex   | Opex               | 2 LED District Forum held within Dr Kenneth Kaunda District Municipality by December 2019 | NOT ACHIEVED<br><br>1 LED Forum held by September 2019. | Congested December Government schedule, as well as Arts, Culture, Sports, Heritage and Tourism activities around Festive Season. | LED Forum deferred to January 2020. |                       |

| LOCAL ECONOMIC DEVELOPMENT  |   |   |   |
|---|---|---|---|
| Number of Targets Achieved  | Number of Targets Not Achieved  | Number of Targets Not Applicable for 1 <sup>st</sup> & 2 <sup>nd</sup> Quarter        | Total Number of Targets for the year  |
|  |  |  |  |
| 2 OUT 6   | 4 OUT 6   | 0   | 6   |

# **KPA 4: MUNICIPAL FINANCIAL VIABILITY AND MANAGEMENT**

## KPA 4: MUNICIPAL FINANCIAL VIABILITY AND MANAGEMENT

| NATIONAL LG PRIORITIES     | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE. |                              |  |  |                            |  |          |  |        |                    |  |   |                       |                    |  |
|----------------------------|---|------------------------------|--|--|----------------------------|--|----------|--|--------|--------------------|--|---|-----------------------|--------------------|--|
| KPA                        | MUNICIPAL FINANCIAL VIABILITY AND MANAGEMENT    |                              |  |  |                            |  |          |  |        |                    |  |   |                       |                    |  |
| OUTCOME 9                  | OUTPUT 1  |                              | A POLICY FRAMEWORK THAT PROVIDES FOR A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT IS IMPLEMENTED |  |                            |  |          |  |        |                    |  |   |                       |                    |  |
|                            | OUTPUT 6  |                              | ADMINISTRATIVE AND FINANCIAL CAPABILITIES OF MUNICIPALITIES ARE ENHANCED   |  |                            |  |          |  |        |                    |  |   |                       |                    |  |
| FUNCTIONAL AREA            | STRATEGIC OBJECTIVE                             | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019   |  |                            | KEY PERFORMANCE INDICATOR  | KPI TYPE | ANNUAL TARGET                                    | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET                                      | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE  |
|                            |   |                              | Current status (Progress to date)  | Demand (MFMA Circular 63)  | Backlog (MFMA Circular 63) |  |          |  |        |                    |  |   |                       |                    |  |
| Budget and Treasury        | To ensure internal municipal excellence         | Municipal Planning           | 8 MFMA section 71 reports submitted  | 8 MFMA section 71 reports submitted within legislative timeframe | Nil                        | KPI 25<br>Number of MFMA section 71 reports submitted within legislative time-frame<br><br>BTC | Output   | 8 MFMA section 71 reports submitted by June 2020 | OPEX   | OPEX               | 4 MFMA section 71 reports submitted by December 2019 | ACHIEVED<br>4 MFMA section 71 reports submitted as at 31 Dec 2019   | None                  | None               | 8 Monthly budget statements (section 71 reports) signed off by the CFO |
| Budget and Treasury<br>PMS | To ensure internal municipal excellence         | Municipal Planning           | 4 MFMA section 52 reports submitted  | 4 MFMA section 52 reports  | Nil                        | KPI 26<br>Number of MFMA section 52 reports submitted<br><br>BTC                               | Output   | 4 MFMA section 52 reports submitted by June 2020 | OPEX   | OPEX               | 2 MFMA section 52 reports submitted by December 2019 | ACHIEVED<br>2 MFMA section 52 reports submitted as at December 2019 | None                  | None               | 4 quarterly reports (section 52 reports) signed off by the CFO         |

| <b>NATIONAL LG PRIORITIES</b> | <b>ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE.</b> |                              |   |  |                            |   |          |   |        |                    |                 |                    |                       |                    |  |
|-------------------------------|--|------------------------------|---|--|----------------------------|---|----------|---|--------|--------------------|-----------------|--------------------|-----------------------|--------------------|--|
| <b>KPA</b>                    | <b>MUNICIPAL FINANCIAL VIABILITY AND MANAGEMENT</b>    |                              |   |  |                            |   |          |   |        |                    |                 |                    |                       |                    |  |
| <b>OUTCOME 9</b>              | <b>OUTPUT 1</b>  |                              | <b>A POLICY FRAMEWORK THAT PROVIDES FOR A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT IS IMPLEMENTED</b> |  |                            |   |          |   |        |                    |                 |                    |                       |                    |  |
|                               | <b>OUTPUT 6</b>  |                              | <b>ADMINISTRATIVE AND FINANCIAL CAPABILITIES OF MUNICIPALITIES ARE ENHANCED</b>   |  |                            |   |          |   |        |                    |                 |                    |                       |                    |  |
| FUNCTIONAL AREA               | STRATEGIC OBJECTIVE                                    | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019  |  |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET   | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET | ACTUAL PERFORMANCE | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE                            |
|                               |  |                              | Current status (Progress to date)   | Demand (MFMA Circular 63)                    | Backlog (MFMA Circular 63) |   |          |   |        |                    |                 |                    |                       |                    |  |
| Budget and Treasury           | To ensure internal municipal excellence                | Municipal Planning           | 2018/19 adjustment budget tabled by February 2019   | 2019/20 adjustment budget developed approved | Nil                        | <b>KPI 27</b><br>2019/20 adjustment budget developed approved<br><b>BTC</b> | Output   | 2019/20 adjustment budget developed approved by February 2020 | OPEX   | OPEX               | None            | None               | None                  | None               | Council resolution and 2019/20 Adjustment Budget |
| Budget and Treasury           | To ensure internal municipal excellence                | Municipal Planning           | 2018/19 budget compiled approved (MFMA, Sec 25)   | 2020/21 budget compiled approved             | Nil                        | <b>KPI 28</b><br>2020/21 budget compiled approved<br><b>BTC</b>             | Output   | Compiled 2020/21 budget compiled approved by May 2020         | OPEX   | OPEX               | None            | None               | None                  | None               | Council Resolution and Approved 2020/21 budget   |

| NATIONAL LG PRIORITIES | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE. |                              |  |  |                            |   |          |  |        |                    |  |   |                       |                    |  |
|------------------------|---|------------------------------|--|--|----------------------------|---|----------|--|--------|--------------------|--|---|-----------------------|--------------------|--|
| KPA                    | MUNICIPAL FINANCIAL VIABILITY AND MANAGEMENT    |                              |  |  |                            |   |          |  |        |                    |  |   |                       |                    |  |
| OUTCOME 9              | OUTPUT 1  |                              | A POLICY FRAMEWORK THAT PROVIDES FOR A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT IS IMPLEMENTED |  |                            |   |          |  |        |                    |  |   |                       |                    |  |
|                        | OUTPUT 6  |                              | ADMINISTRATIVE AND FINANCIAL CAPABILITIES OF MUNICIPALITIES ARE ENHANCED   |  |                            |   |          |  |        |                    |  |   |                       |                    |  |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE                             | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019   |  |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET  | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET  | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE                                      |
|                        |   |                              | Current status (Progress to date)  | Demand (MFMA Circular 63)  | Backlog (MFMA Circular 63) |   |          |  |        |                    |  |   |                       |                    |  |
| Budget and Treasury    | To ensure internal municipal excellence         | Municipal Planning           | 2017/18 Annual Financial Statements submitted to AGSA by August 2018   | 2018/2019 Annual Financial Statements submitted to AGSA by August 2019 | Nil                        | KPI29<br>2018/19 Annual Financial Statements approved by council submitted to AGSA <b>BTC</b> | Output   | Approved 2018/19 Annual Financial Statements by council submitted to AGSA by August 2019 | OPEX   | OPEX               | Approved 2018/19 Annual Financial Statements by council submitted to AGSA by August 2019 | <b>ACHIEVED</b><br>Approved 2018/19 Annual Financial Statements by council submitted to AGSA by August 2019 | None                  | None               | Council Resolution and 2018/19 Annual Financial Statements |





| NATIONAL LG PRIORITIES                                   | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE. |                              |  |   |   |   |          |   |   |   |   |  |  |   |   |
|--|---|------------------------------|--|---|---|---|----------|---|---|---|---|--|--|---|---|
| KPA  | MUNICIPAL FINANCIAL VIABILITY AND MANAGEMENT    |                              |  |   |   |   |          |   |   |   |   |  |  |   |   |
| OUTCOME 9  | OUTPUT 1  |                              | A POLICY FRAMEWORK THAT PROVIDES FOR A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT IS IMPLEMENTED |   |   |   |          |   |   |   |   |  |  |   |   |
|  | OUTPUT 6  |                              | ADMINISTRATIVE AND FINANCIAL CAPABILITIES OF MUNICIPALITIES ARE ENHANCED   |   |   |   |          |   |   |   |   |  |  |   |   |
| FUNCTIONAL AREA  | STRATEGIC OBJECTIVE                             | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019   |   |   | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET   | BUDGET  | ACTUAL EXPENDITURE  | MID YEAR TARGET   | ACTUAL PERFORMANCE   | REASONS FOR DEVIATION                              | CORRECTIVE MEASURE  | PORTFOLIO OF EVIDENCE                                       |
|  |   |                              | Current status (Progress to date)  | Demand (MFMA Circular 63)   | Backlog (MFMA Circular 63)              |   |          |   |   |   |   |  |  |   |   |
| Corporate Services                                       | To ensure internal municipal excellence         | Municipal planning           | 100% of municipality's budget actually spent on implementing its workplace skills plan                                     | 100% of municipality's budget actually spent on implementing its workplace skills | Nil                                     | KPI 30<br>Percentage of municipality's budget actually spent on implementing its workplace skills plan<br><br>BTQCS | Output   | 100% of municipality's budget actually spent on implementing its workplace skills plan by June 2020 | R350 000<br><br>33052303300FLP78Z ZHO                     | R106 050  | 100% of municipality's budget actually spent on implementing its workplace skills plan by December 2019 | NOT ACHIEVED<br><br>30.3%  | LGSET A Funding agreements finalised December 2019 | Interns to be appointed in 3 <sup>rd</sup> Quarter to ensure that spending in last Quarter is 100 % | Workplace skills plan detailed Report                       |
| Budget and Treasury<br><br>District Economic Development | To ensure internal municipal excellence         | Municipal Planning           | R3,000,000 transferred to District Economic Agency and R100,000 to Secondary Co-   | R 3,100,000 of funds transferred to District Economic Agency, Tourism Association | R90,000 transfer to Tourism association | KPI 31<br>Total Amount of funds transferred to District Economic Agency, Tourism                                    | Output   | R 3,100,000 of funds transferred to District Economic Agency, Tourism                               | R3 100 000<br><br>R3 000 000<br><br>36052307010FLP33Z ZWD | R 3,100,000 of funds transferred to District Economic Agency, Tourism | R 3,100,000 of funds transferred to District Economic Agency, Tourism                                   | NOT ACHIEVED<br><br>R 3,000,000 of funds transferred to District | Non-compliance in response of returnable           | Assist in fast-tracking the submission of returnable  | Proof of transfer made to District Economic Agency, Tourism |

| NATIONAL LG PRIORITIES | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE. |                              |  |                             |                            |   |          |   |                                     |                    |  |                    |                       |                    |   |
|------------------------|---|------------------------------|--|-----------------------------|----------------------------|---|----------|---|-------------------------------------|--------------------|--|--------------------|-----------------------|--------------------|---|
| KPA                    | MUNICIPAL FINANCIAL VIABILITY AND MANAGEMENT    |                              |  |                             |                            |   |          |   |                                     |                    |  |                    |                       |                    |   |
| OUTCOME 9              | OUTPUT 1  |                              | A POLICY FRAMEWORK THAT PROVIDES FOR A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT IS IMPLEMENTED |                             |                            |   |          |   |                                     |                    |  |                    |                       |                    |   |
|                        | OUTPUT 6  |                              | ADMINISTRATIVE AND FINANCIAL CAPABILITIES OF MUNICIPALITIES ARE ENHANCED   |                             |                            |   |          |   |                                     |                    |  |                    |                       |                    |   |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE                             | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019   |                             |                            | KEY PERFORMANCE INDICATOR                               | KPI TYPE | ANNUAL TARGET                                       | BUDGET                              | ACTUAL EXPENDITURE | MID YEAR TARGET  | ACTUAL PERFORMANCE | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE                   |
|                        |   |                              | Current status (Progress to date)  | Demand (MFMA Circular 63)   | Backlog (MFMA Circular 63) |   |          |   |                                     |                    |  |                    |                       |                    |   |
|                        |   |                              | operatives   | and Secondary Co-operatives |                            | Association and Secondary Cooperatives<br><b>DEDBTC</b> |          | Association and Secondary Co-operatives by Sep 2019 | R50 000<br>36052592830FLP31Z<br>ZWD | R 0                | Information Centre and Secondary Co-operatives by September 2019 | Economic Agency    | documents             | documents          | Association and Secondary Co-operatives |
|                        |   |                              |  |                             |                            |   |          |   | R50 000<br>36052593000FLP32Z<br>ZWD | R 0                |  |                    |                       |                    |   |



| NATIONAL LG PRIORITIES | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE. |                              |  |  |                            |  |          |   |        |                    |   |  |                       |                    |                                   |
|------------------------|---|------------------------------|--|--|----------------------------|--|----------|---|--------|--------------------|---|--|-----------------------|--------------------|-----------------------------------|
| KPA                    | MUNICIPAL FINANCIAL VIABILITY AND MANAGEMENT    |                              |  |  |                            |  |          |   |        |                    |   |  |                       |                    |                                   |
| OUTCOME 9              | OUTPUT 1  |                              | A POLICY FRAMEWORK THAT PROVIDES FOR A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT IS IMPLEMENTED |  |                            |  |          |   |        |                    |   |  |                       |                    |                                   |
|                        | OUTPUT 6  |                              | ADMINISTRATIVE AND FINANCIAL CAPABILITIES OF MUNICIPALITIES ARE ENHANCED   |  |                            |  |          |   |        |                    |   |  |                       |                    |                                   |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE                             | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019   |  |                            | KEY PERFORMANCE INDICATOR  | KPI TYPE | ANNUAL TARGET   | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE   | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE             |
|                        |   |                              | Current status (Progress to date)  | Demand (MFMA Circular 63)  | Backlog (MFMA Circular 63) |  |          |   |        |                    |   |  |                       |                    |                                   |
| Budget and Treasury    | To ensure internal municipal excellence         | Municipal Planning           | Acceptable norm of financial viability as expressed by the ratios 2019   | Acceptable norm of financial viability as expressed by the ratios 2020 | Nil                        | <b>KPI 32</b><br>Financial viability as expressed by the ratios (debt coverage ratio, outstanding service debtors to revenue, Cost coverage age)<br><b>BTC</b> | Output   | Acceptable norm of financial viability as expressed by the ratios (debt coverage ratio, outstanding service debtors to revenue, Cost coverage age) by June 2020 | OPEX   | OPEX               | Acceptable norm of financial viability as expressed by the ratios (debt coverage ratio, outstanding service debtors to revenue, Cost coverage age) by December 2019 | <b>ACHIEVED</b><br>Acceptable norm of financial viability as expressed by the ratios (debt coverage ratio, outstanding service debtors to revenue, Cost coverage age) by December 2019 | None                  | None               | financial viability ratios report |

| NATIONAL LG PRIORITIES | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE. |                              |  |  |                            |   |          |   |        |                    |   |   |   |  |   |
|------------------------|---|------------------------------|--|--|----------------------------|---|----------|---|--------|--------------------|---|---|---|--|---|
| KPA                    | MUNICIPAL FINANCIAL VIABILITY AND MANAGEMENT    |                              |  |  |                            |   |          |   |        |                    |   |   |   |  |   |
| OUTCOME 9              | OUTPUT 1  |                              | A POLICY FRAMEWORK THAT PROVIDES FOR A DIFFERENTIATED APPROACH TO MUNICIPAL FINANCING, PLANNING AND SUPPORT IS IMPLEMENTED |  |                            |   |          |   |        |                    |   |   |   |  |   |
|                        | OUTPUT 6  |                              | ADMINISTRATIVE AND FINANCIAL CAPABILITIES OF MUNICIPALITIES ARE ENHANCED   |  |                            |   |          |   |        |                    |   |   |   |  |   |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE                             | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019   |  |                            | KEY PERFORMANCE INDICATOR                                       | KPI TYPE | ANNUAL TARGET                                       | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION                                       | CORRECTIVE MEASURE   | PORTFOLIO OF EVIDENCE                           |
|                        |   |                              | Current status (Progress to date)  | Demand (MFMA Circular 63)                | Backlog (MFMA Circular 63) |   |          |   |        |                    |   |   |   |  |   |
| Budget and treasury    | To ensure internal municipal excellence         | Municipal Planning           | New Project  | 4 assets verification report submitted   | Nil                        | KPI 33<br>Number of assets verification report submitted<br>BTC | Output   | 4 assets verification report submitted by June 2020 | OPEX   | OPEX               | 2 assets verification report submitted by December 2019 | ACHIEVED<br>2 assets verification report submitted by December 2019 | None  | None   | Assets verification reports                     |
| Budget and treasury    | To ensure internal municipal excellence         | Municipal planning           | New project  | 4 District Chief Financial Officer forum | Nil                        | KPI 34<br>Number of District CFO Forum meetings held<br>BTC     | Output   | 4 District CFO Forum meetings held by June 2020     | OPEX   | OPEX               | 2 District CFO forum meeting held by December 2019      | NOT ACHIEVED  | Dependence of attendance of CFO's from local municipalities | Engage local municipalities on having a KPI that relates to attendance of the District CFO's Forum | Reports and minutes of the CFO's forum meetings |

| MUNICIPAL FINANCIAL VIABILITY AND MANAGEMENT                                      |   |   |   |
|---|---|---|---|
| Number of Targets Achieved  | Number of Targets Not Achieved  | Number of Targets Not Applicable for 1 <sup>st</sup> & 2 <sup>nd</sup> Quarter      | Total Number of Targets for the year  |
|  |  |  |  |
| 5 OUT 8   | 3 OUT 8   | 2   | 10  |

# **KPA 5: GOOD GOVERNANCE AND PUBLIC PARTICIPATION**

## KPA 5: GOOD GOVERNANCE AND PUBLIC PARTICIPATION

**Municipal Manager's Office: Internal Audit, Corporate Communications, Strategic Planning, Performance Management System, MISS- Municipal Information Security Standards, Speakers Office and Executive Mayor's Office**

| NATIONAL LG PRIORITIES | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |  |  |                            |  |          |   |        |                    |                 |                    |                       |                    |   |
|------------------------|---|------------------------------|--|--|----------------------------|--|----------|---|--------|--------------------|-----------------|--------------------|-----------------------|--------------------|---|
|                        | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |  |  |                            |  |          |   |        |                    |                 |                    |                       |                    |   |
| KPA 2                  | TO PROMOTE GOOD GOVERNANCE  |                              |  |  |                            |  |          |   |        |                    |                 |                    |                       |                    |   |
| OUTCOME 9              | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |  |  |                            |  |          |   |        |                    |                 |                    |                       |                    |   |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019   |  |                            | KEY PERFORMANCE INDICATOR  | KPI TYPE | ANNUAL TARGET   | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET | ACTUAL PERFORMANCE | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE                           |
|                        |   |                              | Current status (Progress to date)                          | Demand (MFMA Circular 63)                                  | Backlog (MFMA Circular 63) |  |          |   |        |                    |                 |                    |                       |                    |   |
| Budget and Treasury    | To ensure internal municipal excellence   | Municipal Planning           | 2017/18 (8) budget related policies developed and reviewed | 2019/20 (8) budget related policies developed and reviewed | Nil                        | KPI 35<br>Number of budget related policies workshopped adopted<br>BTO | Output   | 8 Budget related policies workshopped adopted by May 2020 | OPEX   | OPEX               | None            | None               | None                  | None               | Council Resolutions and budget related policies |

| NATIONAL LG PRIORITIES        | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |                                     |  |                            |   |          |  |        |                    |                 |                    |                       |                    |   |
|-------------------------------|---|------------------------------|-------------------------------------|--|----------------------------|---|----------|--|--------|--------------------|-----------------|--------------------|-----------------------|--------------------|---|
|                               | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |                                     |  |                            |   |          |  |        |                    |                 |                    |                       |                    |   |
| KPA 2                         | TO PROMOTE GOOD GOVERNANCE  |                              |                                     |  |                            |   |          |  |        |                    |                 |                    |                       |                    |   |
| OUTCOME 9                     | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |                                     |  |                            |   |          |  |        |                    |                 |                    |                       |                    |   |
| FUNCTIONAL AREA               | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019                  |  |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET  | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET | ACTUAL PERFORMANCE | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE   |
|                               |   |                              | Current status (Progress to date)   | Demand (MFMA Circular 63)                          | Backlog (MFMA Circular 63) |   |          |  |        |                    |                 |                    |                       |                    |   |
| District Economic Development | To promote socio-economic development   | Municipal Planning           | Draft Sport and Recreation strategy | Approval of Tourism, Sport and Recreation strategy | Nil                        | KPI36<br>Number of Sport and Recreation strategy workshopped adopted<br>DED | Output   | 1 Sport and Recreation strategy workshopped adopted by June 2020 | OPEX   | OPEX               | None            | None               | None                  | None               | Council Resolution and Tourism, Sport and Recreation Strategy |

| NATIONAL LG PRIORITIES | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |   |                                      |                            |   |          |   |        |                    |   |                    |  |   |   |
|------------------------|---|------------------------------|---|--------------------------------------|----------------------------|---|----------|---|--------|--------------------|---|--------------------|--|---|---|
|                        | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |   |                                      |                            |   |          |   |        |                    |   |                    |  |   |   |
| KPA 2                  | TO PROMOTE GOOD GOVERNANCE  |                              |   |                                      |                            |   |          |   |        |                    |   |                    |  |   |   |
| OUTCOME 9              | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |   |                                      |                            |   |          |   |        |                    |   |                    |  |   |   |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019                              |                                      |                            | KEY PERFORMANCE INDICATOR                                 | KPI TYPE | ANNUAL TARGET   | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE | REASONS FOR DEVIATION  | CORRECTIVE MEASURE  | PORTFOLIO OF EVIDENCE   |
|                        |   |                              | Current status (Progress to date)               | Demand (MFMA Circular 63)            | Backlog (MFMA Circular 63) |   |          |   |        |                    |   |                    |  |   |   |
| Fire services          | To ensure internal municipal excellence   | Fire Services                | Draft By-Laws workshoped and adopted by Council | Development of Fire Services By-Laws | Nil                        | KPI 37<br>Number of Fire Services By-Laws Gazetted<br>DRM | Output   | 1 Fire Services By-Law workshoped adopted by Council by June 2020 | OPEX   | OPEX               | Public participation on by-laws by September 2019. Engagement with Department of Justice by December 2019 | NOT ACHIEVED       | The municipality tried to secure an appointment with the department. There was lack of capacity within the Department of Justice | Will re-secure appointment another referred official within the Department in the 3rd | draft fire services By-Law<br><br>Council Resolution<br><br>Attendance register of the workshop |

| NATIONAL LG PRIORITIES | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |   |   |  |  |          |  |        |                    |  |   |  |  |                                       |
|------------------------|---|------------------------------|---|---|--|--|----------|--|--------|--------------------|--|---|--|--|---------------------------------------|
|                        | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |   |   |  |  |          |  |        |                    |  |   |  |  |                                       |
| KPA 2                  | TO PROMOTE GOOD GOVERNANCE  |                              |   |   |  |  |          |  |        |                    |  |   |  |  |                                       |
| OUTCOME 9              | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |   |   |  |  |          |  |        |                    |  |   |  |  |                                       |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019  |   |  | KEY PERFORMANCE INDICATOR  | KPI TYPE | ANNUAL TARGET  | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET  | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION  | CORRECTIVE MEASURE   | PORTFOLIO OF EVIDENCE                 |
|                        |   |                              | Current status (Progress to date)   | Demand (MFMA Circular 63)   | Backlog (MFMA Circular 63)                 |  |          |  |        |                    |  |   |  |  |                                       |
| Strategic Planning     | To ensure internal municipal excellence   | Municipal Planning           | 2016/17 Risk Assessment   | risk assessment conducted for DRKKDM and District Economic Agency | Nil  | KPI 38<br>Number of risk assessment conducted for DRKKDM<br>RC                 | Output   | 1 risk assessment conducted for DRKKDM by September 2019 | OPEX   | OPEX               | 1 Risk Assessment conducted for DRKKDM by September 2019   | ACHIEVED  | None   | None   | Risk assessment register & AC minutes |
| Internal Audit         | To ensure internal municipal excellence   | Municipal Planning           | 1 risk management policies reviewed for DRKKDM and District Economic Agency | Approval of reviewed risk management policy for DRKKDM            | Approved Risk Management Policy for DRKKDM | KPI39<br>Number of risk management policies reviewed for DRKKDM approved<br>RC | Output   | 1 Risk Management Policy reviewed for DRKKDM by Dec2019  | OPEX   | OPEX               | 1 Risk Management Policy reviewed for DRKKDM December 2019 | NOT ACHIEVED<br><br>The following were done<br><br>-Risk Committee Charter<br>-Draft anti-fraud and corruption strategy | The delay was caused by the appointment of the Chief Risk Officer, as the Policy needs expert inputs from the Chief Risk Officer | The Chief Risk Officer has been appointed and the Policy will be reviewed in the 4 <sup>th</sup> quarter | Risk Management Policy                |



| NATIONAL LG PRIORITIES | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |   |   |   |  |          |  |        |                    |   |   |  |   |   |
|------------------------|---|------------------------------|---|---|---|--|----------|--|--------|--------------------|---|---|--|---|---|
|                        | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |   |   |   |  |          |  |        |                    |   |   |  |   |   |
| KPA 2                  | TO PROMOTE GOOD GOVERNANCE  |                              |   |   |   |  |          |  |        |                    |   |   |  |   |   |
| OUTCOME 9              | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |   |   |   |  |          |  |        |                    |   |   |  |   |   |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019  |   |   | KEY PERFORMANCE INDICATOR  | KPI TYPE | ANNUAL TARGET  | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION  | CORRECTIVE MEASURE  | PORTFOLIO OF EVIDENCE                                   |
|                        |   |                              | Current status (Progress to date)   | Demand (MFMA Circular 63)   | Backlog (MFMA Circular 63)                |  |          |  |        |                    |   |   |  |   |   |
| Internal Audit         | To ensure internal municipal excellence   | Municipal Planning           | 2 approved strategic risk based Audit Plans (DRKKDM – 2018/2019 – 2020/2021) (MHLM 2016/17 – 2018/19) | 2 approved risk based strategic audit plans for the shared IA service | Nil                                       | <b>KPI40</b><br>Number of approved risk based strategic audit plans for the shared IA service<br><b>IA</b> | Output   | 2 approved risk based strategic audit plans for the shared IA service (District Agency & MHLM) by September 2019 | OPEX   | OPEX               | 2 approved risk based audit plans for the shared IA service (District Agency & MHLM) by December 2019 | <b>ACHIEVED</b><br><br>2 approved risk based audit plans for the shared IA service (District Agency & MHLM) by October 2019 | None   | None  | 2 Approved Strategic Risk Audit Plans                   |
| Communications         | To ensure internal municipal excellence   | Municipal Planning           | Reviewed Communications Strategy workshopped to council   | Approval of reviewed Communications Strategy                          | Approved reviewed Communications Strategy | <b>KPI41</b><br>Number of reviewed Communication Strategy adopted<br><b>COMM</b>                           | Output   | 1 reviewed Communications Strategy adopted by December 2019  | OPEX   | OPEX               | 1 reviewed Communication Strategy adopted by December 2019  | <b>NOT ACHIEVED</b>   | The delay was caused by the appointment of the Communication Manager, as the Communication Manager has been appointed and the Communication Strategy will be | The Communication Manager has been appointed and the Communication Strategy will be | Council resolution and approved Communications Strategy |

| NATIONAL LG PRIORITIES | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |                                   |                           |                            |   |          |  |          |                    |   |   |  |   |                       |
|------------------------|---|------------------------------|-----------------------------------|---------------------------|----------------------------|---|----------|--|----------|--------------------|---|---|--|---|-----------------------|
|                        | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |                                   |                           |                            |   |          |  |          |                    |   |   |  |   |                       |
| KPA 2                  | TO PROMOTE GOOD GOVERNANCE  |                              |                                   |                           |                            |   |          |  |          |                    |   |   |  |   |                       |
| OUTCOME 9              | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |                                   |                           |                            |   |          |  |          |                    |   |   |  |   |                       |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019                |                           |                            | KEY PERFORMANCE INDICATOR                                     | KPI TYPE | ANNUAL TARGET                              | BUDGET   | ACTUAL EXPENDITURE | MID YEAR TARGET                           | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION  | CORRECTIVE MEASURE  | PORTFOLIO OF EVIDENCE |
|                        |   |                              | Current status (Progress to date) | Demand (MFMA Circular 63) | Backlog (MFMA Circular 63) |   |          |  |          |                    |   |   |  |   |                       |
|                        |   |                              |                                   |                           |                            |   |          |  |          |                    |   |   | ation Strategy needs expert inputs from the Communication Strategy | adopted in the 4 <sup>th</sup> quarter  |                       |
| Communications         | To ensure internal municipal excellence   | Municipal Planning           | New                               | 4 of Newsletters produced | Nil                        | KPI 42<br>Number of District Newsletters produced<br><br>COMM | Output   | 4 of Newsletters produced by end June 2020 | R200 000 | R48 300            | 2 of Newsletters produced by end Dec 2019 | NOT ACHIEVED<br><br>1 of Newsletters produced by end Dec 2019 | The SLA was not yet signed by the 1 <sup>st</sup> quarter          | The SLA has been signed and the 2 <sup>nd</sup> quarter target achieved. Newsletters will be produced within the timeframes going forward | 4 Newsletters         |

| NATIONAL LG PRIORITIES | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |   |  |                            |  |          |  |        |                    |                 |                    |                       |                    |  |
|------------------------|---|------------------------------|---|--|----------------------------|--|----------|--|--------|--------------------|-----------------|--------------------|-----------------------|--------------------|--|
|                        | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |   |  |                            |  |          |  |        |                    |                 |                    |                       |                    |  |
| KPA 2                  | TO PROMOTE GOOD GOVERNANCE  |                              |   |  |                            |  |          |  |        |                    |                 |                    |                       |                    |  |
| OUTCOME 9              | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |   |  |                            |  |          |  |        |                    |                 |                    |                       |                    |  |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019                          |  |                            | KEY PERFORMANCE INDICATOR  | KPI TYPE | ANNUAL TARGET  | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET | ACTUAL PERFORMANCE | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE  |
|                        |   |                              | Current status (Progress to date)           | Demand (MFMA Circular 63)              | Backlog (MFMA Circular 63) |  |          |  |        |                    |                 |                    |                       |                    |  |
| Strategic Planning     | To ensure internal municipal excellence   | Municipal Planning           | 1 IDP Rep Representative Forum Meeting held | 4 IDP Rep Representative Forum Meeting | Nil                        | KPI43<br>Number of IDP Rep Representative Forum Meetings conducted<br>STRP | Output   | 1 IDP Rep Representative Forum Meetings conducted by June 2020     | OPEX   | OPEX               | None            | None               | None                  | None               | Report on IDP Rep Representative Forum<br><br>Advertisements       |
| Strategic Planning     | To ensure internal municipal excellence   | Municipal Planning           | 5-year plan IDP Document for approved       | 2018/19 IDP Previewed and amended      | Nil                        | KPI44<br>Number of 2018/19 IDP Amended adopted by Council<br>STRP          | Output   | (1) 2020/21 IDP Amendments/Revised adopted by Council by June 2020 | OPEX   | OPEX               | None            | None               | None                  | None               | Council Resolution and 2020/21 IDP amendment<br><br>Advertisements |

| NATIONAL LG PRIORITIES         | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |                                   |                                    |                            |   |          |  |        |                    |                 |                    |                       |                    |                                    |
|--------------------------------|---|------------------------------|-----------------------------------|------------------------------------|----------------------------|---|----------|--|--------|--------------------|-----------------|--------------------|-----------------------|--------------------|------------------------------------|
|                                | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |                                   |                                    |                            |   |          |  |        |                    |                 |                    |                       |                    |                                    |
| KPA 2                          | TO PROMOTE GOOD GOVERNANCE  |                              |                                   |                                    |                            |   |          |  |        |                    |                 |                    |                       |                    |                                    |
| OUTCOME 9                      | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |                                   |                                    |                            |   |          |  |        |                    |                 |                    |                       |                    |                                    |
| FUNCTIONAL AREA                | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019                |                                    |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET  | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET | ACTUAL PERFORMANCE | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE              |
|                                |   |                              | Current status (Progress to date) | Demand (MFMA Circular 63)          | Backlog (MFMA Circular 63) |   |          |  |        |                    |                 |                    |                       |                    |                                    |
| Performance Management Systems | To ensure internal municipal excellence   | Municipal Planning           | PMS Policy Framework reviewed     | PMS Policy Framework for 2020/2021 | Nil                        | KPI45<br>Number of PMS Policy Frameworks reviewed and adopted by Council<br>PMS | Output   | 1 PMS Policy Framework reviewed adopted by Council by June 2020      | OPEX   | OPEX               | None            | None               | None                  | None               | 1 PMS Policy Framework reviewed    |
| Performance Management Systems | To ensure internal municipal excellence   | Municipal Planning           | 2018/19 Top layer SDBIP approved  | 2020/2021 Top layer SDBIP          | Nil                        | KPI46<br>Number of Top layer SDBIP approved by Executive Mayor<br>PMS           | Output   | (1) 2019/20 Top layer SDBIP approved by Executive Mayor by June 2020 | OPEX   | OPEX               | None            | None               | None                  | None               | 2020/2021 Top layer SDBIP approved |

| NATIONAL LG PRIORITIES         | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |  |  |                            |   |          |  |        |                    |  |   |                       |                    |  |
|--------------------------------|---|------------------------------|--|--|----------------------------|---|----------|--|--------|--------------------|--|---|-----------------------|--------------------|--|
|                                | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |  |  |                            |   |          |  |        |                    |  |   |                       |                    |  |
| KPA 2                          | TO PROMOTE GOOD GOVERNANCE  |                              |  |  |                            |   |          |  |        |                    |  |   |                       |                    |  |
| OUTCOME 9                      | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |  |  |                            |   |          |  |        |                    |  |   |                       |                    |  |
| FUNCTIONAL AREA                | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019   |  |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET  | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET  | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE  |
|                                |   |                              | Current status (Progress to date)  | Demand (MFMA Circular 63)  | Backlog (MFMA Circular 63) |   |          |  |        |                    |  |   |                       |                    |  |
| Performance Management System  | To ensure internal municipal excellence   | Municipal planning           | 2018/19 Mid-Year Term Performance Reports compiled                             | 2019/20 Mid-Year Performance Assessment Report submitted to Executive Mayor submitted within legislative timeframe 25 January 2020 | Nil                        | KPI 47<br>Number of Mid-Year Performance Assessment Report compiled approved<br><b>STOPMS</b>                             | Output   | (1) 2019/20 Mid-Year Performance Assessment Report compiled approved by January 2020       | OPEX   | OPEX               | None   | None  | None                  | None               | Council Resolution and 2019/20 Mid-Year Performance Assessment Report compiled |
| Performance Management Systems | To ensure internal municipal excellence   | Municipal Planning           | Approved 2017/18 municipal annual performance report(sec 46) and Annual Report | 2018/19 annual performance report compiled   | Nil                        | KPI 48<br>Timeous submission of 2018/19 annual performance report compiled and submitted to Auditor General<br><b>PMS</b> | Output   | 2018/19 annual performance report compiled and submitted to Auditor General by August 2019 | OPEX   | OPEX               | 2018/19 annual performance report compiled and submitted to Auditor General by August 2019 | <b>ACHIEVED</b><br>2018/19 annual performance report compiled and submitted to Auditor General by August 2019 | None                  | None               | Annual performance report  |

| NATIONAL LG PRIORITIES                   | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |   |  |                            |   |          |   |             |                    |   |   |                       |                    |  |
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|  | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |   |  |                            |   |          |   |             |                    |   |   |                       |                    |  |
| KPA 2                                    | TO PROMOTE GOOD GOVERNANCE  |                              |   |  |                            |   |          |   |             |                    |   |   |                       |                    |  |
| OUTCOME 9                                | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |   |  |                            |   |          |   |             |                    |   |   |                       |                    |  |
| FUNCTIONAL AREA                          | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019                        |  |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET   | BUDGET      | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE  |
|  |   |                              | Current status (Progress to date)         | Demand (MFMA Circular 63)                                      | Backlog (MFMA Circular 63) |   |          |   |             |                    |   |   |                       |                    |  |
| Municipal Information Security Standards | To ensure internal municipal excellence   | Municipal Planning           | Approved Security policy by December 2018 | Workshop approved Security policy                              | Nil                        | KPI49<br>Number of Security policy workshopped to officials<br>MISS   | Output   | 1 Security policy workshopped to officials March 2020         | OPEX        | OPEX               | None  | None  | None                  | None               | Attendance register of officials on Security Policy workshop conducted |
| Municipal Information Security Standards | To ensure internal municipal excellence   | Municipal Planning           | New Project                               | 1 security company contracted to provide security by June 2019 | Nil                        | KPI 50<br>Number of security service provider contracted to provide safety and security to municipality<br>MISS | Output   | 1 security company contracted to provide security by Sep 2019 | R 2 110 000 | R1 213 539         | 1 security company contracted to provide security by September 2019 | ACHIEVED<br>1 security company contracted to provide security by September 2019 | None                  | None               | Contract and appointment letter  |

| NATIONAL LG PRIORITIES                   | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |   |  |                            |  |          |   |        |                    |  |  |   |                    |   |
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|  | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |   |  |                            |  |          |   |        |                    |  |  |   |                    |   |
| KPA 2                                    | TO PROMOTE GOOD GOVERNANCE  |                              |   |  |                            |  |          |   |        |                    |  |  |   |                    |   |
| OUTCOME 9                                | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |   |  |                            |  |          |   |        |                    |  |  |   |                    |   |
| FUNCTIONAL AREA                          | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019  |  |                            | KEY PERFORMANCE INDICATOR  | KPI TYPE | ANNUAL TARGET   | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET  | ACTUAL PERFORMANCE   | REASONS FOR DEVIATION                                   | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE                               |
|  |   |                              | Current status (Progress to date)                         | Demand (MFMA Circular 63)  | Backlog (MFMA Circular 63) |  |          |   |        |                    |  |  |   |                    |   |
| Municipal Information Security Standards | To ensure internal municipal excellence   | Municipal Planning           | New Project   | 4 assessment report of the effectiveness of security controls produced | Nil                        | KPI 51<br>Number of Internal assessment reports of the effectiveness of security controls produced<br>MISS | Output   | 4 assessment report of the effectiveness of security controls produced by June 2020 | OPEX   | OPEX               | 2 assessment report of the effectiveness of security controls produced by end Dec 2019 | ACHIEVED<br>2 assessment report of the effectiveness of security controls produced by end Dec 2019 | None  | None               | Monthly reports and assessment sheets               |
| Speaker                                  | To ensure internal municipal excellence   | Municipal Planning           | council meetings coordinate                               | 6 council meetings   | Nil                        | KPI 52<br>Number of council meetings<br>SP   | Output   | 6 council meetings coordinate by June 2020  | OPEX   | OPEX               | 3 council meeting held by December 2019  | ACHIEVED<br>4 council meeting held by December 2019  | Compliance issues of AFS which led to a special council | None               | Council minutes                                     |
| Speaker                                  | To ensure internal municipal excellence   | Municipal Planning           | 2017/2018 Municipal oversight report submitted to Council | 2018/2019 Municipal oversight report submitted to Council              | Nil                        | KPI 53<br>Number Municipal oversight report submitted to Council<br>SP                                     | Output   | 1 Municipal oversight report submitted to Council by end March 2020                 | OPEX   | OPEX               | None   | None   | None  | None               | Public Participation minutes & Attendance Registers |

| NATIONAL LG PRIORITIES | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |                                   |                               |                            |   |          |   |        |                    |   |  |                       |                    |                             |
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|                        | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |                                   |                               |                            |   |          |   |        |                    |   |  |                       |                    |                             |
| KPA 2                  | TO PROMOTE GOOD GOVERNANCE  |                              |                                   |                               |                            |   |          |   |        |                    |   |  |                       |                    |                             |
| OUTCOME 9              | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |                                   |                               |                            |   |          |   |        |                    |   |  |                       |                    |                             |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019                |                               |                            | KEY PERFORMANCE INDICATOR                       | KPI TYPE | ANNUAL TARGET                                   | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE   | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE       |
|                        |   |                              | Current status (Progress to date) | Demand (MFMA Circular 63)     | Backlog (MFMA Circular 63) |   |          |   |        |                    |   |  |                       |                    |                             |
| Speaker                | To ensure internal municipal excellence   | Municipal Planning           | New project                       | 1 UIF&W benchmarking exercise | Nil                        | KPI 54<br>Number of benchmarking exercise<br>SP | Output   | 1 UIF&W benchmarking exercise by September 2019 | OPEX   | OPEX               | 1 UIF&W benchmarking exercise conducted by September 2019 | ACHIEVED<br><br>A benchmarking exercise has been conducted through engagement with Rustenburg local Municipality and from that a need to further engage with a district municipality was pursued with a planned visit to Ehlanzeni District municipality and possibly Garden Route District municipality | None                  | None               | 1 report written to Council |



| NATIONAL LG PRIORITIES | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |   |   |                            |   |          |  |  |                    |  |   |                       |                    |                                 |
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|                        | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |   |   |                            |   |          |  |  |                    |  |   |                       |                    |                                 |
| KPA 2                  | TO PROMOTE GOOD GOVERNANCE  |                              |   |   |                            |   |          |  |  |                    |  |   |                       |                    |                                 |
| OUTCOME 9              | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |   |   |                            |   |          |  |  |                    |  |   |                       |                    |                                 |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019  |   |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET  | BUDGET                                   | ACTUAL EXPENDITURE | MID YEAR TARGET  | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE           |
|                        |   |                              | Current status (Progress to date)   | Demand (MFMA Circular 63)               | Backlog (MFMA Circular 63) |   |          |  |  |                    |  |   |                       |                    |                                 |
| Executive Mayor        | To promote socio-economic development   | None                         | Celebrated with elderly during Mandela Day in Matlosana recreational Hall | Conduct 1 Poverty alleviation programme | Nil                        | <b>KPI 55</b><br>Number of celebrations with elderly during Mandela Day to be held in Matlosana recreational Hall<br>EM | Activity | 1 celebration with elderly during Mandela Day to be held in Matlosana recreational Hall by July 2019 | R250 000<br><br>31052300120<br>FLP61ZZWD | R156 995           | 1 celebration with elderly during Mandela Day to be held in Matlosana recreational Hall by December 2019 | <b>ACHIEVED</b><br><br>1 celebration with elderly during Mandela Day held in Matlosana recreational Hall in Sept 2019 | None                  | None               | Report on Mandela Activity held |

| NATIONAL LG PRIORITIES | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |                                   |  |                            |   |          |  |   |                    |  |   |                       |                    |                                       |
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|                        | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |                                   |  |                            |   |          |  |   |                    |  |   |                       |                    |                                       |
| KPA 2                  | TO PROMOTE GOOD GOVERNANCE  |                              |                                   |  |                            |   |          |  |   |                    |  |   |                       |                    |                                       |
| OUTCOME 9              | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |                                   |  |                            |   |          |  |   |                    |  |   |                       |                    |                                       |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019                |  |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET  | BUDGET                                  | ACTUAL EXPENDITURE | MID YEAR TARGET  | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE                 |
|                        |   |                              | Current status (Progress to date) | Demand (MFMA Circular 63)                                  | Backlog (MFMA Circular 63) |   |          |  |   |                    |  |   |                       |                    |                                       |
| Executive Mayor        | To promote socio-economic development   | None                         | 168 Students benefited            | 250 students to be assisted with financially by April 2020 | 82                         | <b>KPI 56</b><br>% of students within Dr. Kenneth Kaunda District validated for assistance awarded with financial assistance in Higher learning institutions<br><br><b>EM</b> | Output   | 100% of students within Dr. Kenneth Kaunda District validated for assistance awarded with financial assistance in Higher learning institutions by April 2020 | R2400 000<br><br>31052590650 F LP63ZZWD | R876 000           | Preparations for the placement of an advert for financial assistance in Higher learning institutions by September 2019 | <b>Achieved</b><br><br>Placed an advert for financial assistance for registration in Higher learning institutions in October 2019<br><br>Paid 78 approved HWSETA applicants | None                  | None               | Reports on students awarded financial |

| NATIONAL LG PRIORITIES | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |  |                                  |                            |   |          |   |  |                    |  |   |                       |                    |   |
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|                        | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |  |                                  |                            |   |          |   |  |                    |  |   |                       |                    |   |
| KPA 2                  | TO PROMOTE GOOD GOVERNANCE  |                              |  |                                  |                            |   |          |   |  |                    |  |   |                       |                    |   |
| OUTCOME 9              | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |  |                                  |                            |   |          |   |  |                    |  |   |                       |                    |   |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019   |                                  |                            | KEY PERFORMANCE INDICATOR                                   | KPI TYPE | ANNUAL TARGET                                 | BUDGET                                   | ACTUAL EXPENDITURE | MID YEAR TARGET                          | ACTUAL PERFORMANCE                                      | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE   |
|                        |   |                              | Current status (Progress to date)                          | Demand (MFMA Circular 63)        | Backlog (MFMA Circular 63) |   |          |   |  |                    |  |   |                       |                    |   |
| Executive Mayor        | To promote socio-economic development   | None                         | 5 Supported students that applied for financial assistance | Support of 5 educational request | Nil                        | KPI 57<br>Number of educational request supported<br><br>EM | Output   | 5 educational request supported by March 2020 | R200 000<br><br>31052540650<br>FLP36ZZWD | R 0                | 3 Educational requests by September 2019 | ACHIEVED<br><br>3 Educational requests by December 2019 | None                  | None               | Report on students /institutions offered financial assistance/s support |

| NATIONAL LG PRIORITIES | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |   |  |                            |   |          |   |   |                    |   |   |                       |                    |                                 |
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|                        | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |   |  |                            |   |          |   |   |                    |   |   |                       |                    |                                 |
| KPA 2                  | TO PROMOTE GOOD GOVERNANCE  |                              |   |  |                            |   |          |   |   |                    |   |   |                       |                    |                                 |
| OUTCOME 9              | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |   |  |                            |   |          |   |   |                    |   |   |                       |                    |                                 |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019  |  |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET   | BUDGET                                    | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE           |
|                        |   |                              | Current status (Progress to date)                           | Demand (MFMA Circular 63)                                    | Backlog (MFMA Circular 63) |   |          |   |   |                    |   |   |                       |                    |                                 |
| Executive Mayor        | To promote socio-economic development   | None                         | 200 food parcels supplied to distressed families identified | Supply of 200 food parcels to distressed families identified | Nil                        | <b>KPI 58</b><br>Number of food parcels supplied to distressed families within Dr. Kenneth Kaunda District identified<br><br>EM | Output   | 200 food parcels supplied to distressed families within Dr. Kenneth Kaunda District identified by June 2020 | R300 000<br><br>31052690640<br>F LP69ZZWD | R19 000            | 100 food parcels supplied to distressed families within Dr. Kenneth Kaunda District identified by December 2019 | <b>ACHIEVED</b><br><br>Fundraising initiative with Community Radio Station to supply food parcels to distressed families  | None                  | None               | List of Beneficiaries           |
| Executive Mayor        | To promote socio-economic development   | None                         | 4 gender workshops held                                     | Hold 5 Gender workshops                                      | Nil                        | <b>KPI 59</b><br>Number of Gender activity programs held within Dr. Kenneth Kaunda District<br><br>EM                           | Activity | 3 Gender activity programs held within Dr. Kenneth Kaunda District by June 2020                             | R300 000<br><br>31052280030<br>FLP53ZZWD  | R83 825            | 2 Gender activity programs within Dr. Kenneth Kaunda District held by December 2019                             | <b>ACHIEVED</b><br><br>330 girl children benefited from the sanitary towels project<br><br>District Men's Parliament held | None                  | None               | Report on Gender workshops held |

| NATIONAL LG PRIORITIES | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |                                   |                               |                            |   |          |  |   |                    |  |  |                       |                    |   |
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|                        | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |                                   |                               |                            |   |          |  |   |                    |  |  |                       |                    |   |
| KPA 2                  | TO PROMOTE GOOD GOVERNANCE  |                              |                                   |                               |                            |   |          |  |   |                    |  |  |                       |                    |   |
| OUTCOME 9              | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |                                   |                               |                            |   |          |  |   |                    |  |  |                       |                    |   |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019                |                               |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET                                  | BUDGET                                  | ACTUAL EXPENDITURE | MID YEAR TARGET                                | ACTUAL PERFORMANCE   | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE                   |
|                        |   |                              | Current status (Progress to date) | Demand (MFMA Circular 63)     | Backlog (MFMA Circular 63) |   |          |  |   |                    |  |  |                       |                    |   |
| Executive Mayor        | To promote socio-economic development   | None                         | New project                       | Host 1 women's month activity | Nil                        | KPI 60<br><br>Number of women's month activities hosted<br><br>EM | Activity | 1 women's month activity hosted by August 2019 | R150 00<br>310523001<br>20FLQ05Z<br>ZWD | R65 150            | 1 women's month activity hosted by August 2019 | ACHIEVED<br><br>1 women's month activity hosted by Sept 2019 | None                  | None               | Report on women's month activity hosted |
|                        |   |                              |                                   |                               |                            |   |          |  | 31052280030<br>FLQ05ZZWD                |                    |  |  |                       |                    |   |
|                        |   |                              |                                   |                               |                            |   |          |  | 31052280050<br>FLQ05ZZWD                |                    |  |  |                       |                    |   |
|                        |   |                              |                                   |                               |                            |   |          |  | 31052280610<br>FLQ05ZZWD                |                    |  |  |                       |                    |   |
|                        |   |                              |                                   |                               |                            |   |          |  | 31052281220<br>FLQ05ZZWD                |                    |  |  |                       |                    |   |
|                        |   |                              |                                   |                               |                            |   |          |  | 31052300120<br>FLQ05ZZWD                |                    |  |  |                       |                    |   |
|                        |   |                              |                                   |                               |                            |   |          |  | 31052300140<br>FLQ05ZZWD                |                    |  |  |                       |                    |   |

| NATIONAL LG PRIORITIES | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |   |   |                            |   |          |   |                                       |                    |   |                    |  |  |   |
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|                        | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |   |   |                            |   |          |   |                                       |                    |   |                    |  |  |   |
| KPA 2                  | TO PROMOTE GOOD GOVERNANCE  |                              |   |   |                            |   |          |   |                                       |                    |   |                    |  |  |   |
| OUTCOME 9              | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |   |   |                            |   |          |   |                                       |                    |   |                    |  |  |   |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019                        |   |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET   | BUDGET                                | ACTUAL EXPENDITURE | MID YEAR TARGET                                     | ACTUAL PERFORMANCE | REASONS FOR DEVIATION  | CORRECTIVE MEASURE   | PORTFOLIO OF EVIDENCE                                     |
|                        |   |                              | Current status (Progress to date)         | Demand (MFMA Circular 63)                             | Backlog (MFMA Circular 63) |   |          |   |                                       |                    |   |                    |  |  |   |
| Executive Mayor        | To promote socio-economic development   | None                         | 30 girls exposed to a working environment | 20 boys and 20 girls exposed to a working environment | Nil                        | <b>KPI 61</b><br><br>Number of girls and Boys within Dr. Kenneth Kaunda District exposed to a working environment<br><br>EM | Output   | 20 boys and 20 girls within Dr. Kenneth Kaunda District exposed to a working environment by June 2020 | R100 000<br><br>31052280030 FLP11ZZWD | R1 800             | None  | None               | None   | None   | Report on boys and girls exposed to a working environment |
| Executive Mayor        | To promote socio-economic development   | None                         | Nil                                       | Provide 15 Schools with Sanitary Towels               | Nil                        | <b>KPI 62</b><br><br>Number of Schools provided with Sanitary towels<br><br>EM  | Output   | 15 Schools provided with Sanitary Towels by June 2020   | R100 000                              | R 0                | 5 Schools provided with Sanitary Towels by Dec 2019 | NOT ACHIEVED       | School processes like exam preparations & exams caused the delay | To be done in the third quarter as part of the "Back to School Campaign" |   |





| NATIONAL LG PRIORITIES | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |  |  |                            |  |          |  |  |                    |  |  |   |   |  |
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|                        | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |  |  |                            |  |          |  |  |                    |  |  |   |   |  |
| KPA 2                  | TO PROMOTE GOOD GOVERNANCE  |                              |  |  |                            |  |          |  |  |                    |  |  |   |   |  |
| OUTCOME 9              | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |  |  |                            |  |          |  |  |                    |  |  |   |   |  |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019   |  |                            | KEY PERFORMANCE INDICATOR  | KPI TYPE | ANNUAL TARGET  | BUDGET                                   | ACTUAL EXPENDITURE | MID YEAR TARGET  | ACTUAL PERFORMANCE   | REASONS FOR DEVIATION   | CORRECTIVE MEASURE  | PORTFOLIO OF EVIDENCE  |
|                        |   |                              | Current status (Progress to date)  | Demand (MFMA Circular 63)  | Backlog (MFMA Circular 63) |  |          |  |  |                    |  |  |   |   |  |
| Executive Mayor        | To promote socio-economic development   | None                         | 4 assistive devices provided or fixed to identified disabled individuals | Provide 4 assistive devices provided or fixed to identified disabled individuals | Nil                        | <b>KPI 63</b><br><br>Number of assistive devices provided or fixed to identified disabled individual within Dr. Kenneth Kaunda District<br><b>EM</b> | Output   | 20 assistive devices provided to identified disabled individual within Dr. Kenneth Kaunda District by Dec 2019 | R300 000<br><br>31052280030<br>FLP21ZZWD | R 0                | 20 assistive devices provided or fixed to identified disabled individual within Dr. Kenneth Kaunda District by December 2019 | <b>NOT ACHIEVED</b><br><br>One girl child provided with a wheelchair | Request for assistive devices received but not accompanied by required attachments (Id, proof of residence etc) | Request for information on letters to affected individuals to submit required documents | Report on proof of assistive devices provided to identified disabled individuals |

| NATIONAL LG PRIORITIES | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |  |  |                            |   |          |  |  |                    |  |  |   |   |  |
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|                        | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |  |  |                            |   |          |  |  |                    |  |  |   |   |  |
| KPA 2                  | TO PROMOTE GOOD GOVERNANCE  |                              |  |  |                            |   |          |  |  |                    |  |  |   |   |  |
| OUTCOME 9              | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |  |  |                            |   |          |  |  |                    |  |  |   |   |  |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019   |  |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET  | BUDGET                                   | ACTUAL EXPENDITURE | MID YEAR TARGET  | ACTUAL PERFORMANCE   | REASONS FOR DEVIATION   | CORRECTIVE MEASURE                          | PORTFOLIO OF EVIDENCE                              |
|                        |   |                              | Current status (Progress to date)  | Demand (MFMA Circular 63)                  | Backlog (MFMA Circular 63) |   |          |  |  |                    |  |  |   |   |  |
| Executive Mayor        | To promote socio-economic development   | None                         | District Older persons activity at the district and provincially supported | 1 recreational Activities held for Elderly | Nil                        | <b>KPI64</b><br>Number of recreational activities held for Elderly<br>EM                                | Activity | 1 recreational and activities held for Elderly by December 2019                        | R250 000<br><br>31052280030<br>FLP37ZZWD | R5 700             | 1 recreational activities held for Elderly by December 2019                                | <b>NOT ACHIEVED</b>  | Procurement processes done towards the end of the quarter                                     | To be done in the third quarter             | Report on recreational Activities held for Elderly |
| Executive Mayor        | To promote socio-economic development   | None                         | 2 RHR programs conducted   | Conduct 3 RHR programs                     | Nil                        | <b>KPI65</b><br>Number of moral regeneration program conducted within Dr. Kenneth Kaunda District<br>EM | Activity | 3 moral regeneration program conducted within Dr. Kenneth Kaunda District by June 2020 | R300 000<br><br>31052300120<br>FLP66ZZWD | R26 350            | 2 moral regeneration program conducted within Dr. Kenneth Kaunda District by December 2019 | <b>Not Achieved</b><br><br>Elderly from the DRKKDM provided transport to attend a conference | 2 <sup>nd</sup> program not approved (reasons given in 1 <sup>st</sup> QPR differ to midterm) | Involve stakeholders on alternative program | Report on moral regeneration programs conducted    |



| NATIONAL LG PRIORITIES | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |  |                              |                              |   |          |  |                          |                    |   |   |                               |   |                                    |
|------------------------|---|------------------------------|--|------------------------------|------------------------------|---|----------|--|--------------------------|--------------------|---|---|-------------------------------|---|------------------------------------|
|                        | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |  |                              |                              |   |          |  |                          |                    |   |   |                               |   |                                    |
| KPA 2                  | TO PROMOTE GOOD GOVERNANCE  |                              |  |                              |                              |   |          |  |                          |                    |   |   |                               |   |                                    |
| OUTCOME 9              | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |  |                              |                              |   |          |  |                          |                    |   |   |                               |   |                                    |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019                     |                              |                              | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET  | BUDGET                   | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION         | CORRECTIVE MEASURE                            | PORTFOLIO OF EVIDENCE              |
|                        |   |                              | Current status (Progress to date)      | Demand (MFMA Circular 63)    | Backlog (MFMA Circular 63)   |   |          |  |                          |                    |   |   |                               |   |                                    |
| Executive Mayor        | To promote socio-economic development   | None                         | 3 youth programs or projects supported | 4 youth programs or projects | 9 youth programs or projects | KPI 66<br>Number of youth projects within Dr. Kenneth Kaunda District supported<br>EM | Activity | 4 youth projects within Dr. Kenneth Kaunda District supported by June 2020 | R400 000                 | R 72 002           | 3 youth programs or projects within Dr. Kenneth Kaunda District supported by September 2019<br><br>- Heritage Program – Tswelelan g Township -Youth with Disability Program | <b>NOT ACHIEVED</b><br><br>Indigenous games were held in M/Hills in collaboration with DED. 300 participants took part.<br><br>One young entrepreneur from matlosana assisted in hosting a music festival | Delay in approving a proposal | To be achieved in the 3 <sup>rd</sup> quarter | Report on Youth program or project |
|                        |   |                              |  |                              |                              |   |          |  | 31052280030<br>FLQ07ZZWD |                    |   |   |                               |   |                                    |
|                        |   |                              |  |                              |                              |   |          |  | 31052280050<br>FLQ07ZZWD |                    |   |   |                               |   |                                    |

| NATIONAL LG PRIORITIES | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                              |                                   |                           |                            |                           |          |               |                          |                    |                 |                    |                       |                    |                       |
|------------------------|---|------------------------------|-----------------------------------|---------------------------|----------------------------|---------------------------|----------|---------------|--------------------------|--------------------|-----------------|--------------------|-----------------------|--------------------|-----------------------|
|                        | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                              |                                   |                           |                            |                           |          |               |                          |                    |                 |                    |                       |                    |                       |
| KPA 2                  | TO PROMOTE GOOD GOVERNANCE  |                              |                                   |                           |                            |                           |          |               |                          |                    |                 |                    |                       |                    |                       |
| OUTCOME 9              | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                              |                                   |                           |                            |                           |          |               |                          |                    |                 |                    |                       |                    |                       |
| FUNCTIONAL AREA        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTIONS | BASELINE 2018/2019                |                           |                            | KEY PERFORMANCE INDICATOR | KPI TYPE | ANNUAL TARGET | BUDGET                   | ACTUAL EXPENDITURE | MID YEAR TARGET | ACTUAL PERFORMANCE | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE |
|                        |   |                              | Current status (Progress to date) | Demand (MFMA Circular 63) | Backlog (MFMA Circular 63) |                           |          |               |                          |                    |                 |                    |                       |                    |                       |
|                        |   |                              |                                   |                           |                            |                           |          |               | 31052280610<br>FLQ07ZZWD |                    |                 |                    |                       |                    |                       |
|                        |   |                              |                                   |                           |                            |                           |          |               | 31052281220<br>FLQ07ZZWD |                    |                 |                    |                       |                    |                       |
|                        |   |                              |                                   |                           |                            |                           |          |               | 31052300120<br>FLQ07ZZWD |                    |                 |                    |                       |                    |                       |
|                        |   |                              |                                   |                           |                            |                           |          |               | 31052300140<br>FLQ07ZZWD |                    |                 |                    |                       |                    |                       |
|                        |   |                              |                                   |                           |                            |                           |          |               | 31052301870<br>FLQ07ZZWD |                    |                 |                    |                       |                    |                       |
|                        |   |                              |                                   |                           |                            |                           |          |               | 31052300120<br>FLQ06ZZWD |                    |                 |                    |                       |                    |                       |
|                        |   |                              |                                   |                           |                            |                           |          |               | 31052300140<br>FLQ06ZZWD |                    |                 |                    |                       |                    |                       |
|                        |   |                              |                                   |                           |                            |                           |          |               | 31052280610<br>FLQ06ZZWD |                    |                 |                    |                       |                    |                       |
|                        |   |                              |                                   |                           |                            |                           |          |               | 31052281220<br>FLQ06ZZWD |                    |                 |                    |                       |                    |                       |
|                        |   |                              |                                   |                           |                            |                           |          |               | 31052300120<br>FLQ06ZZWD |                    |                 |                    |                       |                    |                       |
|                        |   |                              |                                   |                           |                            |                           |          |               | 31052305730<br>flq06ZZWD |                    |                 |                    |                       |                    |                       |
|                        |   |                              |                                   |                           |                            |                           |          |               | 31052301870<br>FLQ06ZZWD |                    |                 |                    |                       |                    |                       |

| GOOD GOVERNANCE AND PUBLIC PARTICIPATION  |   |   |   |
|---|---|---|---|
| Number of Targets Achieved  | Number of Targets Not Achieved  | Number of Targets Not Applicable for 1 <sup>st</sup> & 2 <sup>nd</sup> Quarter      | Total Number of Targets for the year  |
|  |  |  |  |
| 12 OUT 32   | 10 OUT 32   | 10  | 32  |

# KPA 6: SPATIAL RATIONALE

## KPA 6: SPATIAL RATIONALE

### DISASTER RISK MANAGEMENT

| NATIONAL LG PRIORITIES   | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                             |  |  |                            |  |          |  |   |                    |   |  |   |                    |   |
|--------------------------|---|-----------------------------|--|--|----------------------------|--|----------|--|---|--------------------|---|--|---|--------------------|---|
|                          | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                             |  |  |                            |  |          |  |   |                    |   |  |   |                    |   |
| KPA 2                    | TO PROMOTE GOOD GOVERNANCE  |                             |  |  |                            |  |          |  |   |                    |   |  |   |                    |   |
| OUTCOME 9                | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                             |  |  |                            |  |          |  |   |                    |   |  |   |                    |   |
| Functional Area          | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTION | BASELINE 2018/2019   |  |                            | KEY PERFORMANCE INDICATOR  | KPI TYPE | ANNUAL TARGET  | BUDGET                                  | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE   | REASONS FOR DEVIATION   | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE   |
|                          |   |                             | Current status (Progress to date)                              | Demand (MFMA Circular 63)                                | Backlog (MFMA Circular 63) |  |          |  |   |                    |   |  |   |                    |   |
| Disaster Risk Management | To ensure disaster risk management  | Disaster Risk Management    | Draft Dolomite Emergency Response Plan. Draft Dolomite By-Laws | Dolomite Emergency Response Plan. Draft Dolomite By-Laws | Nil                        | <b>KPI 67</b><br>Number of Dolomite Emergency Response Plans & Dolomite By-Laws adopted by Council<br><b>DRM</b> | Output   | 1 Dolomite Emergency Response Plan & 1 Dolomite By-Law adopted by Council by June 2020 | R2 000 000<br><br>38052272540FLP75Z ZR3 | R 683 740          | None  | None   | None  | None               | Dolomite Emergency Response Plan and Dolomite By Law adopted by Council |
| Fire Services            | To ensure fire services   | Fire Services               | 60 Fire Safety Inspections within Dr. Kenneth Kaunda District  | 60 Fire Safety inspections conducted                     | Nil                        | <b>KPI 68</b><br>Number of Fire Safety Inspections within Dr. Kenneth Kaunda District conducted<br><b>DRM</b>    | Activity | 60 Fire Safety Inspections within Dr. Kenneth Kaunda District conducted by June 2020   | OPEX                                    | OPEX               | 30 Fire Inspections within Dr. Kenneth Kaunda District conducted by December 2019 | <b>ACHIEVED</b><br>40 Fire Inspections within Dr. Kenneth Kaunda District conducted by December 2019 | 10 more inspections were done to address issues of non-compliance | None               | Fire Inspection Reports   |





| NATIONAL LG PRIORITIES   | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                             |   |   |                            |  |          |   |                                  |                    |  |   |   |                    |                                  |
|--------------------------|---|-----------------------------|---|---|----------------------------|--|----------|---|----------------------------------|--------------------|--|---|---|--------------------|----------------------------------|
|                          | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                             |   |   |                            |  |          |   |                                  |                    |  |   |   |                    |                                  |
| KPA 2                    | TO PROMOTE GOOD GOVERNANCE  |                             |   |   |                            |  |          |   |                                  |                    |  |   |   |                    |                                  |
| OUTCOME 9                | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                             |   |   |                            |  |          |   |                                  |                    |  |   |   |                    |                                  |
| Functional Area          | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTION | BASELINE 2018/2019  |   |                            | KEY PERFORMANCE INDICATOR  | KPI TYPE | ANNUAL TARGET   | BUDGET                           | ACTUAL EXPENDITURE | MID YEAR TARGET  | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION                   | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE            |
|                          |   |                             | Current status (Progress to date)                                       | Demand (MFMA Circular 63)                             | Backlog (MFMA Circular 63) |  |          |   |                                  |                    |  |   |   |                    |                                  |
|                          |   |                             |   |   |                            |  |          |   |                                  |                    |  |   | ance on tucksh ops that sell firewo rks |                    |                                  |
| Disaster Risk Management | To ensure disaster risk management  | Disaster Risk Management    | International Disaster Risk Reduction event conducted                   | International Disaster Risk Reduction event conducted | Nil                        | <b>KPI 69</b><br>Number of International Disaster Risk Reduction events held within Dr. Kenneth Kaunda District conducted <b>DRM</b> | Output   | 1 International; Disaster Risk Reduction event conducted by December 2019             | R20 000<br>38052301870FLP23Z ZWD | R11 420            | 1 International; Disaster Risk Reduction event conducted by December 2019                | <b>ACHIEVED</b><br>1 International; Disaster Risk Reduction event conducted by December 2019                | None                                    | None               | Reports and Attendance Registers |
| Fire services            | To ensure disaster risk management  | Disaster Risk Management    | 6 BESAFE Centre Activities conducted within Dr. Kenneth Kaunda District | BESAFE Centre Activities conducted                    | Nil                        | <b>KPI 70</b><br>Number of BESAFE Centre Activities within Dr. Kenneth Kaunda District conducted <b>DRM</b>                          | Activity | 6 BESAFE Centre Activities conducted within Dr. Kenneth Kaunda District by March 2020 | OPEX                             | OPEX               | 4 BESAFE Centre Activities within Dr. Kenneth Kaunda District conducted by December 2019 | <b>ACHIEVED</b><br>4 BESAFE Centre Activities within Dr. Kenneth Kaunda District conducted by December 2019 | None                                    | None               | Reports and Attendance Registers |

| NATIONAL LG PRIORITIES   | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                             |   |  |                            |  |          |  |   |                        |  |   |                       |                    |                                   |
|--------------------------|---|-----------------------------|---|--|----------------------------|--|----------|--|---|------------------------|--|---|-----------------------|--------------------|-----------------------------------|
|                          | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                             |   |  |                            |  |          |  |   |                        |  |   |                       |                    |                                   |
| KPA 2                    | TO PROMOTE GOOD GOVERNANCE  |                             |   |  |                            |  |          |  |   |                        |  |   |                       |                    |                                   |
| OUTCOME 9                | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                             |   |  |                            |  |          |  |   |                        |  |   |                       |                    |                                   |
| Functional Area          | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTION | BASELINE 2018/2019  |  |                            | KEY PERFORMANCE INDICATOR  | KPI TYPE | ANNUAL TARGET  | BUDGET  | ACTUAL EXPENDITURE     | MID YEAR TARGET  | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE             |
|                          |   |                             | Current status (Progress to date)   | Demand (MFMA Circular 63)                          | Backlog (MFMA Circular 63) |  |          |  |   |                        |  |   |                       |                    |                                   |
| Disaster Risk Management | To ensure disaster risk management  | Disaster Risk Management    | 1 Winter Awareness Campaign conducted within Dr.Kenneth Kaunda District                 | Winter Awareness Campaign conducted                | Nil                        | <b>KPI 71</b><br><br>Number of Winter Awareness campaigns within Dr. Kenneth Kaunda District conducted<br><b>DRM</b>               | Activity | 1 Winter Awareness Campaign conducted within Dr. Kenneth Kaunda District by June 2020                | R50,000<br><br>38052280030FLP76Z ZWD<br><br>R220,000<br><br>38052280030FLP23Z ZWD | R0<br><br><br>R72 333  | None   | None  | None                  | None               | 1 Report and Attendance Registers |
| Disaster Risk Management | To ensure disaster risk management  | Disaster Risk Management    | 4 Community Based Disaster Risk Assessment conducted within Dr. Kenneth Kaunda District | Community Based Disaster Risk Assessment conducted | Nil                        | <b>KPI 72</b><br><br>Number of Community Based Disaster Risk Assessment within Dr. Kenneth Kaunda District conducted<br><b>DRM</b> | Outcome  | 4 Community Based Disaster Risk Assessment within Dr. Kenneth Kaunda District conducted by June 2020 | R50,000<br><br>38052280030FLP76Z ZWD<br><br>R220,000<br><br>38052280030FLP23Z ZWD | R 0<br><br><br>R72 333 | 2 Community Based Disaster Risk Assessment within Dr. Kenneth Kaunda District conducted by December 2019 | <b>ACHIEVED</b><br><br>2 Community Based Disaster Risk Assessment within Dr. Kenneth Kaunda District conducted by December 2019 | None                  | None               | 4 Reports on CBDRA conducted      |

| NATIONAL LG PRIORITIES   | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                             |                                   |  |                            |   |          |   |        |                    |   |   |   |   |                                |
|--------------------------|---|-----------------------------|-----------------------------------|--|----------------------------|---|----------|---|--------|--------------------|---|---|---|---|--------------------------------|
|                          | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                             |                                   |  |                            |   |          |   |        |                    |   |   |   |   |                                |
| KPA 2                    | TO PROMOTE GOOD GOVERNANCE  |                             |                                   |  |                            |   |          |   |        |                    |   |   |   |   |                                |
| OUTCOME 9                | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                             |                                   |  |                            |   |          |   |        |                    |   |   |   |   |                                |
| Functional Area          | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTION | BASELINE 2018/2019                |  |                            | KEY PERFORMANCE INDICATOR   | KPI TYPE | ANNUAL TARGET   | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET   | ACTUAL PERFORMANCE  | REASONS FOR DEVIATION   | CORRECTIVE MEASURE                                  | PORTFOLIO OF EVIDENCE          |
|                          |   |                             | Current status (Progress to date) | Demand (MFMA Circular 63)                                    | Backlog (MFMA Circular 63) |   |          |   |        |                    |   |   |   |   |                                |
| Disaster Risk Management | To ensure disaster risk management  | Disaster Risk Management    | New Project                       | Disaster Risk Management Annual Reports submitted to Council | Nil                        | KPI 73<br><br>Number of Disaster Risk Management Annual Reports submitted to Council<br>DRM | Output   | 1 Disaster Risk Management Annual Report submitted to Council by September 2019 | OPEX   | OPEX               | 1 Disaster Risk Management Annual Report submitted to Council by September 2019 | ACHIEVED<br><br>1 Disaster Risk Management Annual Report submitted to Council by September 2019 | None  | None  | Annual Report. Item to Council |
| Disaster Risk Management | Good Governance   | Disaster Risk Management    | New Project                       | Disaster Risk Management IGR Forums conducted                | Nil                        | KPI 74<br><br>Number of Disaster Risk Management IGR Forums conducted<br>DRM                | Activity | 4 Disaster Risk Management IGR Forums conducted by June 2020                    | OPEX   | OPEX               | 2 Disaster Risk Management IGR Forum conducted by December 2019                 | NOT ACHIEVED<br><br>1 Disaster Risk Management IGR Forum conducted by December 2019             | Dependence on attendance by various stakeholders, the meetings had to be postponed. | Engage stakeholders on the importance of attendance | Attendance Register            |
| Disaster Risk Management | Good Governance   | Disaster Risk Management    | New Project                       | Disaster Advisory Forums conducted                           | Nil                        | KPI 75<br><br>Number of Disaster Advisory Forums Conducted<br>DRM                           | Activity | 4 Disaster Advisory Forums Conducted by June 2020                               | OPEX   | OPEX               | 2 Disaster Advisory Forum Conducted by December 2019                            | NOT ACHIEVED<br><br>1 Disaster Advisory Forum Conducted by December 2019                        | Dependence on attendance by various stakeholders,                                   | Engage stakeholders on the importance of attendance | Attendance Register            |

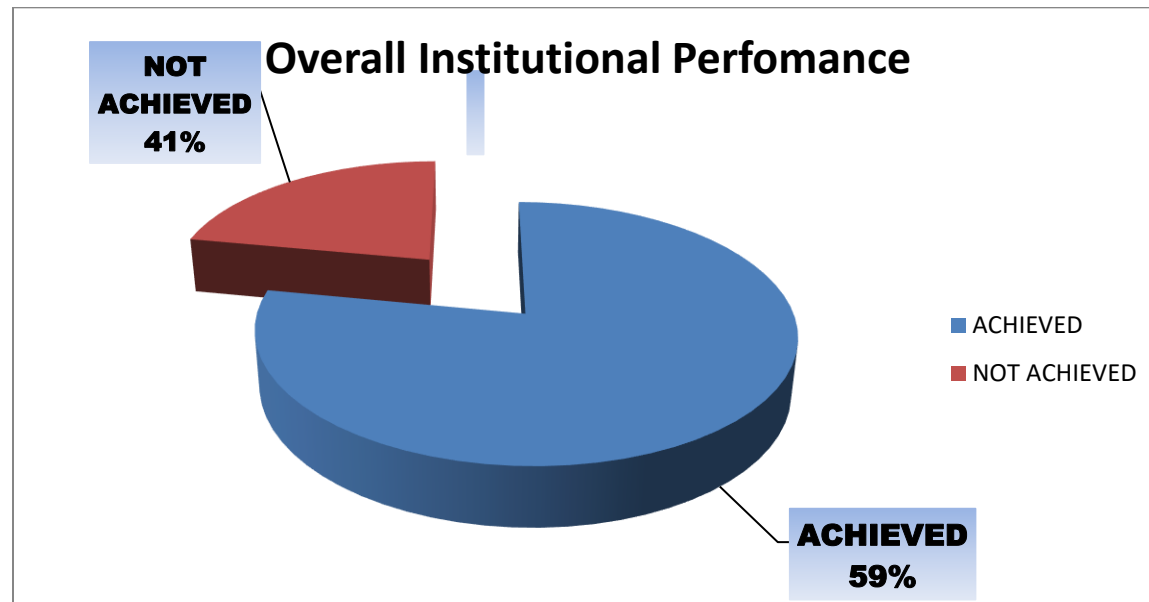


| NATIONAL LG PRIORITIES | BUILD A DEVELOPMENTAL STATE, IMPROVE PUBLIC SERVICE AND STRENGTHEN DEMOCRATIC INSTITUTION |                             |                                   |                           |                            |                           |          |               |        |                    |                 |                    |                                   |                    |                       |
|------------------------|---|-----------------------------|-----------------------------------|---------------------------|----------------------------|---------------------------|----------|---------------|--------|--------------------|-----------------|--------------------|-----------------------------------|--------------------|-----------------------|
|                        | ENSURE SUSTAINABLE RESOURCE MANAGEMENT AND USE  |                             |                                   |                           |                            |                           |          |               |        |                    |                 |                    |                                   |                    |                       |
| KPA 2                  | TO PROMOTE GOOD GOVERNANCE  |                             |                                   |                           |                            |                           |          |               |        |                    |                 |                    |                                   |                    |                       |
| OUTCOME 9              | OUTPUT 5: TO STRENGTHEN PARTICIPATORY GOVERNANCE  |                             |                                   |                           |                            |                           |          |               |        |                    |                 |                    |                                   |                    |                       |
| Functional Area        | STRATEGIC OBJECTIVE   | MUNICIPAL POWERS & FUNCTION | BASELINE 2018/2019                |                           |                            | KEY PERFORMANCE INDICATOR | KPI TYPE | ANNUAL TARGET | BUDGET | ACTUAL EXPENDITURE | MID YEAR TARGET | ACTUAL PERFORMANCE | REASONS FOR DEVIATION             | CORRECTIVE MEASURE | PORTFOLIO OF EVIDENCE |
|                        |   |                             | Current status (Progress to date) | Demand (MFMA Circular 63) | Backlog (MFMA Circular 63) |                           |          |               |        |                    |                 |                    |                                   |                    |                       |
|                        |   |                             |                                   |                           |                            |                           |          |               |        |                    |                 |                    | the meetings had to be postponed. |                    |                       |

| SPATIAL RATIONALE   |   |   |   |
|---|---|---|---|
| Number of Targets Achieved  | Number of Targets Not Achieved  | Number of Targets Not Applicable for 1 <sup>st</sup> & 2 <sup>nd</sup> Quarter        | Total Number of Targets for the year  |
|  |  |  |  |
| 5 OUT 7   | 2 OUT 7   | 2   | 9   |

## SUMMARY OF ORGANISATIONAL PERFORMANCE

|   | Number | Percentage |
|---|--------|------------|
| Total Number of KPIs and targets <b>Planned</b> for the year    | 75     | 100%       |
| Total number of KPIs and Targets <b>Not Applicable</b> Mid-Year | 17     | N/A        |
| Total number of KPIs and Targets <b>Planned</b> for Mid-Year    | 58     | 100%       |
| Total number of target <b>Achieved</b>                          | 34     | 59%        |
| Total number of targets <b>Not Achieved</b>                     | 24     | 41%        |
| Target <b>Not Planned</b> for but <b>Reported</b>               | N/A    | N/A        |



## 2018/19 ANNUAL REPORT AND PROGRESS ON RESOLVING PROBLEMS IDENTIFIED IN THE ANNUAL REPORT

The draft annual report for the 2018/19 financial year will be tabled to Council on / before 30<sup>th</sup> January 2020. The municipality has developed an Audit action plan and corrective actions that will be identified will be addressed. The Annual Report will be advertised for public comments and Oversight Report on the 2018/19 Annual Report will be submitted to Council on / before 31 March 2019

### BASIC SERVICE DELIVERY AND INFRASTRUCTURE DEVELOPMENT

| 2018/19 Target  | Auditor General's Finding  | Progress as at 31 December 2019                           |
|---|--|---|
| <b>KPI 5</b><br>Total kilometres of paved roads assessed by June 2019 | I was unable to obtain sufficient appropriate audit evidence for the reported achievement in the annual performance report. This was due to a lack of systems and processes that enable reliable reporting of actual service delivery against the indicator. I was unable to confirm that the reported achievement by alternative means. Consequently, I was unable to determine whether any adjustment was required to the reported achievement of "Achieved: 1215.63km of Paved Roads Assessed within Dr Kenneth Kaunda District Municipality by June 2019". | The 2019/2020 1 <sup>st</sup> and 2 <sup>nd</sup> Quarter |

### PROGRESS ON TARGETS THAT WERE NOT ACHIEVED IN THE 2018/19 ANNUAL REPORT

#### MUNICIPAL TRANSFORMATION AND INSTITUTIONAL DEVELOPMENT

| 2019/19 Target   | Corrective Measure  | Progress as at 31 December 2019  |
|--|---|--|
| <b>KPI 7</b><br>Percentage of posts advertised filled as per the approved funded structure by June 2019  | Propose review of panel members, new ones to be appointed and the process up to interviews to be finalized in the 1 <sup>st</sup> month of in the 1 <sup>st</sup> quarter of 2019/20                    | Position that were not filled, were filled during the 1 <sup>st</sup> and 2 <sup>nd</sup> quarter of 2019/20 |
| <b>KPI 8</b><br>Number of people from employment equity target groups employed in the three highest levels of management in compliance with a municipality's | 1 senior management position already advertised, 1 awaiting confirmation/verification of requirements by Provincial Cogta of the advert to be published. Planned for 1 <sup>st</sup> quarter of 2019/20 | Position were re-advertised in and recruitment processes are at an advanced stage                            |

|  |  |  |
|--|--|--|
| approved employment equity plan by June 2019 |  |  |
|--|--|--|

## DISTRICT ECONOMIC DEVELOPMENT

| 2019/19 Target  | Corrective Measure   | Progress as at 31 December 2019   |
|---|--|---|
| <b>KPI 15</b><br>Support 30 SMME / Cooperatives Business and (1) rural development initiatives through Community Conditional Grants within Dr. Kenneth Kaunda District by June 2018 | Restart the process based on improved internal controls in the 2019/2020 1 <sup>st</sup> quarter | Advert and appointment of Validation committee members done by 30 Sep 2019<br>The process of selecting beneficiaries completed on 16 October 2019 |
| <b>KPI 16</b><br>Number of Economic Development initiatives supported / implemented within Dr. Kenneth Kaunda District by June 2019   | Advertise for the review of LED strategy for locals and the district by end Sep 2019             | Advertised for the Review of LED Strategy.  |

## FINANCIAL VIABILITY AND MANAGEMENT

| 2019/19 Target   | Corrective Measure  | Progress as at 31 December 2019  |
|--|---|--|
| <b>KPI 19</b><br>2018/19 adjustment budget developed approved by February 2019   | Due to New Acting CFO secondment only rectified by Council in March | Target was achieved, 2018/19 adjustment budget was tabled  |
| <b>KPI 22</b><br>Percentage of municipality's budget actually spent on implementing its workplace skills plan by June 2019                   | 2 interns to be appointed in the 2019/2020 1 <sup>st</sup> quarter. | LGSETA Funding agreements finalised December 2019  |
| <b>KPI 23</b><br>Total Amount of funds transferred to District Economic Agency, Tourism Association and Secondary Cooperatives by March 2019 | Transfer once Cooperative registration matters have been addressed  | Assist in fast-tracking the submission of returnable documents<br>Consider splitting the KPI between BTO and DED |

## GOOD GOVERNANCE AND PUBLIC PARTICIPATION

### District Economic Development

| 2019/19 Target  | Corrective Measure   | Progress as at 31 December 2019            |
|---|--|--|
| <b>KPI 28</b><br>Number of Sport and Recreation strategy workshopped adopted by June 2019 | Local municipalities' consultations session on the sports and recreation strategy to start in the 1 <sup>st</sup> quarter of 2019/20. Workshop and adoption in the 2019/20 | Done, awaiting Council approval            |
| <b>KPI 29</b><br>Number of DED strategy workshopped adopted by June 2019                  | Advertise for the reviewed of LED strategy for local and the district by end Sep 2019  | Advertised for the Review of LED Strategy. |

### Risk

| 2019/19 Target  | Corrective Measure   | Progress as at 31 December 2019  |
|---|--|--|
| <b>KPI 34</b><br>Number of risk management policies reviewed for DRKKDM approved by June 2019 | Fill the vacant position of Chief Risk Officer once the structure is approved and review the policies. | The Chief Risk Officer has been appointed and the Policy will be reviewed in the 4 <sup>th</sup> quarter |

### Communication

| 2019/19 Target  | Corrective Measure   | Progress as at 31 December 2019  |
|---|--|--|
| <b>KPI 36</b><br>Number of reviewed Communication Strategy adopted by December 2018 | Budgeted for 2019/2020 and plan to review the Communication strategy in the 1 <sup>st</sup> quarter. | The Communication Manager has been appointed and the Communication Strategy will be adopted in the 4 <sup>th</sup> quarter |

## MISS

| 2019/19 Target   | Corrective Measure  | Progress as at 31 December 2019  |
|--|---|--|
| <b>KPI 43</b><br>Number of Security policy workshopped to officials by June 2019 | The workshop/engagement will be done in the 2019/2020 1 <sup>st</sup> quarter | The Security Policy Workshop was held in the 1 <sup>st</sup> Quarter of 2019/20 financial year |

## Executive Mayor

| 2019/19 Target  | Corrective Measure  | Progress as at 31 December 2019  |
|---|---|--|
| <b>KPI 47</b><br>Number of students within Dr. Kenneth Kaunda District awarded with financial assistance for registration in Higher learning institutions by March 2019 | To physically go to Universities in the February March to verify with Universities, VET and Colleges.     |  |
| <b>KPI 50</b> Number of Africa Day activity hosted by May 2019  | The program has been discontinued in 2019/20 with a view for concentration to be on the provincial event. | Project discontinued   |
| <b>KPI 51</b><br>Number of Mayoral Golf Day hosted by December 2018   | The project to be discontinued in the 2019/20 financial year  | Project discontinued   |
| <b>KPI 56</b><br>Number of moral regeneration program conducted within Dr. Kenneth Kaunda District by June 2019   | District only to include projects it has total control on in the SDBIP.                                   | 1 moral regeneration program conducted within Dr. Kenneth Kaunda District in the 2 <sup>nd</sup> quarter |
| <b>KPI 57</b><br>Number of youth projects within Dr. Kenneth Kaunda District supported by June 2019   | Include this KPI to all program managers. To be implemented jointly by Gender, Disability & Youth         | 1 youth projects within Dr. Kenneth Kaunda District supported in the 1 <sup>st</sup> quarter             |

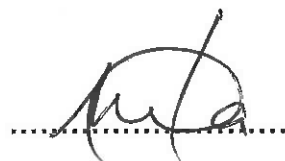
## QUALITY CERTIFICATE

I **Shirley Mabedi Lesupi**, the Municipal Manager of  
Dr Kenneth Kaunda District Municipality (DC40),

hereby certify that-

- **2019/2020 Mid-Year Budget and Performance Assessment**

For the months of **01 July 2019 to 31 December 2019** has been prepared in accordance with Section 72 of the Municipality Finance Management Act 56 of 2003 and regulations made under that Act.



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**SM LESUPI**

**24.01.2020**  
.....

**DATE**